



02/10/97

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Robin Torres

(Type or print name of person mailing paper)

Date: February 6, 1997

(Signature of person mailing paper)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Choung Kim

Serial No.: 08/735,385

Group No.: Unassigned

Filed: October 21, 1996

Examiner: Unassigned

For: PIPERIDINE COMPOUNDS

Docket No. 205.7

Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTENTION: Box Missing Part

### COMPLETION OF FILING REQUIREMENTS

- I. ☒ This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed January 10, 1997 .
- ☒ A copy of the Notice to File Missing Parts of Application---Filing Date Granted (Form PTO-1533) is enclosed.

### DECLARATION OR OATH

- II. ☐ No original declaration or oath was filed and enclosed is the original declaration or oath for this application.
- OR
- ☒ The original declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

Attached is a

- (c) ☐ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- (d) ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

### AMENDMENT CANCELLING CLAIMS

- III. ☐ Cancel claims \_\_\_\_\_ inclusive.

### TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS

IV.

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

#### SMALL ENTITY STATUS

V.

- ☐ A verified statement that this filing is by a small entity
- ☐ is attached
- ☐ a separate refund request accompanies this paper
- ☐ was filed on \_\_\_\_\_ (original).  
Please see attached Notification of Loss of Entitlement to Small Entity Status

#### COMPLETION FEES

VI. 1. Filing fee

- ☐ original patent application  
(37 CFR 1.16 (a)----\$710.00; small entity----\$355.00) \$ \_\_\_\_\_
- ☐ design application  
(37 CFR 1.16 (f)----\$290.00; small entity----\$145.00) \$ \_\_\_\_\_

2. fees for claims

- ☐ each independent claim in excess of 3  
(37 CFR 1.16 (b)----\$74.00; small entity----\$37.00) \$ \_\_\_\_\_
- ☐ each claim in excess of 10  
(37 CFR 1.16 (c)----\$22.00; small entity----\$11.00) \$ \_\_\_\_\_
- ☐ multiple dependent claim(s)  
(37 CFR 1.16 (d)----\$230.00; small entity----\$115.00) \$ \_\_\_\_\_

3. surcharge fees

- ☐ late payment of filing fee *and/or*
- ☒ late filing of original declaration or oath  
(37 CFR 1.16 (e)----\$130.00; small entity----\$65.00) \$ 130.00

4. ☐ petition and fee for filing by other than all the inventors or a person not the inventor  
(37 CFR 1.17 (h) and 1.47----\$130.00) \$ \_\_\_\_\_

5. ☐ fee for processing an application filed with a specification in a non-English language  
(37 CFR 1.17 (k) and 1.52 (d)----\$130.00) \$ \_\_\_\_\_

6. ☐ fee for processing and retention of application  
(37 CFR 1.21 (l) and 1.53 (d)----\$300.00) \$ \_\_\_\_\_

Total completion fees \$ 130.00

#### EXTENSION OF TIME

VII.

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 (a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17 (a)-(d)), for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<input type="checkbox"/> one month	\$110.00	\$55.00
<input type="checkbox"/> two months	\$380.00	\$190.00
<input type="checkbox"/> three months	\$900.00	\$450.00
<input type="checkbox"/> four months	\$1,400.00	\$700.00
Fee \$ _____		

If an additional extension of time is required please consider this a petition therefor.

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

#### TOTAL FEE DUE

VIII. The total fee due is  
Completion fee(s) \$ 130.00  
Extension fee (if any) \$ \_\_\_\_\_  
TOTAL FEE DUE \$ 130.00

#### PAYMENT OF FEES

- IX. ☐ Check in the amount of \$ \_\_\_\_\_  
☒ Charge Account No. 07-1250 in the amount of \$ 130.00  
A duplicate of this transmittal is attached.

#### AUTHORIZATION TO CHARGE ADDITIONAL FEES

- X. ☒ The Commissioner is hereby authorized by this document to charge any additional fees which may be required by this paper and during the entire pendency of this application to Account No. 07-1250, except the issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311 (b).

Reg. No. 35,071

Tel. No.: (415) 572-6569

  
SIGNATURE OF ATTORNEY

Mark L. Bosse

Type or print name of attorney

Gilead Sciences, Inc.

353 Lakeside Drive

P.O. Address

Foster City, CA 94404

**PATENT**Docket No. 205.7

Box Patent Application

Assistant Commissioner for Patents

Washington, D.C. 20231

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): Choung U. Kim <sup>1-20</sup>For (title): **PIPERIDINE COMPOUNDS****1. Type of Application**

This new application is for a(n) (check one applicable item below):

- ☐ Original
- ☐ Design
- ☐ Plant
- ☐ Divisional
- ☐ Continuation
- ☒ Continuation-in-part (CIP)

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this "New Application Transmittal" and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date October 21, 1996 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EG913105772US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Mark L. Bosse

(Type or print name of person mailing paper)

(Signature of person mailing paper)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

☒ The new application being transmitted claims the benefit of prior U.S. application(s).

3. Papers Enclosed Which Are Required for Filing Date Under 37 CFR 1.53 (b) (Regular) or 37 CFR 1.153 (Design) Application

121 Pages of specification

16 Pages of claims

1 Pages of Abstract

       Sheets of drawing

☐ formal

☐ informal

4. Additional Papers Enclosed

☐ Preliminary Amendment

☐ Information Disclosure Statement (37 CFR 1.98)

☐ Form PTO-1449

☐ Citations

☐ Declaration of Biological Deposit

☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative

☐ Special Comments

5. Declaration or Oath

☒ Enclosed

☐ executed by (check *all* applicable boxes)

☐ inventor(s).

☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43

☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.

☐ Not enclosed.

☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of **all** the above named inventor(s).

☐ Showing that the filing is authorized.

**6. Inventorship Statement**

The inventorship for all the claims in this application are:

☒ The same

or

☐ Are not the same.

**7. Language**

☒ English

☐ non-English

☐ the attached translation is a verified translation. 37 CFR 1.52 (d).

**8. Assignment**

☒ An assignment of the invention to:  
GILEAD SCIENCES, INC.

☐ is attached.

☐ A separate "RECORDATION FORM COVER SHEET" is also attached.

or

☐ A separate FORM PTO 1906 is also attached.

☒ will follow.

**9. Certified Copy**

Certified copy(ies) of application(s)

\_\_\_\_\_  
(country)

\_\_\_\_\_  
(application no.)

\_\_\_\_\_  
(filed)

\_\_\_\_\_  
(country)

\_\_\_\_\_  
(application no.)

\_\_\_\_\_  
(filed)

from which priority is claimed

☐ is(are) attached.

☐ will follow.

10. Fee Calculation (37 CFR 1.16)

A. ☒ Regular application

CLAIMS AS FILED						
Number filed			Number Extra		Rate	Basic Fee 37 CFR 1.16 (a) \$770.00
Total Claims (37 CFR 1.16 (c))	93	- 20 =	73	X	\$22.00	\$1,606.00
Independent Claims (37 CFR 1.16 (b))	3	- 3 =	0	X	\$80.00	
Multiple dependent claim(s), if any (37 CFR 1.16 (d))		YES		@	\$260.00	\$260.00

- ☐ Amendment cancelling extra claims enclosed.  
☐ Amendment deleting multiple-dependencies enclosed.  
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$ 2,636.00

B. ☐ Design application

(\$300.00---37 CFR 1.16 (f))

Filing Fee Calculation \$

C. ☐ Plant application

(\$490.00---37 CFR 1.16 (g))

Filing Fee Calculation \$

11. Small Entity Statement(s)

- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of A, B or C above)

12. Request for International-Type Search (37 CFR 1.104 (d)) (complete, if applicable)

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits take place.

13. Fee Payment Being Made At This Time

- ☐ Not Enclosed

- ☐ No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16 (e) can be paid subsequently.)

- ☒ Enclosed

☒ basic filing fee \$ 2,636.00

☐ recording assignment (\$40.00; 37 CFR 1.21 (h)) \$

- ☐ petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.  
(\$130.00; 37 CFR 1.47 and 1.17 (h)) \$
- ☐ for processing an application with a specification in a non-English language.  
(\$130.00; 37 CFR 1.52 (d) and 1.17 (k)) \$
- ☐ processing and retention fee  
(\$130.00; 37 CFR 1.53 (d) and 1.21 (l)) \$
- ☐ fee for international-type search report  
(\$35.00; 37 CFR 1.21 (e)). \$
- Total fees enclosed** \$ 2,636.00

**14. Method of Payment of Fees**

- ☐ Check in the amount of \$
- ☒ Charge Account No. 07-1250 in the amount of \$2,636.00  
A duplicate of this transmittal is attached.

**15. Contingent Petition for Extension of Time**

If a paper is untimely filed in the subject application by applicant(s) or her/his/their representative, the Commissioner is hereby petitioned under 37 C.F.R. §1.136(a) for the minimum extension of time required to make said paper timely.

**16. Authorization to Charge Additional Fees**

- ☒ The Commissioner is hereby authorized by this document to charge any additional fees which may be required by this paper and during the entire pendency of this application to Account No. 07-1250, except the issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b).

**17. Instructions as to Overpayment**

- ☒ credit Account No. 07-1250
- ☐ refund

Reg. No. 35,071

Tel. No.: (415) 572-6569

  
**SIGNATURE OF ATTORNEY**

Mark L. Bosse

Type or print name of attorney

Gilead Sciences, Inc.

353 Lakeside Drive

P.O. Address

Foster City, CA 94404





CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No. EG913105772US

Date of Deposit October 21, 1996

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

MARK BOSSE  
(Typed or Printed Name of Person Mailing Paper or Fee)

[Signature]  
(Signature of Person Mailing Paper or Fee)

Piperidine Compounds

Cross Referenced to Related Applications

This application is a continuation-in-part application of United States Patent Application Serial Number 08/702,308, filed August 23, 1996, which was a continuation-in-part application of United States Patent Application Serial Number 08/653,034, filed March 24, 1996, which was a continuation-in-part application of United States Patent Application Serial Number 08/606,624, filed February 26, 1996, which was a continuation-in-part application of United States Patent Application Serial Number 08/580,567, filed December 29, 1995, which was a continuation-in-part application of United States Patent Application Serial Number 08/476,946, filed June 6, 1995, which was a continuation-in-part application of United States Patent Application Serial Number 08/395,245, filed February 27, 1995, all of which are incorporated herein by reference in their entirety. This application is related to United States Patent Application Serial Number 08/701,942, filed August 23, 1996, which describes methods of making carbocyclic compounds and is incorporated by reference in its entirety.

Background of the Invention

Field of the Invention

Neuraminidase (also known as sialidase, acylneuraminyd hydrolase, and EC 3.2.1.18) is an enzyme common among animals and a number of

microorganisms. It is a glycohydrolase that cleaves terminal alpha-ketosidically linked sialic acids from glycoproteins, glycolipids and oligosaccharides. Many of the microorganisms containing neuraminidase are pathogenic to man and other animals including fowl, horses, swine and seals. Organisms having N-acetylneuraminidases include bacteria such as *Vibrio cholerae*, *C. perfringens* and *Streptococcus* sp. and viruses such as influenza virus, and parainfluenza virus.

Influenza neuraminidase has been implicated in the pathogenicity of influenza viruses. It is thought to help the elution of newly synthesized virions from infected cells and assist in the movement of the virus (through its hydrolase activity) through the mucus of the respiratory tract.

#### Brief Description of Related Art

Itzstein, M. von et al.; "Nature", 363(6428):418-423 (1993), discloses the rational design of sialidase-based inhibitors of influenza virus replication.

Colman, P. M. et al.; International Patent Publication No. WO 92/06691 (Int. App. No. PCT/AU90/00501, publication date April 30, 1992), Itzstein, L. M. von et al.; European Patent Publication No. 0 539 204 A1 (EP App. No. 92309684.6, publication date April 28, 1993), and Itzstein, L. M. von et al.; International Publication No. WO 91/16320 (Int. App. No. PCT/AU91/00161, publication date October 31, 1991) disclose compounds that bind neuraminidase and are asserted to exhibit antiviral activity *in vivo*.

Umezawa, H; et al.; *J. Antibiotics*, **1974**, 27, 963-969, discloses the isolation of Siastatin B. Nishimura, Y.; et al.; *J. Am. Chem. Soc.*, **1988**, 110, 7249-7250; and *Bull. Chem. Soc. Jpn.*, **1992**, 65, 978-986, disclose the total synthesis of Siastatin B. Nishimura, Y.; et al.; *J. Antibiotics*, **1992**, 45(10), 1662-1668; **1993**, 46(2), 300-309; 46(12), 1883-1889; **1994**, 47(1), 101-107; and *Nat. Prod. Lett.*, **1992**, 1(1), 39-44; as well as Japanese Patent Applications 92-287381 (October 26, 1992); 90-201437 (July 31, 1990); 88-125020 (May 24, 1988) and 50046895 (April 25, 1975) disclose synthetic transformations of Siastatin B including certain dehydrosiastatin B analogs. Zbiral, E.; et al.; *Liebigs Ann. Chem.*, **1991**, 129-134; and von Itzstein, M.; et al.; *Carbohydrate Res.*, **1993**, 244, 181-185, disclose synthetic transformation of the hydroxy group at C4 of sialic acid to an amino group.

#### Objects of the Invention

Selected embodiments of the invention satisfy one or more of the following objects:

A principal object of the invention is inhibition of bacteria and viruses, in particular influenza viruses. In particular, an object is inhibition of glycolytic enzymes such as neuraminidase, in particular the selective inhibition of viral or bacterial neuraminidases.

An additional object of the invention is to provide neuraminidase inhibitors that have a retarded rate of urinary excretion, that enter into nasal or pulmonary secretions from the systemic circulation, that have sufficient oral bioavailability to be therapeutically effective, that possess elevated potency, that exhibit clinically acceptable toxicity profiles and have other desirable pharmacologic properties.

Another object is to provide improved and less costly methods for synthesis of neuraminidase inhibitors.

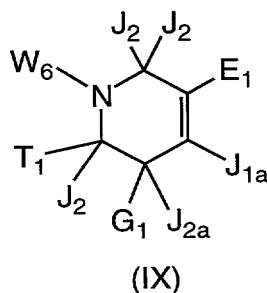
A still further object is to provide improved methods for administration of known and novel neuraminidase inhibitors.

An additional object is to provide compositions useful in preparing polymers, surfactants or immunogens and for use in other industrial processes and articles

These and other objects will be readily apparent to the ordinary artisan from consideration of the invention as a whole.

### Summary of the Invention

Compounds, or compositions having formula (IX) are provided herein:



wherein

E<sub>1</sub> is -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>1</sub>;

G<sub>1</sub> is N<sub>3</sub>, -CN, -OH, -OR<sub>6a</sub>, -NO<sub>2</sub>, or -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>2</sub>;

T<sub>1</sub> is -NR<sub>1</sub>W<sub>3</sub>, or a heterocycle;

J<sub>1a</sub> are independently R<sub>1</sub>, Br, Cl, F, I, CN, NO<sub>2</sub> or N<sub>3</sub>;

J<sub>2</sub> and J<sub>2a</sub> are independently H or R<sub>1</sub>;

R<sub>1</sub> is independently H or alkyl of 1 to 12 carbon atoms;

R<sub>2</sub> is independently R<sub>3</sub> or R<sub>4</sub> wherein each R<sub>4</sub> is independently substituted with 0 to 3 R<sub>3</sub> groups;

5 R<sub>3</sub> is independently F, Cl, Br, I, -CN, N<sub>3</sub>, -NO<sub>2</sub>, -OR<sub>6a</sub>, -OR<sub>1</sub>, -N(R<sub>1</sub>)<sub>2</sub>,  
-N(R<sub>1</sub>)(R<sub>6b</sub>), -N(R<sub>6b</sub>)<sub>2</sub>, -SR<sub>1</sub>, -SR<sub>6a</sub>, -S(O)R<sub>1</sub>, -S(O)<sub>2</sub>R<sub>1</sub>, -S(O)OR<sub>1</sub>, -S(O)OR<sub>6a</sub>,  
-S(O)<sub>2</sub>OR<sub>1</sub>, -S(O)<sub>2</sub>OR<sub>6a</sub>, -C(O)OR<sub>1</sub>, -C(O)R<sub>6c</sub>, -C(O)OR<sub>6a</sub>, -OC(O)R<sub>1</sub>,  
-N(R<sub>1</sub>)(C(O)R<sub>1</sub>), -N(R<sub>6b</sub>)(C(O)R<sub>1</sub>), -N(R<sub>1</sub>)(C(O)OR<sub>1</sub>), -N(R<sub>6b</sub>)(C(O)OR<sub>1</sub>),  
-C(O)N(R<sub>1</sub>)<sub>2</sub>, -C(O)N(R<sub>6b</sub>)(R<sub>1</sub>), -C(O)N(R<sub>6b</sub>)<sub>2</sub>, -C(NR<sub>1</sub>)(N(R<sub>1</sub>)<sub>2</sub>),  
10 -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
-C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
-N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
-N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
-N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
15 -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
-N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>),  
-N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), =O, =S, =N(R<sub>1</sub>) or =N(R<sub>6b</sub>);

R<sub>4</sub> is independently alkyl of 1 to 12 carbon atoms, alkenyl of 2 to 12 carbon atoms, or alkynyl of 2 to 12 carbon atoms;

20 R<sub>5</sub> is independently R<sub>4</sub> wherein each R<sub>4</sub> is substituted with 0 to 3 R<sub>3</sub> groups;

R<sub>5a</sub> is independently alkylene of 1 to 12 carbon atoms, alkenylene of 2 to 12 carbon atoms, or alkynylene of 2-12 carbon atoms any one of which alkylene, alkenylene or alkynylene is substituted with 0-3 R<sub>3</sub> groups;

25 R<sub>6a</sub> is independently H or an ether- or ester-forming group;

R<sub>6b</sub> is independently H, a protecting group for amino or the residue of a carboxyl-containing compound;

R<sub>6c</sub> is independently H or the residue of an amino-containing compound;

30 W<sub>1</sub> is a group comprising an acidic hydrogen, a protected acidic group, or an R<sub>6c</sub> amide of the group comprising an acidic hydrogen;

W<sub>2</sub> is a group comprising a basic heteroatom or a protected basic heteroatom, or an R<sub>6b</sub> amide of the basic heteroatom;

W<sub>3</sub> is W<sub>4</sub> or W<sub>5</sub>;

35 W<sub>4</sub> is R<sub>5</sub> or -C(O)R<sub>5</sub>, -C(O)W<sub>5</sub>, -SO<sub>2</sub>R<sub>5</sub>, or -SO<sub>2</sub>W<sub>5</sub>;

W<sub>5</sub> is carbocycle or heterocycle wherein W<sub>5</sub> is independently substituted with 0 to 3 R<sub>2</sub> groups;

W<sub>6</sub> is -R<sub>5</sub>, -W<sub>5</sub>, -R<sub>5a</sub>W<sub>5</sub>, -C(O)OR<sub>6a</sub>, -C(O)R<sub>6c</sub>, -C(O)N(R<sub>6b</sub>)<sub>2</sub>,  
-C(NR<sub>6b</sub>)(N(R<sub>6b</sub>)<sub>2</sub>), -C(NR<sub>6b</sub>)(N(H)(R<sub>6b</sub>)), -C(N(H)(N(R<sub>6b</sub>)<sub>2</sub>), -C(S)N(R<sub>6b</sub>)<sub>2</sub>, or  
5 -C(O)R<sub>2</sub>; and

each m<sub>1</sub> is independently an integer from 0 to 2;  
*provided*, however, that compounds are excluded wherein J<sub>1a</sub> is H, each J<sub>2</sub> is H, J<sub>2a</sub> is H and T<sub>1</sub> is -N(H)(Ac) and:

10 E<sub>1</sub> is -CO<sub>2</sub>H or -CO<sub>2</sub>CH<sub>3</sub>,  
G<sub>1</sub> is -OBoc, and  
W<sub>6</sub> is Boc;

15 E<sub>1</sub> is -CO<sub>2</sub>H or -CO<sub>2</sub>CH<sub>3</sub>,  
G<sub>1</sub> is -OH, and  
W<sub>6</sub> is H;

20 E<sub>1</sub> is -CO<sub>2</sub>H, -CO<sub>2</sub>CH<sub>3</sub> or -CO<sub>2</sub>Bn  
G<sub>1</sub> is -OH, and  
W<sub>6</sub> is Boc;

25 E<sub>1</sub> is -CONH<sub>2</sub>,  
G<sub>1</sub> is -OH, and  
W<sub>6</sub> is Boc or H;

30 E<sub>1</sub> is -CO<sub>2</sub>H or -CO<sub>2</sub>CH<sub>3</sub>,  
G<sub>1</sub> is OH, and  
W<sub>6</sub> is Bn; or

35 E<sub>1</sub> is -CO<sub>2</sub>H or -CO<sub>2</sub>CH<sub>3</sub>,  
G<sub>1</sub> is -OH, and  
W<sub>6</sub> is -CH<sub>2</sub>CH(OH)CH<sub>2</sub>(OH);

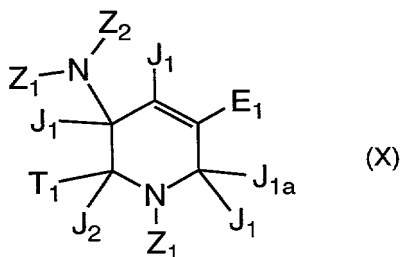
wherein Bn is benzyl and Boc is -CO<sub>2</sub>C(CH<sub>3</sub>)<sub>3</sub>;

and the salts, solvates, resolved enantiomers and purified diastereomers

thereof.

In another embodiment, compounds, or compositions having formula (X) are provided herein:

5



wherein

- one Z<sub>1</sub> is W<sub>6</sub> and the other Z<sub>1</sub> is G<sub>1</sub>;
- Z<sub>2</sub> is H or W<sub>6</sub>;
- 10 E<sub>1</sub> is -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>1</sub>;
- G<sub>1</sub> is -OH, -OR<sub>6a</sub>, or -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>2</sub>;
- T<sub>1</sub> is -NR<sub>1</sub>W<sub>3</sub> or a heterocycle;
- J<sub>1</sub> and J<sub>1a</sub> are independently R<sub>1</sub>, Br, Cl, F, I, CN, NO<sub>2</sub> or N<sub>3</sub>;
- J<sub>2</sub> is H or R<sub>1</sub>;
- 15 R<sub>1</sub> is independently H or alkyl of 1 to 12 carbon atoms;
- R<sub>2</sub> is independently R<sub>3</sub> or R<sub>4</sub> wherein each R<sub>4</sub> is independently substituted with 0 to 3 R<sub>3</sub> groups;
- R<sub>3</sub> is independently F, Cl, Br, I, -CN, N<sub>3</sub>, -NO<sub>2</sub>, -OR<sub>6a</sub>, -OR<sub>1</sub>, -N(R<sub>1</sub>)<sub>2</sub>, -N(R<sub>1</sub>)(R<sub>6b</sub>), -N(R<sub>6b</sub>)<sub>2</sub>, -SR<sub>1</sub>, -SR<sub>6a</sub>, -S(O)R<sub>1</sub>, -S(O)<sub>2</sub>R<sub>1</sub>, -S(O)OR<sub>1</sub>, -S(O)OR<sub>6a</sub>, -S(O)<sub>2</sub>OR<sub>1</sub>, -S(O)<sub>2</sub>OR<sub>6a</sub>, -C(O)OR<sub>1</sub>, -C(O)R<sub>6c</sub>, -C(O)OR<sub>6a</sub>, -OC(O)R<sub>1</sub>, -N(R<sub>1</sub>)(C(O)R<sub>1</sub>), -N(R<sub>6b</sub>)(C(O)R<sub>1</sub>), -N(R<sub>1</sub>)(C(O)OR<sub>1</sub>), -N(R<sub>6b</sub>)(C(O)OR<sub>1</sub>), -C(O)N(R<sub>1</sub>)<sub>2</sub>, -C(O)N(R<sub>6b</sub>)(R<sub>1</sub>), -C(O)N(R<sub>6b</sub>)<sub>2</sub>, -C(NR<sub>1</sub>)(N(R<sub>1</sub>)<sub>2</sub>), -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), =O, =S, =N(R<sub>1</sub>) or =N(R<sub>6b</sub>);
- 25 R<sub>4</sub> is independently alkyl of 1 to 12 carbon atoms, alkenyl of 2 to 12
- 30

carbon atoms, or alkynyl of 2 to 12 carbon atoms;

R5 is independently R4 wherein each R4 is substituted with 0 to 3 R3 groups;

5 R5a is independently alkylene of 1 to 12 carbon atoms, alkenylene of 2 to 12 carbon atoms, or alkynylene of 2-12 carbon atoms any one of which alkylene, alkenylene or alkynylene is substituted with 0-3 R3 groups;

R6a is independently H or an ether- or ester-forming group;

R6b is independently H, a protecting group for amino or the residue of a carboxyl-containing compound;

10 R6c is independently H or the residue of an amino-containing compound;

W1 is a group comprising an acidic hydrogen, a protected acidic group, or an R6c amide of the group comprising an acidic hydrogen;

15 W2 is H or a group comprising a basic heteroatom or a protected basic heteroatom, or an R6b amide of the basic heteroatom;

W3 is W4 or W5;

W4 is R5 or -C(O)R5, -C(O)W5, -SO2R5, or -SO2W5;

W5 is carbocycle or heterocycle wherein W5 is independently substituted with 0 to 3 R2 groups;

20 W6 is -R5, -W5, -R5aW5, -C(O)OR6a, -C(O)R6c, -C(O)N(R6b)2, -C(NR6b)(N(R6b)2), -C(NR6b)(N(H)(R6b)), -C(N(H)(N(R6b)2)), -C(S)N(R6b)2, or -C(O)R2;

each m1 is independently an integer from 0 to 2;

25 and the salts, solvates, resolved enantiomers and purified diastereomers thereof.

In another embodiment of the invention a compound or composition of the invention is provided that further comprises a pharmaceutically-acceptable carrier.

30 In another embodiment of the invention the activity of neuraminidase is inhibited by a method comprising the step of treating a sample suspected of containing neuraminidase with a compound or composition of the invention.

35 Another embodiment of the invention provides a method for inhibiting the activity of neuraminidase comprising the step of contacting a sample suspected of containing neuraminidase with the composition

embodiments of the invention.

Detailed Description

Compositions of the Invention.

5           The compounds of this invention exclude compounds heretofore known. However, as will be further apparent below, in other embodiments, it is within the invention to use for antiviral purposes known compounds heretofore only produced and used as intermediates in the preparation of antiviral compounds. With respect to the United States, the compounds or  
10       compositions herein exclude compounds that are anticipated under 35 USC §102 or obvious under 35 USC §103. In particular, the claims herein shall be construed as excluding the compounds which are anticipated by or not possessing novelty over Nishimura, Y.; *et al.*; *J. Am. Chem. Soc.*, **1988**, 110, 7249-7250; and *Bull. Chem. Soc. Jpn.*, **1992**, 65, 978-986, disclose the total  
15       synthesis of Siastatin B. Nishimura, Y.; *et al.*; *J. Antibiotics*, **1992**, 45(10), 1662-1668; **1993**, 46(2), 300-309; 46(12), 1883-1889; **1994**, 47(1), 101-107; *Nat. Prod. Lett.*, **1992**, 1(1), 39-44; and Japanese Patent Applications 92-287381 (October 26, 1992); 90-201437 (July 31, 1990); 88-125020 (May 24, 1988) and 50046895 (April 25, 1975).

20           In a further embodiment, the compounds of this invention are those in which W<sub>6</sub> is not -CH<sub>2</sub>OH, -CH<sub>2</sub>OAc, or -CH<sub>2</sub>OCH<sub>2</sub>Ph.

          In a further embodiment, the compounds of this invention are those in which E<sub>1</sub> is not -CH<sub>2</sub>OH, -CH<sub>2</sub>OTMS, or -CHO.

          In a further embodiment, the compounds of this invention are those in which W<sub>6</sub> is not polyhydroxyalkane, especially -CH(OH)CH(OH)CH<sub>2</sub>OH.

25       In a further embodiment, W<sub>6</sub> is a branched chain group R<sub>5</sub> as described below or a carbocycle which is substituted with at least one group R<sub>5</sub>.

          Whenever a compound described herein is substituted with more than one of the same designated group, e.g., "R<sub>1</sub>" or "R<sub>6a</sub>", then it will be understood that the groups may be the same or different, i.e., each group is  
30       independently selected.

          "Heterocycle" as used herein includes by way of example and not limitation these heterocycles described in Paquette, Leo A.; "Principles of Modern Heterocyclic Chemistry" (W.A. Benjamin, New York, 1968), particularly Chapters 1, 3, 4, 6, 7, and 9; "The Chemistry of Heterocyclic  
35       Compounds, A series of Monographs" (John Wiley & Sons, New York, 1950 to present), in particular Volumes 13, 14, 16, 19, and 28; and "J. Am. Chem.



Soc.", 82:5566 (1960).

Examples of heterocycles include by way of example and not limitation pyridyl, thiazolyl, tetrahydrothiophenyl, sulfur oxidized tetrahydrothiophenyl, pyrimidinyl, furanyl, thienyl, pyrrolyl, pyrazolyl, imidazolyl, tetrazolyl, benzofuranyl, thianaphthalenyl, indolyl, indolenyl, quinolinyl, isoquinolinyl, benzimidazolyl, piperidinyl, 4-piperidonyl, pyrrolidinyl, 2-pyrrolidonyl, pyrrolinyl, tetrahydrofuranlyl, tetrahydroquinolinyl, tetrahydroisoquinolinyl, decahydroquinolinyl, octahydroisoquinolinyl, azocinyl, triazinyl, 6H-1,2,5-thiadiazinyl, 2H,6H-1,5,2-dithiazinyl, thienyl, thianthrenyl, pyranlyl, isobenzofuranyl, chromenyl, xanthenyl, phenoxathiinyl, 2H-pyrrolyl, isothiazolyl, isoxazolyl, pyrazinyl, pyridazinyl, indoliziny, isoindolyl, 3H-indolyl, 1H-indazolyl, purinyl, 4H-quinoliziny, phthalazinyl, naphthyridinyl, quinoxaliny, quinazoliny, cinnoliny, pteridinyl, 4aH-carbazolyl, carbazolyl,  $\beta$ -carboliny, phenanthridinyl, acridinyl, pyrimidinyl, phenanthrolinyl, phenazinyl, phenothiazinyl, furazanyl, phenoxazinyl, isochromanly, chromanly, imidazolidinyl, imidazoliny, pyrazolidinyl, pyrazoliny, piperazinyl, indoliny, isoindoliny, quinuclidinyl, morpholiny, oxazolidinyl, benzotriazolyl, benzisoxazolyl, oxindolyl, benzoxazoliny, and isatinoyl.

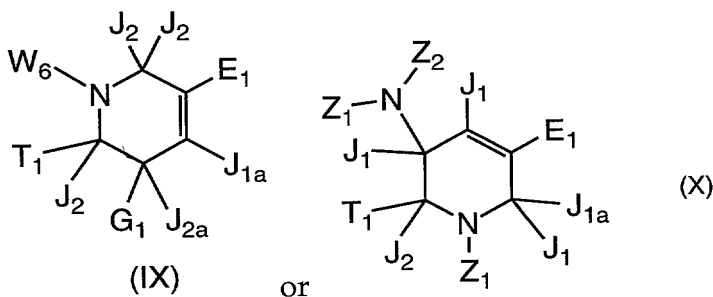
By way of example and not limitation, carbon bonded heterocycles are bonded at position 2, 3, 4, 5, or 6 of a pyridine, position 3, 4, 5, or 6 of a pyridazine, position 2, 4, 5, or 6 of a pyrimidine, position 2, 3, 5, or 6 of a pyrazine, position 2, 3, 4, or 5 of a furan, tetrahydrofuran, thiofuran, thiophene, pyrrole or tetrahydropyrrole, position 2, 4, or 5 of an oxazole, imidazole or thiazole, position 3, 4, or 5 of an isoxazole, pyrazole, or isothiazole, position 2 or 3 of an aziridine, position 2, 3, or 4 of an azetidine, position 2, 3, 4, 5, 6, 7, or 8 of a quinoline or position 1, 3, 4, 5, 6, 7, or 8 of an isoquinoline. Still more typically, carbon bonded heterocycles include 2-pyridyl, 3-pyridyl, 4-pyridyl, 5-pyridyl, 6-pyridyl, 3-pyridazinyl, 4-pyridazinyl, 5-pyridazinyl, 6-pyridazinyl, 2-pyrimidinyl, 4-pyrimidinyl, 5-pyrimidinyl, 6-pyrimidinyl, 2-pyrazinyl, 3-pyrazinyl, 5-pyrazinyl, 6-pyrazinyl, 2-thiazolyl, 4-thiazolyl, or 5-thiazolyl.

By way of example and not limitation, nitrogen bonded heterocycles are bonded at position 1 of an aziridine, azetidine, pyrrole, pyrrolidine, 2-pyrroline, 3-pyrroline, imidazole, imidazolidine, 2-imidazoline, 3-imidazoline, pyrazole, pyrazoline, 2-pyrazoline, 3-pyrazoline, piperidine,

piperazine, indole, indoline, 1H-indazole, position 2 of a isoindole, or isoindoline, position 4 of a morpholine, and position 9 of a carbazole, or  $\beta$ -carboline. Still more typically, nitrogen bonded heterocycles include 1-aziridyl, 1-azetetyl, 1-pyrrolyl, 1-imidazolyl, 1-pyrazolyl, and 1-piperidinyl.

5 "Alkyl" as used herein, unless stated to the contrary, is C<sub>1</sub>-C<sub>12</sub> hydrocarbon containing normal, secondary, tertiary or cyclic carbon atoms. Examples are methyl (Me, -CH<sub>3</sub>), ethyl (Et, -CH<sub>2</sub>CH<sub>3</sub>), 1-propyl (*n*-Pr, *n*-propyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-propyl (*i*-Pr, *i*-propyl, -CH(CH<sub>3</sub>)<sub>2</sub>), 1-butyl (*n*-Bu, *n*-butyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-methyl-1-propyl (*i*-Bu, *i*-butyl, -CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>),  
10 2-butyl (*s*-Bu, *s*-butyl, -CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>), 2-methyl-2-propyl (*t*-Bu, *t*-butyl, -C(CH<sub>3</sub>)<sub>3</sub>), 1-pentyl (*n*-pentyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-pentyl (-CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3-pentyl (-CH(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 2-methyl-2-butyl (-C(CH<sub>3</sub>)<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3-methyl-2-butyl (-CH(CH<sub>3</sub>)CH(CH<sub>3</sub>)<sub>2</sub>), 3-methyl-1-butyl (-CH<sub>2</sub>CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 2-methyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>), 1-hexyl (-CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-hexyl (-CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3-hexyl (-CH(CH<sub>2</sub>CH<sub>3</sub>)(CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>)), 2-methyl-2-pentyl (-C(CH<sub>3</sub>)<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3-methyl-2-pentyl (-CH(CH<sub>3</sub>)CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>), 4-methyl-2-pentyl (-CH(CH<sub>3</sub>)CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 3-methyl-3-pentyl (-C(CH<sub>3</sub>)(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 2-methyl-3-pentyl (-CH(CH<sub>2</sub>CH<sub>3</sub>)CH(CH<sub>3</sub>)<sub>2</sub>), 2,3-dimethyl-2-butyl (-C(CH<sub>3</sub>)<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 3,3-dimethyl-2-butyl (-CH(CH<sub>3</sub>)C(CH<sub>3</sub>)<sub>3</sub>). Examples of alkyl groups appear in Table 2 as groups 2-5, 7, 9, and 100-399.

The compositions of the invention comprise compounds of either formula:



25

In the typical embodiment, the compounds of Formula IX are chosen.

J<sub>1</sub> and J<sub>1a</sub> are independently R<sub>1</sub>, Br, Cl, F, I, CN, NO<sub>2</sub> or N<sub>3</sub>, typically R<sub>1</sub> or F, more typically H or F, more typically yet H.

J<sub>2</sub> and J<sub>2a</sub> are independently H or R<sub>1</sub>, typically H.

30

One Z<sub>1</sub> of Formula X is W<sub>6</sub> and the other is G<sub>1</sub>;

$Z_2$  of Formula X is H or  $W_6$ , typically H;

$E_1$  is  $-(CR_1R_1)_{m1}W_1$ .

Typically,  $R_1$  is H or alkyl of 1 to 12 carbon atoms, usually H or an alkyl of 1 to 4 or 5 to 10 carbon atoms, still more typically, H or an alkyl of 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, or 12 carbon atoms, more typically yet, H or an alkyl of 1 to 3 carbon atoms selected from methyl, ethyl, *n*-propyl, and *i*-propyl. Most typically  $R_1$  is H.

$m_1$  is an integer of 0 to 2, typically 0 or 1, most typically 0.

$m_2$  is an integer of 0 to 1.

10  $m_3$  is an integer of 1 to 3.

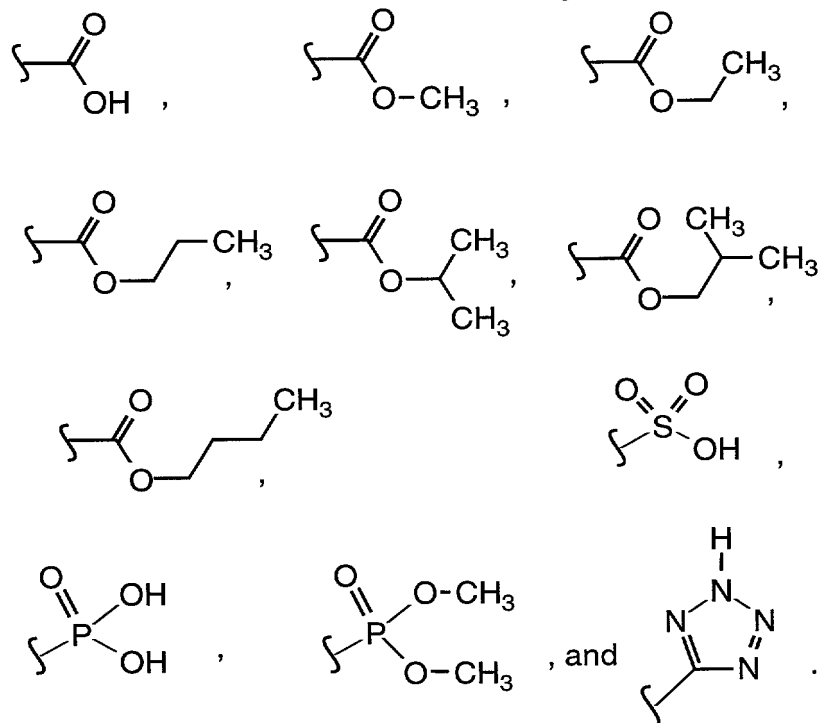
$W_1$  is a group comprising an acidic hydrogen, a protected acidic group or an  $R_{6c}$  amide of the group comprising an acidic hydrogen which, within the context of the invention, means a group having a hydrogen atom that can be removed by a base yielding an anion or its corresponding salt or solvate.

15 The general principles of acidity and basicity of organic materials are well understood and are to be understood as defining  $W_1$ . They will not be detailed here. However, a description appears in Streitwieser, A.; and Heathcock, C. H.; "Introduction to Organic Chemistry, Second Edition" (Macmillan, New York, 1981), pages 60-64. Generally, acidic groups of the invention have pK values less than that of water, usually less than pK = 10, typically less than pK = 8, and frequently less than pK = 6. They include tetrazoles and the acids of carbon, sulfur, phosphorous and nitrogen, typically the carboxylic, sulfuric, sulfonic, sulfinic, phosphoric and phosphonic acids, together with the  $R_{6c}$  amides and  $R_{6b}$  esters of those acids ( $R_{6c}$  and  $R_{6b}$  are defined below). Exemplary  $W_1$  are  $-CO_2H$ ,  $-CO_2R_{6a}$ ,  $-OSO_3H$ ,  $-SO_3H$ ,  $-SO_2H$ ,  $-OPO_3H_2$ ,  $-PO_3(R_{6a})_2$ ,  $-PO_3H_2$ ,  $-PO_3(H)(R_{6a})$ , and  $-OPO_3(R_{6a})_2$ .  $E_1$  typically is  $W_1$ , and  $W_1$  typically is  $-CO_2H$ ,  $-CO_2R_{6a}$ ,  $-CO_2R_4$  or  $CO_2R_1$ , and most typically is  $CO_2R_{14}$  wherein  $R_{14}$  is normal or terminally secondary  $C_1$ - $C_6$  alkyl.

30  $W_1$  may also be a protected acidic group, which, within the context of the invention means an acidic group as described above that has been protected by one of the groups commonly used in the art for such groups and are described below under  $R_{6a}$ . More typically, protected  $W_1$  is  $-CO_2R_1$ ,  $-SO_3R_1$ ,  $-S(O)OR_1$ ,  $-P(O)(OR_1)_2$ ,  $-C(O)NHSO_2R_4$ , or  $-SO_2NHC(O)-R_4$ , wherein  $R_1$  and  $R_4$  are defined above.

35 Most typically,  $E_1$  is selected from  $-C(O)O(CH_2)_bCH((CH_2)_cCH_3)_2$  where

b = 0 to 4, c = 0 to 4, and b + c = 1 to 4, or from the group of



Exemplary E1 groups are listed in Tables 3a through 3b.

- G1 of Formula X is -OH, OR<sub>6a</sub>, or -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>2</sub>, G1 of Formula IX is N<sub>3</sub>, -CN, -OH, OR<sub>6a</sub>, -NO<sub>2</sub> or -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>2</sub>, wherein R<sub>1</sub> and m<sub>1</sub> are defined above. Ordinarily, G1 of Formula (IX) is -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>2</sub> and G1 of Formula (X) is H.

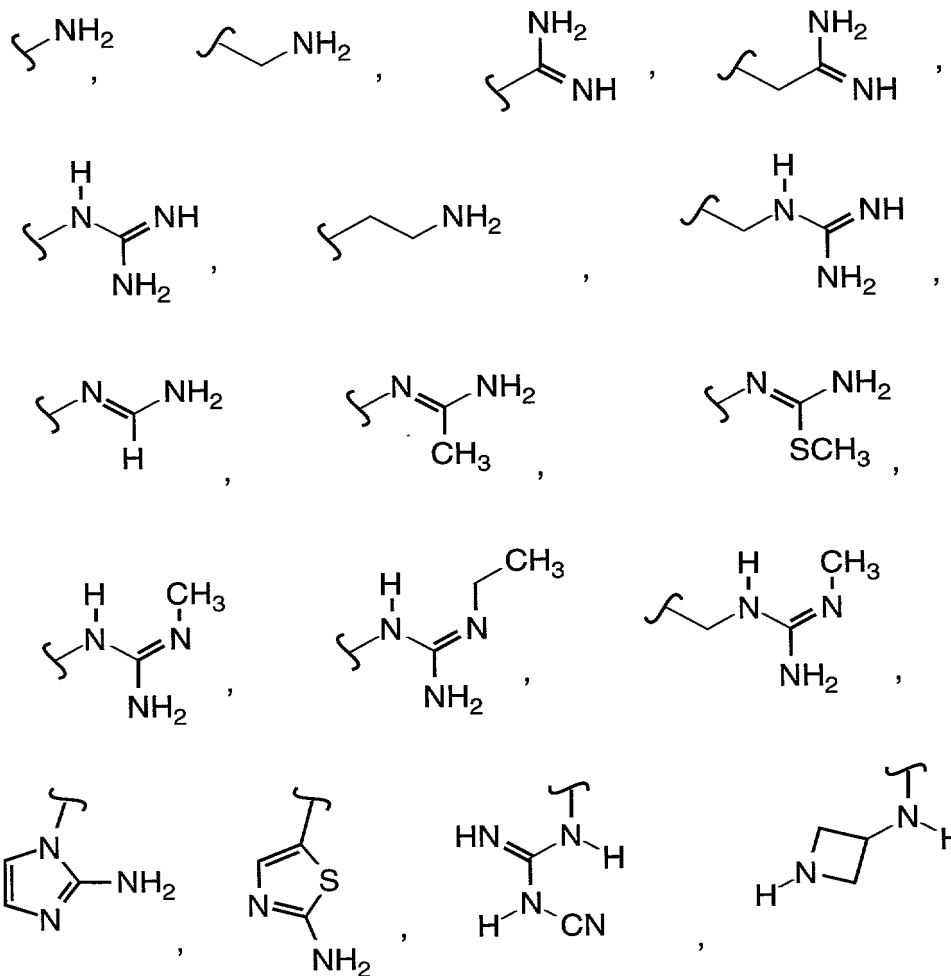
- W<sub>2</sub> of Formula (X) is H or a group comprising a basic heteroatom, a protected basic heteroatom or an R<sub>6b</sub> amide of the basic heteroatom. W<sub>2</sub> of Formula (IX) is a group comprising a basic heteroatom, a protected basic heteroatom or an R<sub>6b</sub> amide of the basic heteroatom. W<sub>2</sub> generally comprises a basic heteroatom, which, within the context of the invention means an atom other than carbon which is capable of protonation, typically by an acidic hydrogen having an acidity in the range described above for W<sub>1</sub>. The basic principles of basicity are described in Streitwieser and Heathcock (*op. cit.*) and provide meaning for the term basic heteroatom as will be understood by those ordinarily skilled in the art. Generally, the basic heteroatoms employed in the compounds of the invention have pK values for the corresponding protonated form that are in the range of values described above for W<sub>1</sub>. Basic heteroatoms include the heteroatoms common in organic compounds which

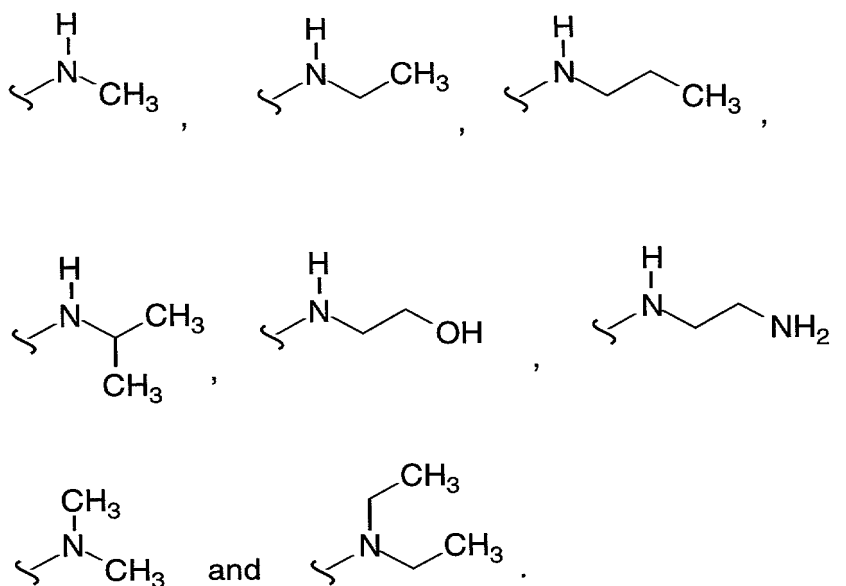
have an un-shared, non-bonding, n-type, or the like, electron pair. By way of example and not limitation, typical basic heteroatoms include the oxygen, nitrogen, and sulfur atoms of groups such as alcohols, amines, amidines, guanidines, sulfides, and the like, frequently, amines, amidines and  
5 guanidines. Ordinarily, W<sub>2</sub> is amino or an amino alkyl (generally lower alkyl C<sub>1</sub> to C<sub>6</sub>) group such as aminomethyl, aminoethyl or aminopropyl; an amidinyl, or an amidinoalkyl group such as amidinomethyl, amidinoethyl, or amidinopropyl; or guanidinyl, or a guanidinoalkyl group such as guanidinomethyl, guanidinoethyl, or guanidinopropyl (in each instance  
10 wherein the alkyl group serves to bridge the basic substituent to the carbocyclic ring). More typically, W<sub>2</sub> is amino, amidino, guanidino, heterocycle, heterocycle substituted with 1 or 2 amino or guanidino groups (usually 1), or an alkyl of 2 to 3 carbon atoms substituted with amino or guanidino, or such alkyl substituted with an amino and a second group  
15 selected from the group consisting of hydroxy and amino. The heterocycles useful as W<sub>2</sub> include typically N or S-containing 5 or 6 membered rings, wherein the ring contains 1 or 2 heteroatoms. Such heterocycles generally are substituted at ring carbon atoms. They may be saturated or unsaturated and may be linked to the core cyclohexene by lower alkyl (m<sub>1</sub>=1 or 2) or by -NR<sub>1</sub>-.  
20 Still more typically, W<sub>2</sub> is -NHR<sub>1</sub>, -C(NH)(NH<sub>2</sub>), -NR<sub>1</sub>-C(NR<sub>1</sub>)(NR<sub>1</sub>R<sub>3</sub>), -NH-C(NH)(NHR<sub>3</sub>), -NH-C(NH)(NHR<sub>1</sub>), -NH-C(NH)NH<sub>2</sub>, -CH(CH<sub>2</sub>NHR<sub>1</sub>)(CH<sub>2</sub>OH), -CH(CH<sub>2</sub>NHR<sub>1</sub>)(CH<sub>2</sub>NHR<sub>1</sub>), -CH(NHR<sub>1</sub>)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(NHR<sub>1</sub>)R<sub>1</sub>, -CH(OH)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(NHR<sub>1</sub>)R<sub>1</sub>, or -CH(NHR<sub>1</sub>)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(OH)R<sub>1</sub>, -(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-S-C(NH)NH<sub>2</sub>,  
25 -N=C(NHR<sub>1</sub>)(R<sub>3</sub>), -N=C(SR<sub>1</sub>)N(R<sub>1</sub>)<sub>2</sub>, -N(R<sub>1</sub>)C(NH)N(R<sub>1</sub>)C=N, or -N=C(NHR<sub>1</sub>)(R<sub>1</sub>); wherein each m<sub>2</sub> is ordinarily 0, and ordinarily R<sub>1</sub> is H and R<sub>3</sub> is C(O)N(R<sub>1</sub>)<sub>2</sub>.

W<sub>2</sub> optionally is a protected basic heteroatom which within the context of the invention means a basic heteroatom as described above that has been  
30 protected by R<sub>6b</sub> such as one of the groups common in the art. Such groups are described in detail in Greene (*op. cit.*) as set forth below. Such groups include by way of example and not limitation, amides, carbamates, amino acetals, imines, enamines, N-alkyl or N-aryl phosphinyls, N-alkyl or N-aryl sulfenyls or sulfonyls, N-alkyl or N-aryl silyls, thioethers, thioesters,  
35 disulfides, sulfenyls, and the like. In some embodiments, the protecting

group R<sub>6b</sub> will be cleavable under physiological conditions, typically it will be cleavable *in vivo* where, for example, the basic heteroatom forms an amide with an organic acid or an amino acid such as a naturally occurring amino acid or a polypeptide as described below for the R<sub>6a</sub> group.

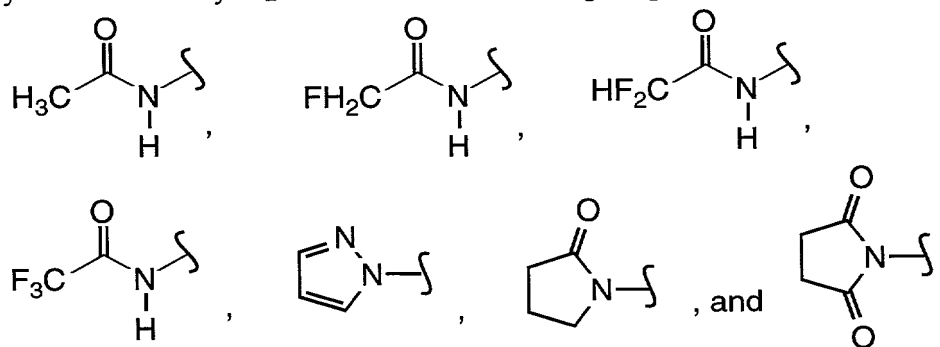
- 5 Typically G<sub>1</sub> of Formula (X) is H and G<sub>1</sub> of Formula (IX) is selected from the group consisting of:





Further exemplary G1 groups are listed in Table 4.

T1 is -NR1W3, -R3, -R5 or heterocycle. Typically T1 is -NR1W3 or  
5 heterocycle. Generally T1 is selected from the group consisting of:



Exemplary T1 groups are listed in Table 5.

W3 is W4 or W5, wherein W4 is R5 or -C(O)R5, -C(O)W5, -SO2R5, or  
-SO2W5. Typically, W3 is -C(O)R5 or W5.

10 R2 is independently R3 or R4 as defined below, with the proviso that each R4 is independently substituted with 0 to 3 R3 groups;

R3 is independently F, Cl, Br, I, -CN, N3, -NO2, -OR6a, -OR1, -N(R1)2, -N(R1)(R6b), -N(R6b)2, -SR1, -SR6a, -S(O)R1, -S(O)2R1, -S(O)OR1, -S(O)OR6a, -S(O)2OR1, -S(O)2OR6a, -C(O)OR1, -C(O)R6c, -C(O)OR6a, -OC(O)R1,  
15 -N(R1)(C(O)R1), -N(R6b)(C(O)R1), -N(R1)(C(O)OR1), -N(R6b)(C(O)OR1), -C(O)N(R1)2, -C(O)N(R6b)(R1), -C(O)N(R6b)2, -C(NR1)(N(R1)2),

-C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
-C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
-N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
-N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
5 -N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
-N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
-N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>),  
-N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), =O, =S, =N(R<sub>1</sub>), =N(R<sub>6b</sub>) or W<sub>5</sub>. Typically R<sub>3</sub> is F,  
Cl, -CN, N<sub>3</sub>, NO<sub>2</sub>, -OR<sub>6a</sub>, -OR<sub>1</sub>, -N(R<sub>1</sub>)<sub>2</sub>, -N(R<sub>1</sub>)(R<sub>6b</sub>), -N(R<sub>6b</sub>)<sub>2</sub>, -SR<sub>1</sub>, -SR<sub>6a</sub>,  
10 -C(O)OR<sub>1</sub>, -C(O)R<sub>6c</sub>, -C(O)OR<sub>6a</sub>, -OC(O)R<sub>1</sub>, -NR<sub>1</sub>C(O)R<sub>1</sub>, -N(R<sub>6b</sub>)C(O)R<sub>1</sub>,  
-C(O)N(R<sub>1</sub>)<sub>2</sub>, -C(O)N(R<sub>6b</sub>)(R<sub>1</sub>), -C(O)N(R<sub>6b</sub>)<sub>2</sub>, or =O. More typical R<sub>3</sub> groups  
comprising R<sub>6b</sub> include -C(O)N(R<sub>6b</sub>)<sub>2</sub> or -C(O)N(R<sub>6b</sub>)(R<sub>1</sub>). More typically yet  
R<sub>3</sub> is F, Cl, -CN, N<sub>3</sub>, -OR<sub>1</sub>, -N(R<sub>1</sub>)<sub>2</sub>, -SR<sub>1</sub>, -C(O)OR<sub>1</sub>, -OC(O)R<sub>1</sub>, or =O. More  
typically still, R<sub>3</sub> is F, -OR<sub>1</sub>, -N(R<sub>1</sub>)<sub>2</sub>, or =O. In the context of the present  
15 application, "=O" denotes a double bonded oxygen atom (oxo), and "=S"  
=N(R<sub>6b</sub>) and "=N(R<sub>1</sub>)" denote the sulfur and nitrogen analogs.

R<sub>4</sub> is alkyl of 1 to 12 carbon atoms, and alkynyl or alkenyl of 2 to 12  
carbon atoms. The alkyl R<sub>4</sub>'s are typically of 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, or 12  
carbon atoms and the alkenyl and alkynyl R<sub>4</sub>'s are typically of 2, 3, 4, 5, 6, 7, 8,  
20 9, 10, 11, or 12 carbon atoms. R<sub>4</sub> ordinarily is alkyl (as defined above). When  
R<sub>4</sub> is alkenyl it is typically ethenyl (-CH=CH<sub>2</sub>), 1-prop-1-enyl (-CH=CHCH<sub>3</sub>), 1-  
prop-2-enyl (-CH<sub>2</sub>CH=CH<sub>2</sub>), 2-prop-1-enyl (-C(=CH<sub>2</sub>)(CH<sub>3</sub>)), 1-but-1-enyl  
(-CH=CHCH<sub>2</sub>CH<sub>3</sub>), 1-but-2-enyl (-CH<sub>2</sub>CH=CHCH<sub>3</sub>), 1-but-3-enyl  
(-CH<sub>2</sub>CH<sub>2</sub>CH=CH<sub>2</sub>), 2-methyl-1-prop-1-enyl (-CH=C(CH<sub>3</sub>)<sub>2</sub>), 2-methyl-1-prop-  
25 2-enyl (-CH<sub>2</sub>C(=CH<sub>2</sub>)(CH<sub>3</sub>)), 2-but-1-enyl (-C(=CH<sub>2</sub>)CH<sub>2</sub>CH<sub>3</sub>), 2-but-2-enyl  
(-C(CH<sub>3</sub>)=CHCH<sub>3</sub>), 2-but-3-enyl (-CH(CH<sub>3</sub>)CH=CH<sub>2</sub>), 1-pent-1-enyl  
(-C=CHCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1-pent-2-enyl (-CHCH=CHCH<sub>2</sub>CH<sub>3</sub>), 1-pent-3-enyl  
(-CHCH<sub>2</sub>CH=CHCH<sub>3</sub>), 1-pent-4-enyl (-CHCH<sub>2</sub>CH<sub>2</sub>CH=CH<sub>2</sub>), 2-pent-1-enyl  
(-C(=CH<sub>2</sub>)CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-pent-2-enyl (-C(CH<sub>3</sub>)=CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-pent-3-enyl  
30 (-CH(CH<sub>3</sub>)CH=CHCH<sub>3</sub>), 2-pent-4-enyl (-CH(CH<sub>3</sub>)CH<sub>2</sub>CH=CH<sub>2</sub>) or 3-methyl-1-  
but-2-enyl (-CH<sub>2</sub>CH=C(CH<sub>3</sub>)<sub>2</sub>). More typically, R<sub>4</sub> alkenyl groups are of 2, 3 or  
4 carbon atoms. When R<sub>4</sub> is alkynyl it is typically ethynyl (-C≡CH), 1-prop-1-  
ynyl (-C≡CCH<sub>3</sub>), 1-prop-2-ynyl (-CH<sub>2</sub>C≡CH), 1-but-1-ynyl (-C≡CCH<sub>2</sub>CH<sub>3</sub>), 1-  
but-2-ynyl (-CH<sub>2</sub>C≡CCH<sub>3</sub>), 1-but-3-ynyl (-CH<sub>2</sub>CH<sub>2</sub>C≡CH), 2-but-3-ynyl  
35 (CH(CH<sub>3</sub>)C≡CH), 1-pent-1-ynyl (-C≡CCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1-pent-2-ynyl



(-CH<sub>2</sub>C≡CCH<sub>2</sub>CH<sub>3</sub>), 1-pent-3-ynyl (-CH<sub>2</sub>CH<sub>2</sub>C≡CCH<sub>3</sub>) or 1-pent-4-ynyl (-CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>C≡CH). More typically, R<sub>4</sub> alkynyl groups are of 2, 3 or 4 carbon atoms.

R<sub>5</sub> is R<sub>4</sub>, as defined above, or R<sub>4</sub> substituted with 0 to 3 R<sub>3</sub> groups.

- 5 Typically R<sub>5</sub> is an alkyl of 1 to 4 carbon atoms substituted with 0 to 3 fluorine atoms.

10 R<sub>5a</sub> is independently alkylene of 1 to 12 carbon atoms, alkenylene of 2 to 12 carbon atoms, or alkynylene of 2-12 carbon atoms any one of which alkylene, alkenylene or alkynylene is substituted with 0-3 R<sub>3</sub> groups. As defined above for R<sub>4</sub>, R<sub>5a</sub>'s are of 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, or 12 carbon atoms when alkylene and of 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, or 12 carbon atoms when alkenylene or alkynylene. Each of the typical R<sub>4</sub> groups is a typical R<sub>5a</sub> group with the proviso that one of the hydrogen atoms of the described R<sub>4</sub> group is removed to form the open valence to a carbon atom through which the  
15 second bond to the R<sub>5a</sub> is attached.

R<sub>14</sub> is normal or terminally secondary C<sub>1</sub>-C<sub>6</sub> alkyl.

20 W<sub>5</sub> is a carbocycle or heterocycle, with the proviso that each W<sub>5</sub> is independently substituted with 0 to 3 R<sub>2</sub> groups. W<sub>5</sub> carbocycles and T<sub>1</sub> and W<sub>5</sub> heterocycles are stable chemical structures. Such structures are isolatable in measurable yield, with measurable purity, from reaction mixtures at temperatures from -78°C to 200°C. Each W<sub>5</sub> is independently substituted with 0 to 3 R<sub>2</sub> groups. Typically, T<sub>1</sub> and W<sub>5</sub> are a saturated, unsaturated or aromatic ring comprising a mono- or bicyclic carbocycle or heterocycle. More typically, T<sub>1</sub> or W<sub>5</sub> has 3 to 10 ring atoms, still more typically, 3 to 7 ring  
25 atoms, and ordinarily 3 to 6 ring atoms. The T<sub>1</sub> and W<sub>5</sub> rings are saturated when containing 3 ring atoms, saturated or monounsaturated when containing 4 ring atoms, saturated, or mono- or diunsaturated when containing 5 ring atoms, and saturated, mono- or diunsaturated, or aromatic when containing 6 ring atoms. Unsaturation of the W<sub>5</sub> rings include internal and external unsaturation wherein the external incorporates a ring atom.  
30

When W<sub>5</sub> is carbocyclic, it is typically a 3 to 7 carbon monocycle or a 7 to 12 carbon atom bicycle. More typically, W<sub>5</sub> monocyclic carbocycles have 3 to 6 ring atoms, still more typically 5 or 6 ring atoms. W<sub>5</sub> bicyclic carbocycles typically have 7 to 12 ring atoms arranged as a bicyclo [4,5], [5,5], [5,6] or [6,6]  
35 system, still more typically, 9 or 10 ring atoms arranged as a bicyclo [5,6] or [6,6]

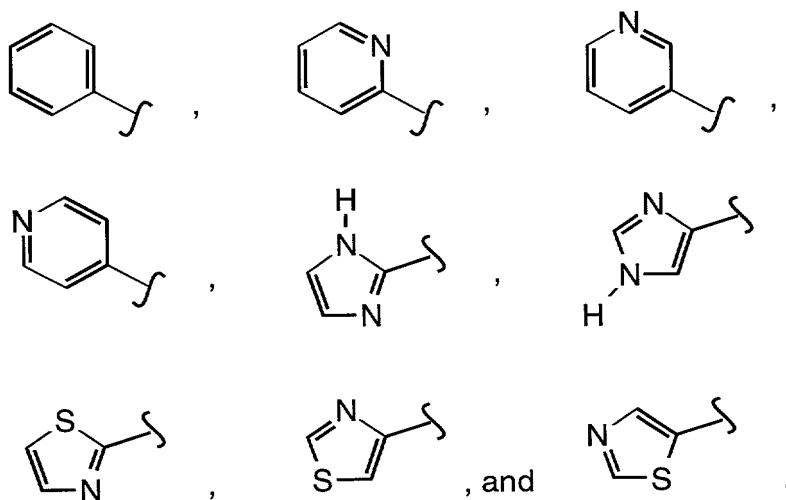
system. Examples include cyclopropyl, cyclobutyl, cyclopentyl, 1-cyclopent-1-enyl, 1-cyclopent-2-enyl, 1-cyclopent-3-enyl, cyclohexyl, 1-cyclohex-1-enyl, 1-cyclohex-2-enyl, 1-cyclohex-3-enyl, phenyl, spiryl and naphthyl.

5 A T<sub>1</sub> or W<sub>5</sub> heterocycle is typically a monocycle having 3 to 7 ring members (2 to 6 carbon atoms and 1 to 3 heteroatoms selected from N, O, P, and S) or a bicycle having 7 to 10 ring members (4 to 9 carbon atoms and 1 to 3 heteroatoms selected from N, O, P, and S). More typically, T<sub>1</sub> and W<sub>5</sub> heterocyclic monocycles have 3 to 6 ring atoms (2 to 5 carbon atoms and 1 to 2 heteroatoms selected from N, O, and S), still more typically, 5 or 6 ring atoms  
10 (3 to 5 carbon atoms and 1 to 2 heteroatoms selected from N and S). T<sub>1</sub> and W<sub>5</sub> heterocyclic bicycles have 7 to 10 ring atoms (6 to 9 carbon atoms and 1 to 2 heteroatoms selected from N, O, and S) arranged as a bicyclo [4,5], [5,5], [5,6], or [6,6] system, still more typically, 9 to 10 ring atoms (8 to 9 carbon atoms and 1 to 2 hetero atoms selected from N and S) arranged as a bicyclo [5,6] or [6,6]  
15 system.

Typically T<sub>1</sub> and W<sub>5</sub> heterocycles are selected from pyridyl, pyridazinyl, pyrimidinyl, pyrazinyl, s-triazinyl, oxazolyl, imidazolyl, thiazolyl, isoxazolyl, pyrazolyl, isothiazolyl, furanyl, thiofuranyl, thienyl, or pyrrolyl.

20 More typically, the heterocycle of T<sub>1</sub> and W<sub>5</sub> is bonded through a carbon atom or nitrogen atom thereof. Still more typically T<sub>1</sub> heterocycles are bonded by a stable covalent bond through a nitrogen atom thereof to the cyclohexene ring of the compositions of the invention and W<sub>5</sub> heterocycles are bonded by a stable covalent bond through a carbon or nitrogen atom thereof to the cyclohexene ring of the compositions of the invention. Stable  
25 covalent bonds are chemically stable structures as described above.

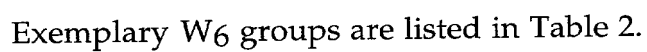
W<sub>5</sub> optionally is selected from the group consisting of:



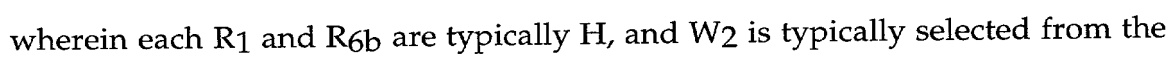
W<sub>6</sub> is -R<sub>5</sub>, -W<sub>5</sub>, -R<sub>5a</sub>W<sub>5</sub>, -C(O)OR<sub>6a</sub>, -C(O)R<sub>6c</sub>, -C(O)N(R<sub>6b</sub>)<sub>2</sub>,  
-C(NR<sub>6b</sub>)(N(R<sub>6b</sub>)<sub>2</sub>), -C(NR<sub>6b</sub>)(N(H)(R<sub>6b</sub>)), -C(N(H)(N(R<sub>6b</sub>)<sub>2</sub>), -C(S)N(R<sub>6b</sub>)<sub>2</sub>, or  
5 -C(O)R<sub>2</sub>, typically W<sub>6</sub> is -R<sub>5</sub>, -W<sub>5</sub>, or -R<sub>5a</sub>W<sub>5</sub>; in some embodiments, W<sub>6</sub> is  
R<sub>1</sub>, -C(O)-R<sub>1</sub>, -CHR<sub>1</sub>W<sub>7</sub>, -CH(R<sub>1</sub>)<sub>a</sub>W<sub>7</sub>, -CH(W<sub>7</sub>)<sub>2</sub>, (where, W<sub>7</sub> is monovalent  
a is 0 or 1, but is 0 when W<sub>7</sub> is divalent) or -C(O)W<sub>7</sub>. In some embodiments,  
W<sub>6</sub> is -CHR<sub>1</sub>W<sub>7</sub> or -C(O)W<sub>7</sub>, or W<sub>6</sub> is -(CH<sub>2</sub>)<sub>m1</sub>CH((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)<sub>2</sub>,  
-(CH<sub>2</sub>)<sub>m1</sub>C((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)<sub>3</sub>; -(CH<sub>2</sub>)<sub>m1</sub>CH((CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>)<sub>2</sub>;  
10 -(CH<sub>2</sub>)<sub>m1</sub>CH((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)((CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>);  
-(CH<sub>2</sub>)<sub>m1</sub>C((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>), (CH<sub>2</sub>)<sub>m1</sub>C((CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>)<sub>3</sub> or  
-(CH<sub>2</sub>)<sub>m1</sub>C((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)((CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>)<sub>2</sub>; and wherein m<sub>3</sub> is an integer  
from 1 to 3.

W<sub>7</sub> is R<sub>3</sub> or R<sub>5</sub>, but typically is alkyl of 1 to 12 carbons substituted with 0  
15 to 3 R<sub>3</sub> groups, the latter typically selected from the group consisting of  
-NR<sub>1</sub>(R<sub>6b</sub>), -N(R<sub>6b</sub>)<sub>2</sub>, -OR<sub>6a</sub>, or SR<sub>6a</sub>. More typically, W<sub>7</sub> is -OR<sub>1</sub> or an alkyl  
of 3 to 12 carbon atoms substituted with OR<sub>1</sub>.

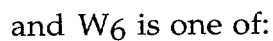
In general, W<sub>6</sub> is R<sub>1</sub>-, -CHR<sub>1</sub>W<sub>7</sub>,

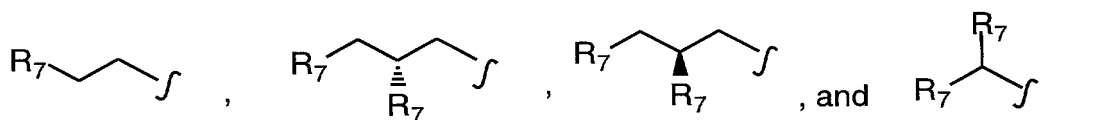


5 formula:



10 group consisting of:

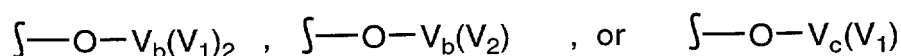
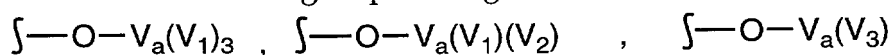




wherein R<sub>7</sub> is H, -CH<sub>3</sub>, -CH<sub>2</sub>CH<sub>3</sub>, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>, -OCH<sub>3</sub>, -OAc (-O-C(O)CH<sub>3</sub>), -OH, -NH<sub>2</sub>, or -SH, typically H, -CH<sub>3</sub> or -CH<sub>2</sub>CH<sub>3</sub>.

- 5        Groups R<sub>6a</sub> and R<sub>6b</sub> are not critical functionalities and may vary widely. When not H, their function is to serve as intermediates for the parental drug substance. This does not mean that they are biologically inactive. On the contrary, a principal function of these groups is to convert the parental drug into a prodrug, whereby the parental drug is released upon conversion of the prodrug *in vivo*. Because active prodrugs are absorbed more effectively than the parental drug they in fact often possess greater potency *in vivo* than the parental drug. When not hydrogen, R<sub>6a</sub> and R<sub>6b</sub> are removed either *in vitro*, in the instance of chemical intermediates, or *in vivo*, in the case of prodrugs. With chemical intermediates, it is not particularly important that the
- 10        resulting pro-functionality products, e.g. alcohols, be physiologically acceptable, although in general it is more desirable if the products are pharmacologically innocuous.

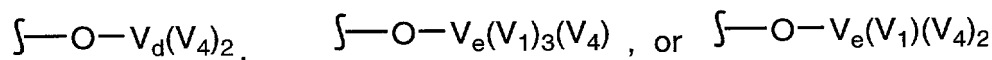
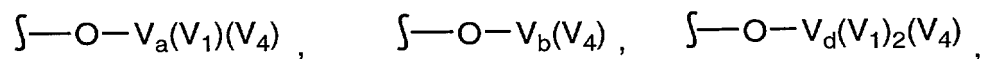
- 15        R<sub>6a</sub> is H or an ether- or ester-forming group. "Ether-forming group" means a group which is capable of forming a stable, covalent bond between the parental molecule and a group having the formula:



- Wherein V<sub>a</sub> is a tetravalent atom typically selected from C and Si; V<sub>b</sub> is a trivalent atom typically selected from B, Al, N, and P, more typically N and P; V<sub>c</sub> is a divalent atom typically selected from O, S, and Se, more typically S; V<sub>1</sub> is a group bonded to V<sub>a</sub>, V<sub>b</sub> or V<sub>c</sub> by a stable, single covalent bond, typically V<sub>1</sub> is W<sub>6</sub> groups, more typically V<sub>1</sub> is H, R<sub>2</sub>, W<sub>5</sub>, or -R<sub>5a</sub>W<sub>5</sub>, still more typically H or R<sub>2</sub>; V<sub>2</sub> is a group bonded to V<sub>a</sub> or V<sub>b</sub> by a stable, double covalent bond, provided that V<sub>2</sub> is not =O, =S or =N-, typically V<sub>2</sub> is =C(V<sub>1</sub>)<sub>2</sub> wherein V<sub>1</sub> is as described above; and V<sub>3</sub> is a group bonded to V<sub>a</sub> by a stable, triple covalent bond, typically V<sub>3</sub> is ≡C(V<sub>1</sub>) wherein V<sub>1</sub> is as described above.
- 25
- 30

"Ester-forming group" means a group which is capable of forming a

stable, covalent bond between the parental molecule and a group having the formula:



Wherein  $V_a$ ,  $V_b$ , and  $V_1$ , are as described above;  $V_d$  is a pentavalent atom typically selected from P and N;  $V_e$  is a hexavalent atom typically S; and  $V_4$  is a group bonded to  $V_a$ ,  $V_b$ ,  $V_d$  or  $V_e$  by a stable, double covalent bond, provided that at least one  $V_4$  is =O, =S or =N- $V_1$ , typically  $V_4$ , when other than =O, =S or =N-, is =C( $V_1$ )<sub>2</sub> wherein  $V_1$  is as described above.

Protecting groups for -OH functions (whether hydroxy, acid or other functions) are embodiments of "ether- or ester-forming groups".

Particularly of interest are ether- or ester-forming groups that are capable of functioning as protecting groups in the synthetic schemes set forth herein. However, some hydroxyl and thio protecting groups are neither ether- nor ester-forming groups, as will be understood by those skilled in the art, and are included with amides, discussed under  $R_{6c}$  below.  $R_{6c}$  is capable of protecting hydroxyl or thio groups such that hydrolysis from the parental molecule yields hydroxyl or thio.

In its ester-forming role,  $R_{6a}$  typically is bound to any acidic group such as, by way of example and not limitation, a -CO<sub>2</sub>H or -C(S)OH group, thereby resulting in -CO<sub>2</sub> $R_{6a}$ .  $R_{6a}$  for example is deduced from the enumerated ester groups of WO 95/07920.

Examples of  $R_{6a}$  include

$C_3$ - $C_{12}$  heterocycle (described above) or  $C_6$ - $C_{12}$  aryl. These aromatic groups optionally are polycyclic or monocyclic. Examples include phenyl, spiryl, 2- and 3-pyrrolyl, 2- and 3-thienyl, 2- and 4-imidazolyl, 2-, 4- and 5-oxazolyl, 3- and 4-isoxazolyl, 2-, 4- and 5-thiazolyl, 3-, 4- and 5-isothiazolyl, 3- and 4-pyrazolyl, 1-, 2-, 3- and 4-pyridinyl, and 1-, 2-, 4- and 5-pyrimidinyl,

$C_3$ - $C_{12}$  heterocycle or  $C_6$ - $C_{12}$  aryl substituted with halo,  $R_1$ ,  $R_1$ -O- $C_1$ - $C_{12}$  alkylene,  $C_1$ - $C_{12}$  alkoxy, CN, NO<sub>2</sub>, OH, carboxy, carboxyester, thiol, thioester,  $C_1$ - $C_{12}$  haloalkyl (1-6 halogen atoms),  $C_2$ - $C_{12}$  alkenyl or  $C_2$ - $C_{12}$  alkynyl. Such groups include 2-, 3- and 4-alkoxyphenyl ( $C_1$ - $C_{12}$  alkyl), 2-, 3-

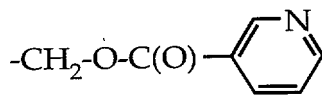
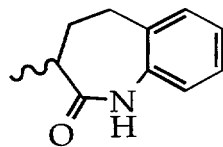
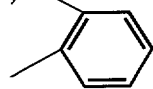
and 4-methoxyphenyl, 2-, 3- and 4-ethoxyphenyl, 2,3-, 2,4-, 2,5-, 2,6-, 3,4- and 3,5-diethoxyphenyl, 2- and 3-carboethoxy-4-hydroxyphenyl, 2- and 3-ethoxy-4-hydroxyphenyl, 2- and 3-ethoxy-5-hydroxyphenyl, 2- and 3-ethoxy-6-hydroxyphenyl, 2-, 3- and 4-O-acetylphenyl, 2-, 3- and 4-

5 dimethylaminophenyl, 2-, 3- and 4-methylmercaptophenyl, 2-, 3- and 4-halophenyl (including 2-, 3- and 4-fluorophenyl and 2-, 3- and 4-chlorophenyl), 2,3-, 2,4-, 2,5-, 2,6-, 3,4- and 3,5-dimethylphenyl, 2,3-, 2,4-, 2,5-, 2,6-, 3,4- and 3,5-biscarboxyethylphenyl, 2,3-, 2,4-, 2,5-, 2,6-, 3,4- and 3,5-

10 dimethoxyphenyl, 2,3-, 2,4-, 2,5-, 2,6-, 3,4- and 3,5-dihalophenyl (including 2,4-difluorophenyl and 3,5-difluorophenyl), 2-, 3- and 4-haloalkylphenyl (1 to 5 halogen atoms, C<sub>1</sub>-C<sub>12</sub> alkyl including 4-trifluoromethylphenyl), 2-, 3- and 4-cyanophenyl, 2-, 3- and 4-nitrophenyl, 2-, 3- and 4-haloalkylbenzyl (1 to 5 halogen atoms, C<sub>1</sub>-C<sub>12</sub> alkyl including 4-trifluoromethylbenzyl and 2-, 3- and 4-trichloromethylphenyl and 2-, 3- and 4-trichloromethylphenyl), 4-N-

15 methylpiperidiny, 3-N-methylpiperidiny, 1-ethylpiperazinyl, benzyl, alkylsalicylphenyl (C<sub>1</sub>-C<sub>4</sub> alkyl, including 2-, 3- and 4-ethylsalicylphenyl), 2-, 3- and 4-acetylphenyl, 1,8-dihydroxynaphthyl (-C<sub>10</sub>H<sub>6</sub>-OH) and aryloxy ethyl [C<sub>6</sub>-C<sub>9</sub> aryl (including phenoxy ethyl)], 2,2'-dihydroxybiphenyl, 2-, 3- and 4-N,N-dialkylaminophenol, -C<sub>6</sub>H<sub>4</sub>CH<sub>2</sub>-N(CH<sub>3</sub>)<sub>2</sub>, trimethoxybenzyl, triethoxybenzyl,

20 2-alkyl pyridinyl (C<sub>1-4</sub> alkyl);  
R<sub>1</sub>O(O)C



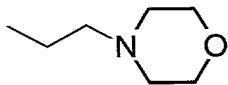
; C<sub>4</sub> - C<sub>8</sub> esters of 2-carboxyphenyl; and C<sub>1</sub>-C<sub>4</sub> alkylene-C<sub>3</sub>-C<sub>6</sub> aryl (including benzyl, -CH<sub>2</sub>-pyrrolyl, -CH<sub>2</sub>-thienyl, -CH<sub>2</sub>-imidazolyl, -CH<sub>2</sub>-oxazolyl, -CH<sub>2</sub>-isoxazolyl, -CH<sub>2</sub>-thiazolyl, -CH<sub>2</sub>-isothiazolyl, -CH<sub>2</sub>-pyrazolyl, -CH<sub>2</sub>-pyridinyl and -CH<sub>2</sub>-pyrimidinyl) substituted in the aryl moiety by 3 to 5

25 halogen atoms or 1 to 2 atoms or groups selected from halogen, C<sub>1</sub>-C<sub>12</sub> alkoxy (including methoxy and ethoxy), cyano, nitro, OH, C<sub>1</sub>-C<sub>12</sub> haloalkyl (1 to 6 halogen atoms; including -CH<sub>2</sub>-CCl<sub>3</sub>), C<sub>1</sub>-C<sub>12</sub> alkyl (including methyl and ethyl), C<sub>2</sub>-C<sub>12</sub> alkenyl or C<sub>2</sub>-C<sub>12</sub> alkynyl;

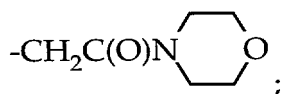
30 alkoxy ethyl [C<sub>1</sub>-C<sub>6</sub> alkyl including -CH<sub>2</sub>-CH<sub>2</sub>-O-CH<sub>3</sub> (methoxy ethyl)];

alkyl substituted by any of the groups set forth above for aryl, in

particular OH or by 1 to 3 halo atoms (including  $-\text{CH}_3$ ,  $-\text{CH}(\text{CH}_3)_2$ ,  $-\text{C}(\text{CH}_3)_3$ ,  $-\text{CH}_2\text{CH}_3$ ,  $-(\text{CH}_2)_2\text{CH}_3$ ,  $-(\text{CH}_2)_3\text{CH}_3$ ,  $-(\text{CH}_2)_4\text{CH}_3$ ,  $-(\text{CH}_2)_5\text{CH}_3$ ,  $-\text{CH}_2\text{CH}_2\text{F}$ ,  $-\text{CH}_2\text{CH}_2\text{Cl}$ ,  $-\text{CH}_2\text{CF}_3$ , and  $-\text{CH}_2\text{CCl}_3$ );



- 5 hydroxyindene, sesamol, catechol monoester,  $-\text{CH}_2-\text{C}(\text{O})-\text{N}(\text{R}^1)_2$ ,  $-\text{CH}_2-\text{S}(\text{O})(\text{R}^1)$ ,  $-\text{CH}_2-\text{S}(\text{O})_2(\text{R}^1)$ ,  $-\text{CH}_2-\text{CH}(\text{OC}(\text{O})\text{CH}_2\text{R}^1)-\text{CH}_2(\text{OC}(\text{O})\text{CH}_2\text{R}^1)$ , cholesteryl, enolpyruvate ( $\text{HOOC}-\text{C}(=\text{CH}_2)-$ ), glycerol;  
a 5 or 6 carbon monosaccharide, disaccharide or oligosaccharide (3 to 9 monosaccharide residues);
- 10 triglycerides such as  $\alpha$ -D- $\beta$ -diglycerides (wherein the fatty acids composing glyceride lipids generally are naturally occurring saturated or unsaturated  $\text{C}_{6-26}$ ,  $\text{C}_{6-18}$  or  $\text{C}_{6-10}$  fatty acids such as linoleic, lauric, myristic, palmitic, stearic, oleic, palmitoleic, linolenic and the like fatty acids) linked to acyl of the parental compounds herein through a glyceryl oxygen of the
- 15 triglyceride;  
phospholipids linked to the carboxyl group through the phosphate of the phospholipid;  
phthalidyl (shown in Fig. 1 of Clayton et al., "Antimicrob. Agents Chemo." 5(6):670-671 [1974]);
- 20 cyclic carbonates such as (5- $\text{R}_d$ -2-oxo-1,3-dioxolen-4-yl) methyl esters (Sakamoto et al., "Chem. Pharm. Bull." 32(6)2241-2248 [1984]) where  $\text{R}_d$  is  $\text{R}_1$ ,  $\text{R}_4$  or aryl; and



- 25 The hydroxyl groups of the compounds of this invention optionally are substituted with one of groups III, IV or V disclosed in WO94/21604, or with isopropyl.

- As further embodiments, Table A lists examples of  $\text{R}_{6a}$  ester moieties that for example can be bonded via oxygen to  $-\text{C}(\text{O})\text{O}-$  and  $-\text{P}(\text{O})(\text{O})_2$  groups. Several  $\text{R}_{6c}$  amidates also are shown, which are bound directly to  $-\text{C}(\text{O})-$  or
- 30  $-\text{P}(\text{O})_2$ . Esters of structures 1-5, 8-10 and 16, 17, 19-22 are synthesized by reacting the compound herein having a free hydroxyl with the corresponding halide (chloride or acyl chloride and the like) and N,N-dicyclohexyl-N-morpholine carboxamidine (or another base such as DBU, triethylamine,  $\text{CsCO}_3$ , N,N-

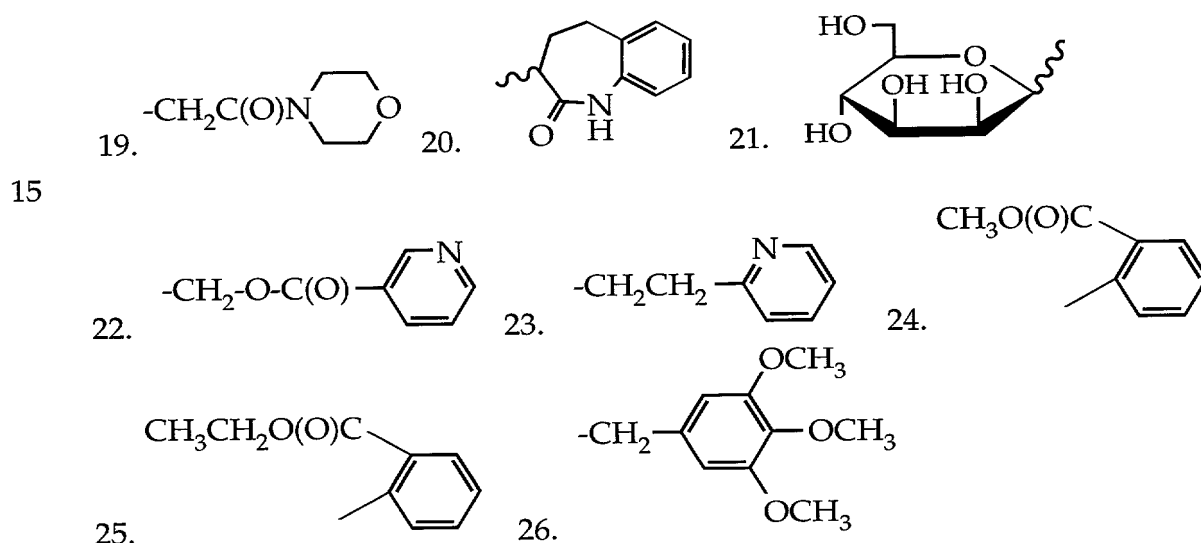


- dimethylaniline and the like) in DMF (or other solvent such as acetonitrile or N-methylpyrrolidone). When W<sub>1</sub> is phosphonate, the esters of structures 5-7, 11, 12, 21, and 23-26 are synthesized by reaction of the alcohol or alkoxide salt (or the corresponding amines in the case of compounds such as 13, 14 and 15)
- 5 with the monochlorophosphonate or dichlorophosphonate (or another activated phosphonate).

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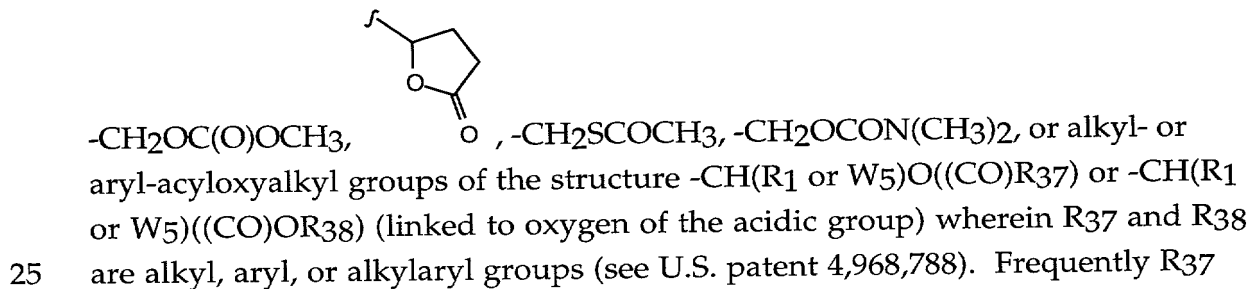
TABLE A

- |                                                                                |                                                                                                                                                   |
|--------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. $-\text{CH}_2-\text{C}(\text{O})-\text{N}(\text{R}_1)_2$                    | 10. $-\text{CH}_2-\text{O}-\text{C}(\text{O})-\text{C}(\text{CH}_3)_3$                                                                            |
| 2. $-\text{CH}_2-\text{S}(\text{O})(\text{R}_1)$                               | 11. $-\text{CH}_2-\text{CCl}_3$                                                                                                                   |
| 5 3. $-\text{CH}_2-\text{S}(\text{O})_2(\text{R}_1)$                           | 12. $-\text{C}_6\text{H}_5$                                                                                                                       |
| 4. $-\text{CH}_2-\text{O}-\text{C}(\text{O})-\text{CH}_2-\text{C}_6\text{H}_5$ | 13. $-\text{NH}-\text{CH}_2-\text{C}(\text{O})\text{O}-\text{CH}_2\text{CH}_3$                                                                    |
| 5. 3-cholesteryl                                                               | 14. $-\text{N}(\text{CH}_3)-\text{CH}_2-\text{C}(\text{O})\text{O}-\text{CH}_2\text{CH}_3$                                                        |
| 6. 3-pyridyl                                                                   | 15. $-\text{NHR}_1$                                                                                                                               |
| 7. N-ethylmorpholino                                                           | 16. $-\text{CH}_2-\text{O}-\text{C}(\text{O})-\text{C}_{10}\text{H}_{15}$                                                                         |
| 10 8. $-\text{CH}_2-\text{O}-\text{C}(\text{O})-\text{C}_6\text{H}_5$          | 17. $-\text{CH}_2-\text{O}-\text{C}(\text{O})-\text{CH}(\text{CH}_3)_2$                                                                           |
| 9. $-\text{CH}_2-\text{O}-\text{C}(\text{O})-\text{CH}_2\text{CH}_3$           | 18. $-\text{CH}_2-\text{C}\equiv\text{H}(\text{OC}(\text{O})\text{CH}_2\text{R}_1)-\text{CH}_2-$<br>$-(\text{OC}(\text{O})\text{CH}_2\text{R}_1)$ |



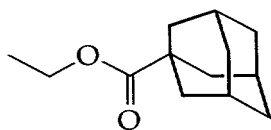
# - chiral center is (R), (S) or racemate.

- 20 Other esters that are suitable for use herein are described in EP 632,048.  
R<sub>6a</sub> also includes "double ester" forming profunctionalities such as



and R<sub>38</sub> are bulky groups such as branched alkyl, ortho-substituted aryl, meta-substituted aryl, or combinations thereof, including normal, secondary, iso- and tertiary alkyls of 1-6 carbon atoms. An example is the pivaloyloxymethyl group. These are of particular use with prodrugs for oral administration.

- 5 Examples of such useful R<sub>6a</sub> groups are alkylacyloxymethyl esters and their derivatives, including -CH(CH<sub>2</sub>CH<sub>2</sub>OCH<sub>3</sub>)OC(O)C(CH<sub>3</sub>)<sub>3</sub>,



- ; -CH<sub>2</sub>OC(O)C<sub>10</sub>H<sub>15</sub>, -CH<sub>2</sub>OC(O)C(CH<sub>3</sub>)<sub>3</sub>,  
-CH(CH<sub>2</sub>OCH<sub>3</sub>)OC(O)C(CH<sub>3</sub>)<sub>3</sub>, -CH(CH(CH<sub>3</sub>)<sub>2</sub>)OC(O)C(CH<sub>3</sub>)<sub>3</sub>,  
-CH<sub>2</sub>OC(O)CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, -CH<sub>2</sub>OC(O)C<sub>6</sub>H<sub>11</sub>, -CH<sub>2</sub>OC(O)C<sub>6</sub>H<sub>5</sub>,  
10 -CH<sub>2</sub>OC(O)C<sub>10</sub>H<sub>15</sub>, -CH<sub>2</sub>OC(O)CH<sub>2</sub>CH<sub>3</sub>, -CH<sub>2</sub>OC(O)CH(CH<sub>3</sub>)<sub>2</sub>,  
-CH<sub>2</sub>OC(O)C(CH<sub>3</sub>)<sub>3</sub> and -CH<sub>2</sub>OC(O)CH<sub>2</sub>C<sub>6</sub>H<sub>5</sub>.

For prodrug purposes, the ester typically chosen is one heretofore used for antibiotic drugs, in particular the cyclic carbonates, double esters, or the phthalidyl, aryl or alkyl esters.

- 15 As noted, R<sub>6a</sub>, R<sub>6c</sub> and R<sub>6b</sub> groups optionally are used to prevent side reactions with the protected group during synthetic procedures, so they function as protecting groups (PRT) during synthesis. For the most part the decision as to which groups to protect, when to do so, and the nature of the PRT will be dependent upon the chemistry of the reaction to be protected  
20 against (e.g., acidic, basic, oxidative, reductive or other conditions) and the intended direction of the synthesis. The PRT groups do not need to be, and generally are not, the same if the compound is substituted with multiple PRT. In general, PRT will be used to protect carboxyl, hydroxyl or amino groups. The order of deprotection to yield free groups is dependent upon the intended  
25 direction of the synthesis and the reaction conditions to be encountered, and may occur in any order as determined by the artisan.

- A very large number of R<sub>6a</sub> hydroxy protecting groups and R<sub>6c</sub> amide-forming groups and corresponding chemical cleavage reactions are described in "Protective Groups in Organic Chemistry", Theodora W. Greene (John  
30 Wiley & Sons, Inc., New York, 1991, ISBN 0-471-62301-6) ("Greene"). See also Kocienski, Philip J.; "Protecting Groups" (Georg Thieme Verlag Stuttgart, New York, 1994), which is incorporated by reference in its entirety herein. In particular Chapter 1, Protecting Groups: An Overview, pages 1-20, Chapter 2,

Hydroxyl Protecting Groups, pages 21-94, Chapter 3, Diol Protecting Groups, pages 95-117, Chapter 4, Carboxyl Protecting Groups, pages 118-154, Chapter 5, Carbonyl Protecting Groups, pages 155-184. For R<sub>6a</sub> carboxylic acid,

5 phosphonic acid, phosphonate, sulfonic acid and other protecting groups for W<sub>1</sub> acids see Greene as set forth below. Such groups include by way of example and not limitation, esters, amides, hydrazides, and the like.

In some embodiments the R<sub>6a</sub> protected acidic group is an ester of the acidic group and R<sub>6a</sub> is the residue of a hydroxyl-containing functionality. In other embodiments, an R<sub>6c</sub> amino compound is used to protect the acid  
10 functionality. The residues of suitable hydroxyl or amino-containing functionalities are set forth above or are found in WO 95/07920. Of particular interest are the residues of amino acids, amino acid esters, polypeptides, or aryl alcohols. Typical amino acid, polypeptide and carboxyl-esterified amino acid residues are described on pages 11-18 and related text of WO 95/07920 as  
15 groups L1 or L2. WO 95/07920 expressly teaches the amidates of phosphonic acids, but it will be understood that such amidates are formed with any of the acid groups set forth herein and the amino acid residues set forth in WO 95/07920.

Typical R<sub>6a</sub> esters for protecting W<sub>1</sub> acidic functionalities are also  
20 described in WO 95/07920, again understanding that the same esters can be formed with the acidic groups herein as with the phosphonate of the '920 publication. Typical ester groups are defined at least on WO 95/07920 pages 89-93 (under R<sup>31</sup> or R<sup>35</sup>), the table on page 105, and pages 21-23 (as R). Of particular interest are esters of unsubstituted aryl such as phenyl or arylalkyl  
25 such benzyl, or hydroxy-, halo-, alkoxy-, carboxy- and/or alkylestercarboxy-substituted aryl or alkylaryl, especially phenyl, ortho-ethoxyphenyl, or C<sub>1</sub>-C<sub>4</sub> alkylestercarboxyphenyl (salicylate C<sub>1</sub>-C<sub>12</sub> alkylesters).

The protected acidic groups W<sub>1</sub>, particularly when using the esters or amides of WO 95/07920, are useful as prodrugs for oral administration.  
30 However, it is not essential that the W<sub>1</sub> acidic group be protected in order for the compounds of this invention to be effectively administered by the oral route. When the compounds of the invention having protected groups, in particular amino acid amidates or substituted and unsubstituted aryl esters are administered systemically or orally they are capable of hydrolytic cleavage *in*  
35 *vivo* to yield the free acid.

One or more of the acidic hydroxyls are protected. If more than one acidic hydroxyl is protected then the same or a different protecting group is employed, e.g., the esters may be different or the same, or a mixed amide and ester may be used.

- 5 Typical R<sub>6a</sub> hydroxy protecting groups described in Greene (pages 14-118) include Ethers (Methyl); Substituted Methyl Ethers (Methoxymethyl, Methylthiomethyl, *t*-Butylthiomethyl, (Phenyldimethylsilyl)methoxymethyl, Benzyloxymethyl, *p*-Methoxybenzyloxymethyl, (4-Methoxyphenoxy)methyl, Guaiacolmethyl, *t*-Butoxymethyl, 4-Pentenylloxymethyl, Siloxymethyl, 2-  
10 Methoxyethoxymethyl, 2,2,2-Trichloroethoxymethyl, Bis(2-chloroethoxy)methyl, 2-(Trimethylsilyl)ethoxymethyl, Tetrahydropyranyl, 3-Bromotetrahydropyranyl, Tetrahydrothiopyranyl, 1-Methoxycyclohexyl, 4-Methoxytetrahydropyranyl, 4-Methoxytetrahydrothiopyranyl, 4-Methoxytetrahydrothiopyranyl *S,S*-Dioxido, 1-[(2-Chloro-4-methyl)phenyl]-4-methoxypiperidin-4-yl, 35, 1,4-Dioxan-2-yl, Tetrahydrofuran-yl, 15 Tetrahydrothiofuran-yl, 2,3,3a,4,5,6,7,7a-Octahydro-7,8,8-trimethyl-4,7-methanobenzofuran-2-yl)); Substituted Ethyl Ethers (1-Ethoxyethyl, 1-(2-Chloroethoxy)ethyl, 1-Methyl-1-methoxyethyl, 1-Methyl-1-benzyloxyethyl, 1-Methyl-1-benzyloxy-2-fluoroethyl, 2,2,2-Trichloroethyl, 2-Trimethylsilylethyl, 20 2-(Phenylselenyl)ethyl, *t*-Butyl, Allyl, *p*-Chlorophenyl, *p*-Methoxyphenyl, 2,4-Dinitrophenyl, Benzyl); Substituted Benzyl Ethers (*p*-Methoxybenzyl, 3,4-Dimethoxybenzyl, *o*-Nitrobenzyl, *p*-Nitrobenzyl, *p*-Halobenzyl, 2,6-Dichlorobenzyl, *p*-Cyanobenzyl, *p*-Phenylbenzyl, 2- and 4-Picolyl, 3-Methyl-2-picolyl *N*-Oxido, Diphenylmethyl, *p,p'*-Dinitrobenzhydryl, 5-Dibenzosuberyl, 25 Triphenylmethyl,  $\alpha$ -Naphthylmethyl, *p*-methoxyphenyldiphenylmethyl, Di(*p*-methoxyphenyl)phenylmethyl, Tri(*p*-methoxyphenyl)methyl, 4-(4'-Bromophenacyloxy)phenyldiphenylmethyl, 4,4',4''-Tris(4,5-dichlorophthalimidophenyl)methyl, 4,4',4''-Tris(levulinoyloxyphenyl)methyl, 4,4',4''-Tris(benzoyloxyphenyl)methyl, 3-  
30 (Imidazol-1-ylmethyl)bis(4',4''-dimethoxyphenyl)methyl, 1,1-Bis(4-methoxyphenyl)-1'-pyrenylmethyl, 9-Anthryl, 9-(9-Phenyl)xanthenyl, 9-(9-Phenyl-10-oxo)anthryl, 1,3-Benzodithiolan-2-yl, Benzisothiazolyl *S,S*-Dioxido); Silyl Ethers (Trimethylsilyl, Triethylsilyl, Triisopropylsilyl, Dimethylisopropylsilyl, Diethylisopropylsilyl, Dimethylhexylsilyl, *t*-  
35 Butyldimethylsilyl, *t*-Butyldiphenylsilyl, Tribenzylsilyl, Tri-*p*-xylylsilyl, Triphenylsilyl, Diphenylmethylsilyl, *t*-Butylmethoxyphenylsilyl); Esters

(Formate, Benzoylformate, Acetate, Chloroacetate, Dichloroacetate, Trichloroacetate, Trifluoroacetate, Methoxyacetate, Triphenylmethoxyacetate, Phenoxyacetate, *p*-Chlorophenoxyacetate, *p*-poly-Phenylacetate, 3-Phenylpropionate, 4-Oxopentanoate (Levulinate), 4,4-

5 (Ethylenedithio)pentanoate, Pivaloate, Adamantoate, Crotonate, 4-Methoxycrotonate, Benzoate, *p*-Phenylbenzoate, 2,4,6-Trimethylbenzoate (Mesitoate)); Carbonates (Methyl, 9-Fluorenylmethyl, Ethyl, 2,2,2-Trichloroethyl, 2-(Trimethylsilyl)ethyl, 2-(Phenylsulfonyl)ethyl, 2-(Triphenylphosphonio)ethyl, Isobutyl, Vinyl, Allyl, *p*-Nitrophenyl, Benzyl, *p*-

10 Methoxybenzyl, 3,4-Dimethoxybenzyl, *o*-Nitrobenzyl, *p*-Nitrobenzyl, *S*-Benzyl Thiocarbonate, 4-Ethoxy-1-naphthyl, Methyl Dithiocarbonate); Groups With Assisted Cleavage (2-Iodobenzoate, 4-Azidobutyrate, 4-Nitro-4-methylpentanoate, *o*-(Dibromomethyl)benzoate, 2-Formylbenzenesulfonate, 2-(Methylthiomethoxy)ethyl Carbonate, 4-(Methylthiomethoxy)butyrate, 2-

15 (Methylthiomethoxymethyl)benzoate); Miscellaneous Esters (2,6-Dichloro-4-methylphenoxyacetate, 2,6-Dichloro-4-(1,1,3,3-tetramethylbutyl)phenoxyacetate, 2,4-Bis(1,1-dimethylpropyl)phenoxyacetate, Chorodiphenylacetate, Isobutyrate, Monosuccinoate, (*E*)-2-Methyl-2-butenate (Tigloate), *o*-(Methoxycarbonyl)benzoate, *p*-poly-Benzoate,  $\alpha$ -Naphthoate,

20 Nitrate, Alkyl *N,N,N',N'*-Tetramethylphosphorodiamidate, *N*-Phenylcarbamate, Borate, Dimethylphosphinothioyl, 2,4-Dinitrophenylsulfenate); and Sulfonates (Sulfate, Methanesulfonate (Mesylate), Benzylsulfonate, Tosylate).

More typically, R<sub>6a</sub> hydroxy protecting groups include substituted

25 methyl ethers, substituted benzyl ethers, silyl ethers, and esters including sulfonic acid esters, still more typically, trialkylsilyl ethers, tosylates and acetates.

Typical 1,2-diol protecting groups (thus, generally where two OH groups are taken together with the R<sub>6a</sub> protecting functionality) are described

30 in Greene at pages 118-142 and include Cyclic Acetals and Ketals (Methylene, Ethylidene, 1-*t*-Butylethylidene, 1-Phenylethylidene, (4-Methoxyphenyl)ethylidene, 2,2,2-Trichloroethylidene, Acetonide (Isopropylidene), Cyclopentylidene, Cyclohexylidene, Cycloheptylidene, Benzylidene, *p*-Methoxybenzylidene, 2,4-Dimethoxybenzylidene, 3,4-

35 Dimethoxybenzylidene, 2-Nitrobenzylidene); Cyclic Ortho Esters (Methoxymethylene, Ethoxymethylene, Dimethoxymethylene, 1-

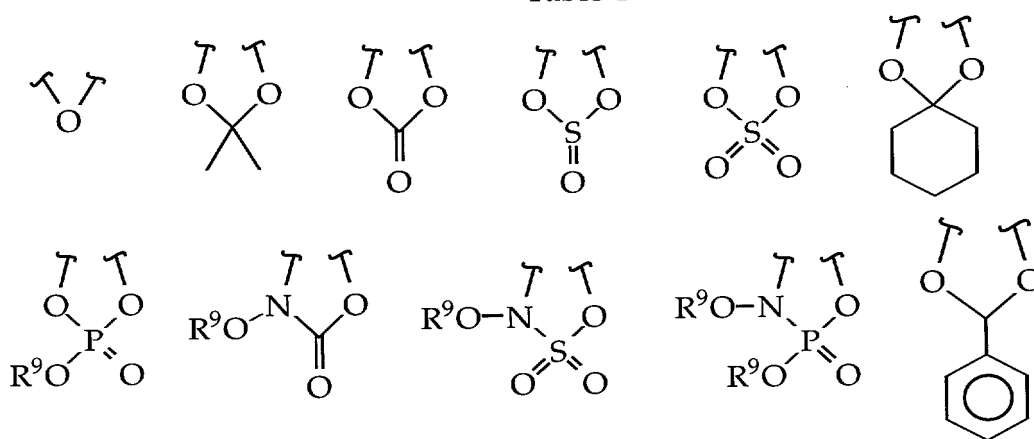
Methoxyethylidene, 1-Ethoxyethylidene, 1,2-Dimethoxyethylidene,  $\alpha$ -Methoxybenzylidene, 1-(*N,N*-Dimethylamino)ethylidene Derivative,  $\alpha$ -(*N,N*-Dimethylamino)benzylidene Derivative, 2-Oxacyclopentylidene); Silyl Derivatives (Di-*t*-butylsilylene Group, 1,3-(1,1,3,3-

- 5 Tetraisopropylidisiloxanylidene), and Tetra-*t*-butoxydisiloxane-1,3-diylidene), Cyclic Carbonates, Cyclic Boronates, Ethyl Boronate and Phenyl Boronate.

More typically, 1,2-diol protecting groups include those shown in Table B, still more typically, epoxides, acetonides, cyclic ketals and aryl acetals.

10

Table B



wherein  $R^9$  is  $C_1$ - $C_6$  alkyl.

- 15  $R_{6b}$  is H, a protecting group for amino or the residue of a carboxyl-containing compound, in particular H,  $-C(O)R_4$ , an amino acid, a polypeptide or a protecting group not  $-C(O)R_4$ , amino acid or polypeptide. Amide-forming  $R_{6b}$  are found for instance in group G1. When  $R_{6b}$  is an amino acid or polypeptide it has the structure  $R_{15}NHCH(R_{16})C(O)-$ , where  $R_{15}$  is H, an amino acid or polypeptide residue, or  $R_5$ , and  $R_{16}$  is defined below.

- 20  $R_{16}$  is lower alkyl or lower alkyl ( $C_1$ - $C_6$ ) substituted with amino, carboxyl, amide, carboxyl ester, hydroxyl,  $C_6$ - $C_7$  aryl, guanidiny, imidazolyl, indolyl, sulfhydryl, sulfoxide, and/or alkylphosphate.  $R_{16}$  also is taken together with the amino acid  $\alpha$  N to form a proline residue ( $R_{16} = -CH_2)_3$ ). However,  $R_{16}$  is generally the side group of a naturally-occurring amino acid
- 25 such as H,  $-CH_3$ ,  $-CH(CH_3)_2$ ,  $-CH_2-CH(CH_3)_2$ ,  $-CHCH_3-CH_2-CH_3$ ,  $-CH_2-C_6H_5$ ,  $-CH_2CH_2-S-CH_3$ ,  $-CH_2OH$ ,  $-CH(OH)-CH_3$ ,  $-CH_2-SH$ ,  $-CH_2-C_6H_4OH$ ,  $-CH_2-CO-NH_2$ ,  $-CH_2-CH_2-CO-NH_2$ ,  $-CH_2-COOH$ ,  $-CH_2-CH_2-COOH$ ,  $-(CH_2)_4-NH_2$  and  $-(CH_2)_3-NH-C(NH_2)-NH_2$ .  $R_{16}$  also includes 1-guanidinoprop-3-yl, benzyl, 4-

hydroxybenzyl, imidazol-4-yl, indol-3-yl, methoxyphenyl and ethoxyphenyl.

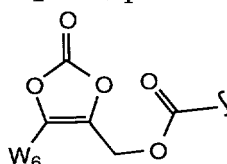
- R<sub>6b</sub> are residues of carboxylic acids for the most part, but any of the typical amino protecting groups described by Greene at pages 315-385 are useful. They include Carbamates (methyl and ethyl, 9-fluorenylmethyl, 9(2-sulfo)fluorenylmethyl, 9-(2,7-dibromo)fluorenylmethyl, 2,7-di-*t*-buthyl-[9-(10,10-dioxo-10,10,10,10-tetrahydrothioxanthyl)]methyl, 4-methoxyphenacyl);
- 5 Substituted Ethyl (2,2,2-trichloroethyl, 2-trimethylsilylethyl, 2-phenylethyl, 1-(1-adamantyl)-1-methylethyl, 1,1-dimethyl-2-haloethyl, 1,1-dimethyl-2,2-dibromoethyl, 1,1-dimethyl-2,2,2-trichloroethyl, 1-methyl-1-(4-biphenyl)ethyl, 1-(3,5-di-*t*-butylphenyl)-1-methylethyl, 2-(2'- and 4'-pyridyl)ethyl, 2-(*N,N*-dicyclohexylcarboxamido)ethyl, *t*-butyl, 1-adamantyl, vinyl, allyl, 1-isopropylallyl, cinnamyl, 4-nitrocinnamyl, 8-quinolyl, *N*-hydroxypiperidiny, alkylidithio, benzyl, *p*-methoxybenzyl, *p*-nitrobenzyl, *p*-bromobenzyl, *p*-chlorobenzyl, 2,4-dichlorobenzyl, 4-methylsulfinylbenzyl, 9-anthrylmethyl, diphenylmethyl); Groups With Assisted Cleavage (2-methylthioethyl, 2-methylsulfonylethyl, 2-(*p*-toluenesulfonyl)ethyl, [2-(1,3-dithianyl)]methyl, 4-methylthiophenyl, 2,4-dimethylthiophenyl, 2-phosphonioethyl, 2-triphenylphosphonioisopropyl, 1,1-dimethyl-2-cyanoethyl, *m*-chloro-*p*-acyloxybenzyl, *p*-(dihydroxyboryl)benzyl, 5-benzisoxazolylmethyl, 2-(trifluoromethyl)-6-chromonylmethyl); Groups
- 20 Capable of Photolytic Cleavage (*m*-nitrophenyl, 3,5-dimethoxybenzyl, *o*-nitrobenzyl, 3,4-dimethoxy-6-nitrobenzyl, phenyl(*o*-nitrophenyl)methyl); Urea-Type Derivatives (phenothiazinyl-(10)-carbonyl, *N'*-*p*-toluenesulfonylamino-carbonyl, *N'*-phenylaminothiocarbonyl);
- 25 Miscellaneous Carbamates (*t*-amyl, *S*-benzyl thiocarbamate, *p*-cyanobenzyl, cyclobutyl, cyclohexyl, cyclopentyl, cyclopropylmethyl, *p*-decyloxybenzyl, diisopropylmethyl, 2,2-dimethoxycarbonylvinyl, *o*-(*N,N*-dimethylcarboxamido)benzyl, 1,1-dimethyl-3-(*N,N*-dimethylcarboxamido)propyl, 1,1-dimethylpropynyl, di(2-pyridyl)methyl, 2-furanylmethyl, 2-Iodoethyl, Isobornyl, Isobutyl, Isonicotinyl, *p*-(*p'*-Methoxyphenylazo)benzyl, 1-methylcyclobutyl, 1-methylcyclohexyl, 1-methyl-1-cyclopropylmethyl, 1-methyl-1-(3,5-dimethoxyphenyl)ethyl, 1-methyl-1-(*p*-phenylazophenyl)ethyl, 1-methyl-1-phenylethyl, 1-methyl-1-(4-pyridyl)ethyl, phenyl, *p*-(phenylazo)benzyl, 2,4,6-tri-*t*-butylphenyl, 4-
- 30 (trimethylammonium)benzyl, 2,4,6-trimethylbenzyl); Amides (*N*-formyl, *N*-acetyl, *N*-chloroacetyl, *N*-trichloroacetyl, *N*-trifluoroacetyl, *N*-phenylacetyl, *N*-



3-phenylpropionyl, *N*-picolinoyl, *N*-3-pyridylcarboxamide, *N*-benzoylphenylalanyl, *N*-benzoyl, *N*-*p*-phenylbenzoyl); Amides With Assisted Cleavage (*N*-*o*-nitrophenylacetyl, *N*-*o*-nitrophenoxycetyl, *N*-acetoacetyl, (*N*'-dithiobenzyloxycarbonylamino)acetyl, *N*-3-(*p*-hydroxyphenyl)propionyl, *N*-3-  
5 (*o*-nitrophenyl)propionyl, *N*-2-methyl-2-(*o*-nitrophenoxy)propionyl, *N*-2-methyl-2-(*o*-phenylazophenoxy)propionyl, *N*-4-chlorobutyryl, *N*-3-methyl-3-nitrobutyryl, *N*-*o*-nitrocinnamoyl, *N*-acetylmethionine, *N*-*o*-nitrobenzoyl, *N*-*o*-(benzoyloxymethyl)benzoyl, 4,5-diphenyl-3-oxazolin-2-one); Cyclic Imide Derivatives (*N*-phthalimide, *N*-dithiasuccinoyl, *N*-2,3-diphenylmaleoyl, *N*-  
10 2,5-dimethylpyrrolyl, *N*-1,1,4,4-tetramethyldisilylazacyclopentane adduct, 5-substituted 1,3-dimethyl-1,3,5-triazacyclohexan-2-one, 5-substituted 1,3-dibenzyl-1,3,5-triazacyclohexan-2-one, 1-substituted 3,5-dinitro-4-pyridonyl); *N*-Alkyl and *N*-Aryl Amines (*N*-methyl, *N*-allyl, *N*-[2-(trimethylsilyl)ethoxy]methyl, *N*-3-acetoxypropyl, *N*-(1-isopropyl-4-nitro-2-  
15 oxo-3-pyrrolin-3-yl), Quaternary Ammonium Salts, *N*-benzyl, *N*-di(4-methoxyphenyl)methyl, *N*-5-dibenzosuberyl, *N*-triphenylmethyl, *N*-(4-methoxyphenyl)diphenylmethyl, *N*-9-phenylfluorenyl, *N*-2,7-dichloro-9-fluorenylmethylene, *N*-ferrocenylmethyl, *N*-2-picolylamine *N*'-oxide), Imine Derivatives (*N*-1,1-dimethylthiomethylene, *N*-benzylidene, *N*-*p*-  
20 methoxybenzylidene, *N*-diphenylmethylene, *N*-[(2-pyridyl)mesityl]methylene, *N*-(*N*',*N*'-dimethylaminomethylene, *N*-(*N*',*N*'-isopropylidene, *N*-*p*-nitrobenzylidene, *N*-salicylidene, *N*-5-chlorosalicylidene, *N*-(5-chloro-2-hydroxyphenyl)phenylmethylene, *N*-cyclohexylidene); Enamine Derivatives (*N*-(5,5-dimethyl-3-oxo-1-cyclohexenyl)); *N*-Metal Derivatives (*N*-borane  
25 derivatives, *N*-diphenylborinic acid derivatives, *N*-[phenyl(pentacarbonylchromium- or -tungsten)]carbenyl, *N*-copper or *N*-zinc chelate); *N*-N Derivatives (*N*-nitro, *N*-nitroso, *N*-oxide); *N*-P Derivatives (*N*-diphenylphosphinyl, *N*-dimethylthiophosphinyl, *N*-diphenylthiophosphinyl, *N*-dialkyl phosphoryl, *N*-dibenzyl phosphoryl, *N*-  
30 diphenyl phosphoryl); *N*-Si Derivatives; *N*-S Derivatives; *N*-Sulfenyl Derivatives (*N*-benzenesulfenyl, *N*-*o*-nitrobenzenesulfenyl, *N*-2,4-dinitrobenzenesulfenyl, *N*-pentachlorobenzenesulfenyl, *N*-2-nitro-4-methoxybenzenesulfenyl, *N*-triphenylmethylsulfenyl, *N*-3-nitropyridinesulfenyl); and *N*-sulfonyl Derivatives (*N*-*p*-toluenesulfonyl, *N*-  
35 benzenesulfonyl, *N*-2,3,6-trimethyl-4-methoxybenzenesulfonyl, *N*-2,4,6-trimethoxybenzenesulfonyl, *N*-2,6-dimethyl-4-methoxybenzenesulfonyl, *N*-

pentamethylbenzenesulfonyl, N-2,3,5,6,-tetramethyl-4-methoxybenzenesulfonyl, N-4-methoxybenzenesulfonyl, N-2,4,6-trimethylbenzenesulfonyl, N-2,6-dimethoxy-4-methylbenzenesulfonyl, N-2,2,5,7,8-pentamethylchroman-6-sulfonyl, N-methanesulfonyl, N-β-trimethylsilyethanesulfonyl, N-9-anthracenesulfonyl, N-4-(4',8'-dimethoxynaphthylmethyl)benzenesulfonyl, N-benzylsulfonyl, N-trifluoromethylsulfonyl, N-phenacylsulfonyl).

More typically, protected amino groups include carbamates and amides, still more typically, -NHC(O)R<sub>1</sub> or -N=CR<sub>1</sub>N(R<sub>1</sub>)<sub>2</sub>. Another protecting group, also usefull as a prodrug at the G1 site, particularly for amino or -NH(R<sub>5</sub>), is:



see for example Alexander, J. et al., "J. Med. Chem." 39:480-486 (1996).

R<sub>6c</sub> is H or the residue of an amino-containing compound, in particular an amino acid, a polypeptide, a protecting group, -NHSO<sub>2</sub>R<sub>4</sub>, NHC(O)R<sub>4</sub>, -N(R<sub>4</sub>)<sub>2</sub>, NH<sub>2</sub> or -NH(R<sub>4</sub>)(H), whereby for example the carboxyl or phosphonic acid groups of W<sub>1</sub> are reacted with the amine to form an amide, as in -C(O)R<sub>6c</sub>, -P(O)(R<sub>6c</sub>)<sub>2</sub> or -P(O)(OH)(R<sub>6c</sub>). In general, R<sub>6c</sub> has the structure R<sub>17</sub>C(O)CH(R<sub>16</sub>)NH-, where R<sub>17</sub> is OH, OR<sub>6a</sub>, OR<sub>5</sub>, an amino acid or a polypeptide residue.

Amino acids are low molecular weight compounds, on the order of less than about 1,000 MW, that contain at least one amino or imino group and at least one carboxyl group. Generally the amino acids will be found in nature, i.e., can be detected in biological material such as bacteria or other microbes, plants, animals or man. Suitable amino acids typically are alpha amino acids, i.e. compounds characterized by one amino or imino nitrogen atom separated from the carbon atom of one carboxyl group by a single substituted or unsubstituted alpha carbon atom. Of particular interest are hydrophobic residues such as mono- or di-alkyl or aryl amino acids, cycloalkylamino acids and the like. These residues contribute to cell permeability by increasing the partition coefficient of the parental drug. Typically, the residue does not contain a sulfhydryl or guanidino substituent.

Naturally-occurring amino acid residues are those residues found naturally in plants, animals or microbes, especially proteins thereof.

Polypeptides most typically will be substantially composed of such naturally-occurring amino acid residues. These amino acids are glycine, alanine, valine, leucine, isoleucine, serine, threonine, cysteine, methionine, glutamic acid, aspartic acid, lysine, hydroxylysine, arginine, histidine, phenylalanine, tyrosine, tryptophan, proline, asparagine, glutamine and hydroxyproline.

When R<sub>6b</sub> and R<sub>6c</sub> are single amino acid residues or polypeptides they usually are substituted at R<sub>3</sub>, W<sub>6</sub>, W<sub>1</sub> and/or W<sub>2</sub>, but typically only W<sub>1</sub> or W<sub>2</sub>. These conjugates are produced by forming an amide bond between a carboxyl group of the amino acid (or C-terminal amino acid of a polypeptide for example) and W<sub>2</sub>. Similarly, conjugates are formed between W<sub>1</sub> and an amino group of an amino acid or polypeptide. Generally, only one of any site in the parental molecule is amidated with an amino acid as described herein, although it is within the scope of this invention to introduce amino acids at more than one permitted site. Usually, a carboxyl group of W<sub>1</sub> is amidated with an amino acid. In general, the  $\alpha$ -amino or  $\alpha$ -carboxyl group of the amino acid or the terminal amino or carboxyl group of a polypeptide are bonded to the parental functionalities, i.e., carboxyl or amino groups in the amino acid side chains generally are not used to form the amide bonds with the parental compound (although these groups may need to be protected during synthesis of the conjugates as described further below).

With respect to the carboxyl-containing side chains of amino acids or polypeptides it will be understood that the carboxyl group optionally will be blocked, e.g. by R<sub>6a</sub>, esterified with R<sub>5</sub> or amidated with R<sub>6c</sub>. Similarly, the amino side chains R<sub>16</sub> optionally will be blocked with R<sub>6b</sub> or substituted with R<sub>5</sub>.

Such ester or amide bonds with side chain amino or carboxyl groups, like the esters or amides with the parental molecule, optionally are hydrolyzable in vivo or in vitro under acidic (pH <3) or basic (pH >10) conditions. Alternatively, they are substantially stable in the gastrointestinal tract of humans but are hydrolyzed enzymatically in blood or in intracellular environments. The esters or amino acid or polypeptide amidates also are useful as intermediates for the preparation of the parental molecule containing free amino or carboxyl groups. The free acid or base of the parental compound, for example, is readily formed from the esters or amino acid or polypeptide conjugates of this invention by conventional hydrolysis procedures.

When an amino acid residue contains one or more chiral centers, any of the D, L, meso, threo or erythro (as appropriate) racemates, scalemates or mixtures thereof may be used. In general, if the intermediates are to be hydrolyzed non-enzymatically (as would be the case where the amides are used as chemical intermediates for the free acids or free amines), D isomers are useful. On the other hand, L isomers are more versatile since they can be susceptible to both non-enzymatic and enzymatic hydrolysis, and are more efficiently transported by amino acid or dipeptidyl transport systems in the gastrointestinal tract.

Examples of suitable amino acids whose residues are represented by R<sub>6b</sub> and R<sub>6c</sub> include the following:

Glycine;

Aminopolycarboxylic acids, e.g., aspartic acid,  $\beta$ -hydroxyaspartic acid, glutamic acid,  $\beta$ -hydroxyglutamic acid,  $\beta$ -methylasspartic acid,  $\beta$ -methylglutamic acid,  $\beta,\beta$ -dimethylasspartic acid,  $\gamma$ -hydroxyglutamic acid,  $\beta,\gamma$ -dihydroxyglutamic acid,  $\beta$ -phenylglutamic acid,  $\gamma$ -methyleneglutamic acid, 3-aminoadipic acid, 2-aminopimelic acid, 2-aminosuberic acid and 2-aminosebacic acid;

Amino acid amides such as glutamine and asparagine;

Polyamino- or polybasic-monocarboxylic acids such as arginine, lysine,  $\beta$ -aminoalanine,  $\gamma$ -aminobutyric acid, ornithine, citrulline, homoarginine, homocitrulline, hydroxylysine, alcohxylysine and diaminobutyric acid;

Other basic amino acid residues such as histidine;

Diaminodicarboxylic acids such as  $\alpha,\alpha'$ -diaminosuccinic acid,  $\alpha,\alpha'$ -diaminoglutaric acid,  $\alpha,\alpha'$ -diaminoadipic acid,  $\alpha,\alpha'$ -diaminopimelic acid,  $\alpha,\alpha'$ -diamino- $\beta$ -hydroxypimelic acid,  $\alpha,\alpha'$ -diaminosuberic acid,  $\alpha,\alpha'$ -diaminoazelaic acid, and  $\alpha,\alpha'$ -diaminosebacic acid;

Imino acids such as proline, hydroxyproline, alcohxyproline,  $\gamma$ -methylproline, pipercolic acid, 5-hydroxypipercolic acid, and azetidine-2-carboxylic acid;

A mono- or di-alkyl (typically C<sub>1</sub> - C<sub>8</sub> branched or normal) amino acid such as alanine, valine, leucine, allylglycine, butyric acid, norvaline, norleucine, heptyline,  $\alpha$ -methylserine,  $\alpha$ -amino- $\alpha$ -methyl- $\gamma$ -hydroxyvaleric acid,  $\alpha$ -amino- $\alpha$ -methyl- $\delta$ -hydroxyvaleric acid,  $\alpha$ -amino- $\alpha$ -methyl- $\epsilon$ -hydroxycaproic acid, isovaline,  $\alpha$ -methylglutamic acid,  $\alpha$ -aminoisobutyric acid,  $\alpha$ -aminodiethylacetic acid,  $\alpha$ -aminodiisopropylacetic acid,  $\alpha$ -aminodi-n-

- propylacetic acid,  $\alpha$ -aminodiisobutylacetic acid,  $\alpha$ -aminodi-n-butylacetic acid,  $\alpha$ -aminoethylisopropylacetic acid,  $\alpha$ -amino-n-propylacetic acid,  $\alpha$ -aminodiisoamyacetic acid,  $\alpha$ -methylasspartic acid,  $\alpha$ -methylglutamic acid, 1-aminocyclopropane-1-carboxylic acid, isoleucine, alloisoleucine, tert-leucine,   
5  $\beta$ -methyltryptophan and  $\alpha$ -amino- $\beta$ -ethyl- $\beta$ -phenylpropionic acid;  $\beta$ -phenylserinyl;   
Aliphatic  $\alpha$ -amino- $\beta$ -hydroxy acids such as serine,  $\beta$ -hydroxyleucine,  $\beta$ -hydroxynorleucine,  $\beta$ -hydroxynorvaline, and  $\alpha$ -amino- $\beta$ -hydroxystearic acid;   
 $\alpha$ -Amino,  $\alpha$ -,  $\gamma$ -,  $\delta$ - or  $\epsilon$ -hydroxy acids such as homoserine,  $\gamma$ -   
10 hydroxynorvaline,  $\delta$ -hydroxynorvaline and epsilon-hydroxynorleucine residues; canavine and canaline;  $\gamma$ -hydroxyornithine;   
2-hexosaminic acids such as D-glucosaminic acid or D-galactosaminic acid;   
 $\alpha$ -Amino- $\beta$ -thiols such as penicillamine,  $\beta$ -thiolnorvaline or  $\beta$ -   
15 thiolbutyrine;   
Other sulfur containing amino acid residues including cysteine; homocystine,  $\beta$ -phenylmethionine, methionine, S-allyl-L-cysteine sulfoxide, 2-thiolhistidine, cystathionine, and thiol ethers of cysteine or homocysteine;   
Phenylalanine, tryptophan and ring-substituted  $\alpha$  amino acids such as   
20 the phenyl- or cyclohexylamino acids  $\alpha$ -aminophenylacetic acid,  $\alpha$ -aminocyclohexylacetic acid and  $\alpha$ -amino- $\beta$ -cyclohexylpropionic acid; phenylalanine analogues and derivatives comprising aryl, lower alkyl, hydroxy, guanidino, oxyalkylether, nitro, sulfur or halo-substituted phenyl (e.g., tyrosine, methyltyrosine and o-chloro-, p-chloro-, 3,4-dichloro, o-, m- or p-   
25 methyl-, 2,4,6-trimethyl-, 2-ethoxy-5-nitro-, 2-hydroxy-5-nitro- and p-nitro-phenylalanine); furyl-, thienyl-, pyridyl-, pyrimidinyl-, purinyl- or naphthylalanines; and tryptophan analogues and derivatives including kynurenine, 3-hydroxykynurenine, 2-hydroxytryptophan and 4-carboxytryptophan;   
 $\alpha$ -Amino substituted amino acids including sarcosine (N-   
30 methylglycine), N-benzylglycine, N-methylalanine, N-benzylalanine, N-methylphenylalanine, N-benzylphenylalanine, N-methylvaline and N-benzylvaline; and   
 $\alpha$ -Hydroxy and substituted  $\alpha$ -hydroxy amino acids including serine, threonine, allothreonine, phosphoserine and phosphothreonine.   
35 Polypeptides are polymers of amino acids in which a carboxyl group of

one amino acid monomer is bonded to an amino or imino group of the next amino acid monomer by an amide bond. Polypeptides include dipeptides, low molecular weight polypeptides (about 1500-5000MW) and proteins. Proteins optionally contain 3, 5, 10, 50, 75, 100 or more residues, and suitably are substantially sequence-homologous with human, animal, plant or microbial proteins. They include enzymes (e.g., hydrogen peroxidase) as well as immunogens such as KLH, or antibodies or proteins of any type against which one wishes to raise an immune response. The nature and identity of the polypeptide may vary widely.

The polypeptide amidates are useful as immunogens in raising antibodies against either the polypeptide (if it is not immunogenic in the animal to which it is administered) or against the epitopes on the remainder of the compound of this invention.

Antibodies capable of binding to the parental non-peptidyl compound are used to separate the parental compound from mixtures, for example in diagnosis or manufacturing of the parental compound. The conjugates of parental compound and polypeptide generally are more immunogenic than the polypeptides in closely homologous animals, and therefore make the polypeptide more immunogenic for facilitating raising antibodies against it.

Accordingly, the polypeptide or protein may not need to be immunogenic in an animal typically used to raise antibodies, e.g., rabbit, mouse, horse, or rat, but the final product conjugate should be immunogenic in at least one of such animals. The polypeptide optionally contains a peptidolytic enzyme cleavage site at the peptide bond between the first and second residues adjacent to the acidic heteroatom. Such cleavage sites are flanked by enzymatic recognition structures, e.g. a particular sequence of residues recognized by a peptidolytic enzyme.

Peptidolytic enzymes for cleaving the polypeptide conjugates of this invention are well known, and in particular include carboxypeptidases.

Carboxypeptidases digest polypeptides by removing C-terminal residues, and are specific in many instances for particular C-terminal sequences. Such enzymes and their substrate requirements in general are well known. For example, a dipeptide (having a given pair of residues and a free carboxyl terminus) is covalently bonded through its  $\alpha$ -amino group to the phosphorus or carbon atoms of the compounds herein. In embodiments where W<sub>1</sub> is phosphonate it is expected that this peptide will be cleaved by the appropriate

peptidolytic enzyme, leaving the carboxyl of the proximal amino acid residue to autocatalytically cleave the phosphonoamidate bond.

Suitable dipeptidyl groups (designated by their single letter code) are  
 AA, AR, AN, AD, AC, AE, AQ, AG, AH, AI, AL, AK, AM, AF, AP, AS, AT,  
 5 AW, AY, AV, RA, RR, RN, RD, RC, RE, RQ, RG, RH, RI, RL, RK, RM, RF, RP,  
 RS, RT, RW, RY, RV, NA, NR, NN, ND, NC, NE, NQ, NG, NH, NI, NL, NK,  
 NM, NF, NP, NS, NT, NW, NY, NV, DA, DR, DN, DD, DC, DE, DQ, DG, DH,  
 DI, DL, DK, DM, DF, DP, DS, DT, DW, DY, DV, CA, CR, CN, CD, CC, CE, CQ,  
 CG, CH, CI, CL, CK, CM, CF, CP, CS, CT, CW, CY, CV, EA, ER, EN, ED, EC, EE,  
 10 EQ, EG, EH, EI, EL, EK, EM, EF, EP, ES, ET, EW, EY, EV, QA, QR, QN, QD, QC,  
 QE, QQ, QG, QH, QI, QL, QK, QM, QF, QP, QS, QT, QW, QY, QV, GA, GR, GN,  
 GD, GC, GE, GQ, GG, GH, GI, GL, GK, GM, GF, GP, GS, GT, GW, GY, GV, HA,  
 HR, HN, HD, HC, HE, HQ, HG, HH, HI, HL, HK, HM, HF, HP, HS, HT, HW,  
 HY, HV, IA, IR, IN, ID, IC, IE, IQ, IG, IH, II, IL, IK, IM, IF, IP, IS, IT, IW, IY, IV,  
 15 LA, LR, LN, LD, LC, LE, LQ, LG, LH, LI, LL, LK, LM, LF, LP, LS, LT, LW, LY, LV,  
 KA, KR, KN, KD, KC, KE, KQ, KG, KH, KI, KL, KK, KM, KF, KP, KS, KT, KW,  
 KY, KV, MA, MR, MN, MD, MC, ME, MQ, MG, MH, MI, ML, MK, MM, MF,  
 MP, MS, MT, MW, MY, MV, FA, FR, FN, FD, FC, FE, FQ, FG, FH, FI, FL, FK,  
 FM, FF, FP, FS, FT, FW, FY, FV, PA, PR, PN, PD, PC, PE, PQ, PG, PH, PI, PL, PK,  
 20 PM, PF, PP, PS, PT, PW, PY, PV, SA, SR, SN, SD, SC, SE, SQ, SG, SH, SI, SL, SK,  
 SM, SF, SP, SS, ST, SW, SY, SV, TA, TR, TN, TD, TC, TE, TQ, TG, TH, TI, TL,  
 TK, TM, TF, TP, TS, TT, TW, TY, TV, WA, WR, WN, WD, WC, WE, WQ,  
 WG, WH, WI, WL, WK, WM, WF, WP, WS, WT, WW, WY, WV, YA, YR,  
 YN, YD, YC, YE, YQ, YG, YH, YI, YL, YK, YM, YF, YP, YS, YT, YW, YY, YV, VA,  
 25 VR, VN, VD, VC, VE, VQ, VG, VH, VI, VL, VK, VM, VF, VP, VS, VT, VW,  
 VY and VV.

Tripeptide residues are also useful as R<sub>6b</sub> or R<sub>6c</sub>. When W<sub>1</sub> is  
 phosphonate, the sequence -X<sub>4</sub>-pro-X<sub>5</sub>- (where X<sub>4</sub> is any amino acid residue  
 and X<sub>5</sub> is an amino acid residue, a carboxyl ester of proline, or hydrogen) will  
 30 be cleaved by luminal carboxypeptidase to yield X<sub>4</sub> with a free carboxyl, which  
 in turn is expected to autocatalytically cleave the phosphonoamidate bond.  
 The carboxy group of X<sub>5</sub> optionally is esterified with benzyl.

Dipeptide or tripeptide species can be selected on the basis of known  
 transport properties and/or susceptibility to peptidases that can affect  
 35 transport to intestinal mucosal or other cell types. Dipeptides and tripeptides  
 lacking an  $\alpha$ -amino group are transport substrates for the peptide transporter

found in brush border membrane of intestinal mucosal cells (Bai, J.P.F., "Pharm Res." 9:969-978 (1992). Transport competent peptides can thus be used to enhance bioavailability of the amidate compounds. Di- or tripeptides having one or more amino acids in the D configuration are also compatible with peptide transport and can be utilized in the amidate compounds of this invention. Amino acids in the D configuration can be used to reduce the susceptibility of a di- or tripeptide to hydrolysis by proteases common to the brush border such as aminopeptidase N (EC 3.4.11.2). In addition, di- or tripeptides alternatively are selected on the basis of their relative resistance to hydrolysis by proteases found in the lumen of the intestine. For example, tripeptides or polypeptides lacking asp and/or glu are poor substrates for aminopeptidase A (EC 3.4.11.7), di- or tripeptides lacking amino acid residues on the N-terminal side of hydrophobic amino acids (leu, tyr, phe, val, trp) are poor substrates for endopeptidase 24.11 (EC 3.4.24.11), and peptides lacking a pro residue at the penultimate position at a free carboxyl terminus are poor substrates for carboxypeptidase P (EC 3.4.17). Similar considerations can also be applied to the selection of peptides that are either relatively resistant or relatively susceptible to hydrolysis by cytosolic, renal, hepatic, serum or other peptidases. Such poorly cleaved polypeptide amidates are immunogens or are useful for bonding to proteins in order to prepare immunogens.

#### Stereoisomers

The compounds of the invention are enriched or resolved optical isomers at any or all asymmetric atoms. For example, the chiral centers apparent from the depictions are provided as the chiral isomers or racemic mixtures. Both racemic and diastereomeric mixtures, as well as the individual optical isomers isolated or synthesized, substantially free of their enantiomeric or diastereomeric partners, are all within the scope of the invention.

One or more of the following enumerated methods are used to prepare the enantiomerically enriched or pure isomers herein. The methods are listed in approximately their order of preference, i.e., one ordinarily should employ stereospecific synthesis from chiral precursors before chromatographic resolution before spontaneous crystallization.

Stereospecific synthesis is described below. Methods of this type



conveniently are used when the appropriate chiral starting material is available and reaction steps are chosen do not result in undesired racemization at chiral sites. One advantage of stereospecific synthesis is that it does not produce undesired enantiomers that must be removed from the final product, thereby lowering overall synthetic yield. In general, those skilled in the art would understand what starting materials and reaction conditions should be used to obtain the desired enantiomerically enriched or pure isomers by stereospecific synthesis. If an unexpected racemization occurs in a method thought to be stereospecific then one needs only to use one of the following separation methods to obtain the desired product.

If a suitable stereospecific synthesis cannot be empirically designed or determined with routine experimentation then those skilled in the art would turn to other methods. One method of general utility is chromatographic resolution of enantiomers on chiral chromatography resins. These resins are packed in columns, commonly called Pirkle columns, and are commercially available. The columns contain a chiral stationary phase. The racemate is placed in solution and loaded onto the column, and thereafter separated by HPLC. See for example, Proceedings Chromatographic Society - International Symposium on Chiral Separations, Sept. 3-4, 1987. Examples of chiral columns that could be used to screen for the optimal separation technique would include Diacel Chriacel OD, Regis Pirkle Covalent Dphenylglycine, Regis Pirkle Type 1A, Astec Cyclobond II, Astec Cyclobond III, Serva Chiral D-DL=Daltosil 100, Bakerbond DNBLeu, Sumipax OA-1000, Merck Cellulose Triacetate column, Astec Cyclobond I-Beta, or Regis Pirkle Covalent D-Naphthylalanine. Not all of these columns are likely to be effective with every racemic mixture. However, those skilled in the art understand that a certain amount of routine screening may be required to identify the most effective stationary phase. When using such columns it is desirable to employ embodiments of the compounds of this invention in which the charges are not neutralized, e.g., where acidic functionalities such as carboxyl are not esterified or amidated.

Another method entails converting the enantiomers in the mixture to diastereomers with chiral auxiliaries and then separating the conjugates by ordinary column chromatography. This is a very suitable method, particularly when the embodiment contains free carboxyl, amino or hydroxyl that will form a salt or covalent bond to a chiral auxiliary. Chirally pure

amino acids, organic acids or organosulfonic acids are all worthwhile exploring as chiral auxiliaries, all of which are well known in the art. Salts with such auxiliaries can be formed, or they can be covalently (but reversibly) bonded to the functional group. For example, pure D or L amino acids can be used to amidate the carboxyl group of embodiments of this invention and then separated by chromatography.

Enzymatic resolution is another method of potential value. In such methods one prepares covalent derivatives of the enantiomers in the racemic mixture, generally lower alkyl esters (for example of carboxyl), and then exposes the derivative to enzymatic cleavage, generally hydrolysis. For this method to be successful an enzyme must be chosen that is capable of stereospecific cleavage, so it is frequently necessary to routinely screen several enzymes. If esters are to be cleaved, then one selects a group of esterases, phosphatases, and lipases and determines their activity on the derivative. Typical esterases are from liver, pancreas or other animal organs, and include porcine liver esterase.

If the enantiomeric mixture separates from solution or a melt as a conglomerate, i.e., a mixture of enantiomerically-pure crystals, then the crystals can be mechanically separated, thereby producing the enantiomerically enriched preparation. This method, however, is not practical for large scale preparations and is of no value for true racemic compounds.

Asymmetric synthesis is another technique for achieving enantiomeric enrichment. For example, a chiral protecting group is reacted with the group to be protected and the reaction mixture allowed to equilibrate. If the reaction is enantiomerically specific then the product will be enriched in that enantiomer.

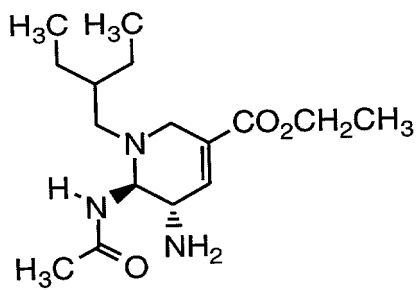
Further guidance in the separation of enantiomeric mixtures can be found, by way of example and not limitation, in "Enantiomers, Racemates, and resolutions", Jean Jacques, Andre Collet, and Samuel H. Wilen (Krieger Publishing Company, Malabar, FL, 1991, ISBN 0-89464-618-4). In particular, Part 2, Resolution of Enantiomer Mixture, pages 217-435; more particularly, section 4, Resolution by Direct Crystallization, pages 217-251, section 5, Formation and Separation of Diastereomers, pages 251-369, section 6, Crystallization-Induced Asymmetric Transformations, pages 369-378, and section 7, Experimental Aspects and Art of Resolutions, pages 378-435; still

more particularly, section 5.1.4, Resolution of Alcohols, Transformation of Alcohols into Salt-Forming Derivatives, pages 263-266, section 5.2.3, Covalent Derivatives of Alcohols, Thiols, and Phenols, pages 332-335, section 5.1.1, Resolution of Acids, pages 257-259, section 5.1.2, Resolution of Bases, pages 259-260, section 5.1.3, Resolution of Amino Acids, page 261-263, section 5.2.1, Covalent Derivatives of Acids, page 329, section 5.2.2, Covalent derivatives of Amines, pages 330-331, section 5.2.4, Covalent Derivatives of Aldehydes, Ketones, and Sulfoxides, pages 335-339, and section 5.2.7, Chromatographic Behavior of Covalent Diastereomers, pages 348-354, are cited as examples of the skill of the art.

The compounds of the invention can also exist as tautomeric isomers in certain cases. For example, ene-amine tautomers can exist for imidazole, guanidine, amidine, and tetrazole systems and all their possible tautomeric forms are within the scope of the invention.

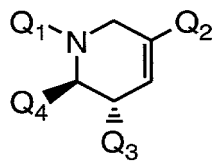
#### Exemplary Enumerated Compounds.

By way of example and not limitation, embodiment compounds are named below in tabular format (Table 6). Generally, each compound is depicted as a substituted nucleus in which the nucleus is designated by capital letter and each substituent is designated in order by lower case letter or number. Table 1 are a schedule of nuclei which differ principally by the position of ring unsaturation and the nature of ring substituents. Each nucleus is given a alphabetical designation from Table 1, and this designation appears first in each compound name. Similarly, Tables 2a-a1, 3a-b, 4a-c, and 5a-d list the selected Q<sub>1</sub>, Q<sub>2</sub>, Q<sub>3</sub> and Q<sub>4</sub> substituents, again by letter or number designation. Accordingly, each named compound will be depicted by a capital letter designating the nucleus from Table 1, followed by a number designating the Q<sub>1</sub> substituent, a lower case letter designating the Q<sub>2</sub> substituent, a number designating the Q<sub>3</sub> substituent, and a lower case letter or letters designating the Q<sub>4</sub> substituent. Thus, the structure below has the name shown.

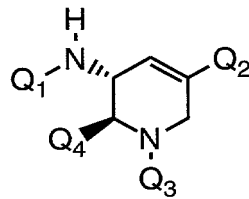


A.141.x.4.i

Table 1



A



B

Table 2a

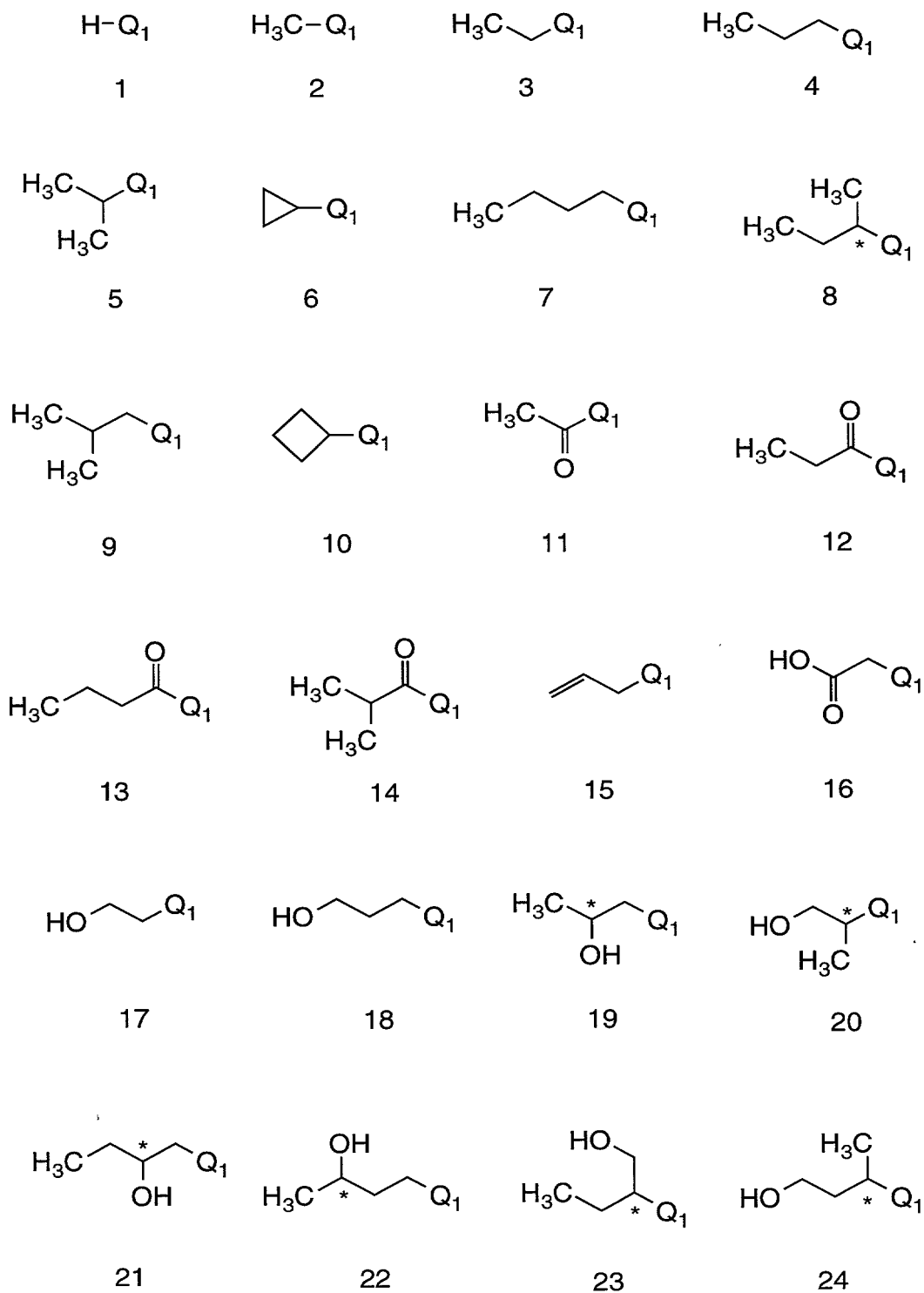


Table 2b

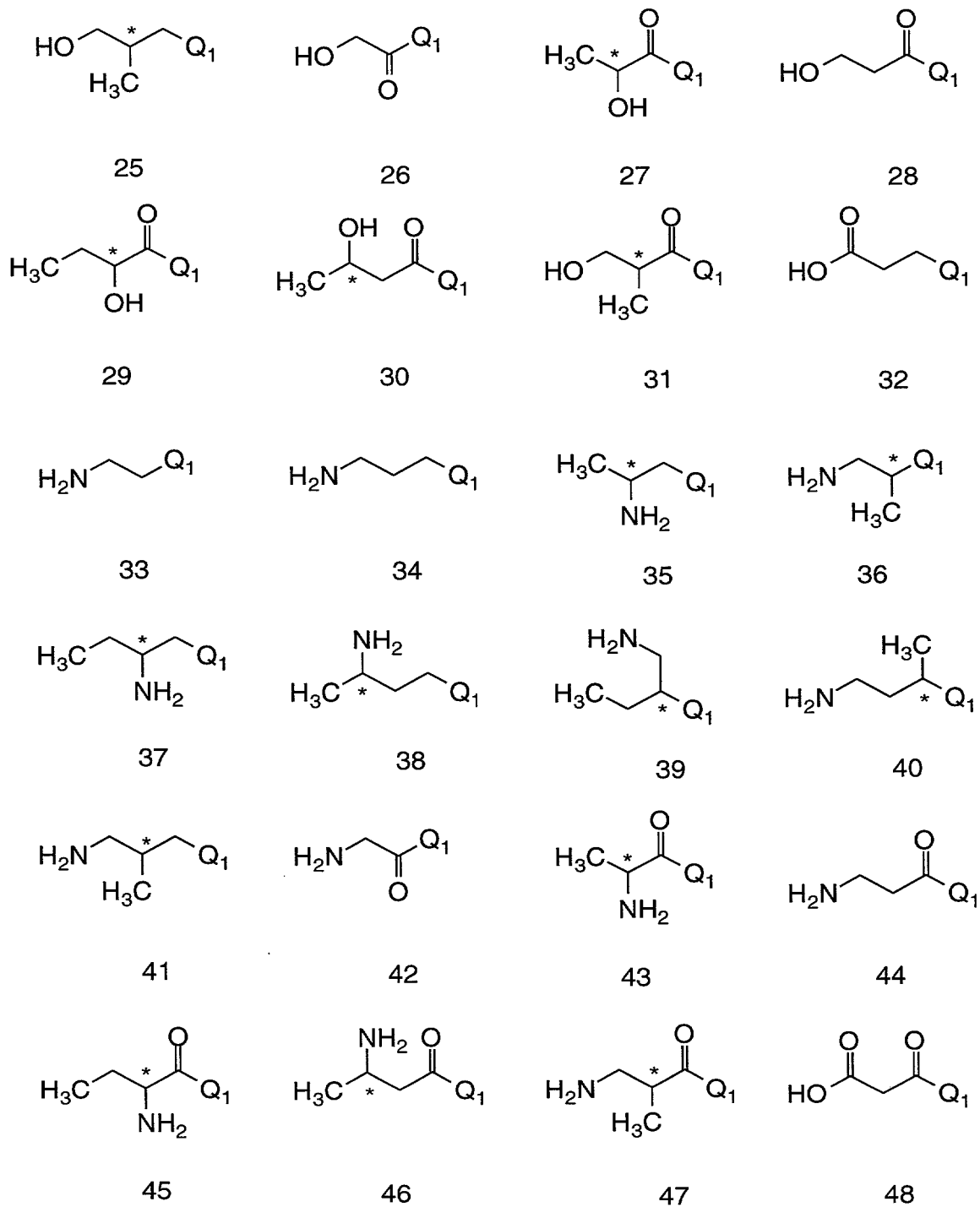
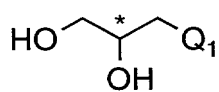
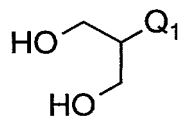


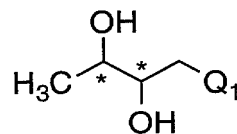
Table 2c



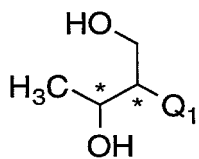
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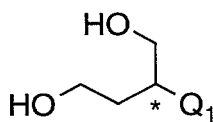
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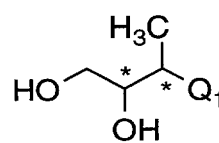
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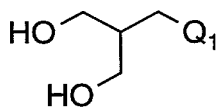
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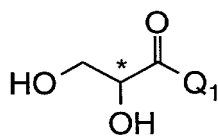
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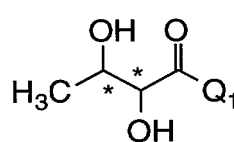
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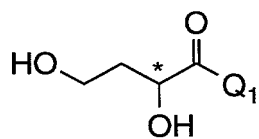
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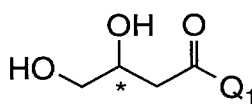
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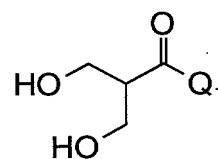
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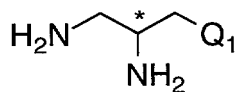
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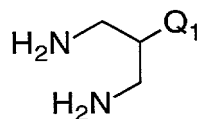
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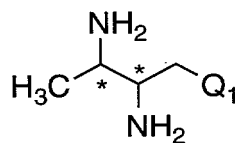
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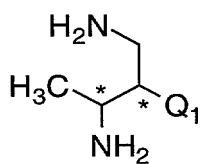
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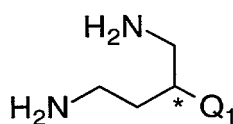
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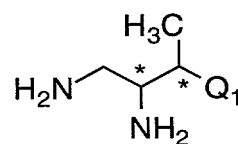
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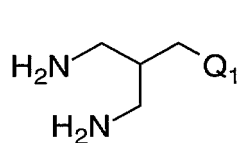
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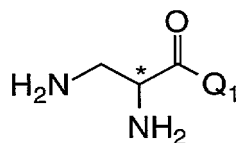
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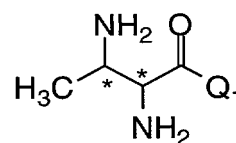
Table 2d



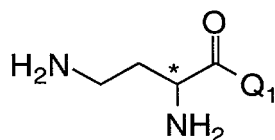
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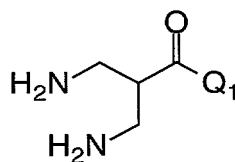
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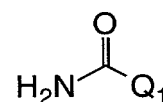
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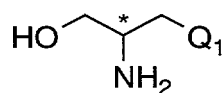
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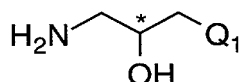
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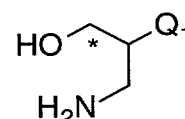
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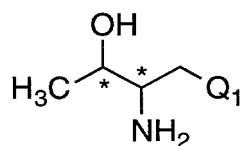
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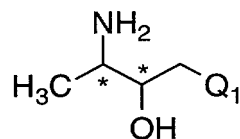
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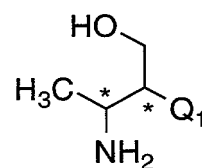
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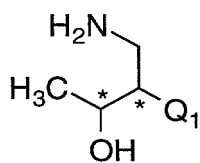
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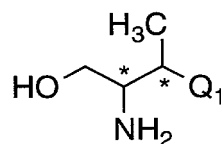
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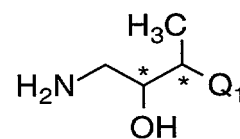
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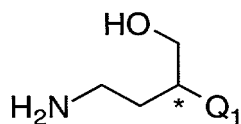
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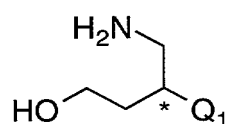
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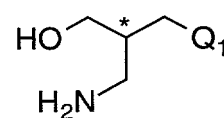
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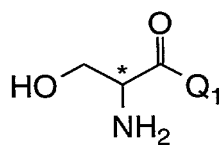


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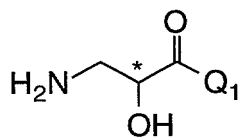


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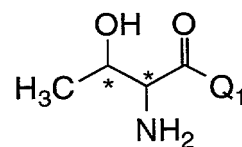
Table 2e



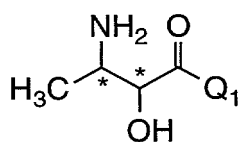
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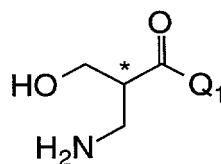
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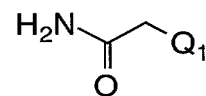
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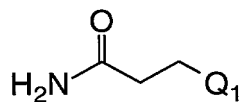
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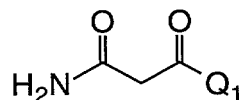
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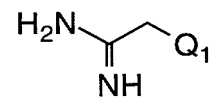
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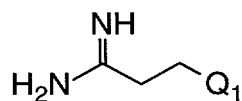
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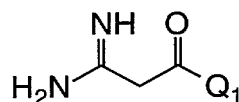
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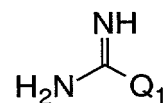
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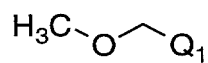
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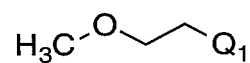
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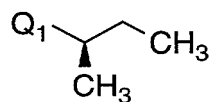
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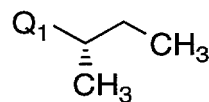
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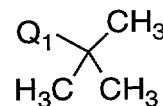
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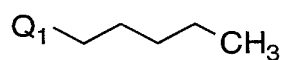


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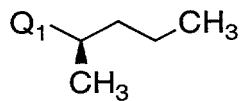


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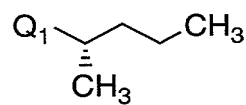
Table 2f



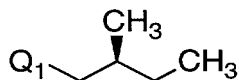
103



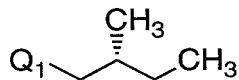
104



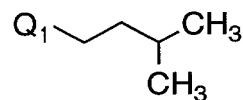
105



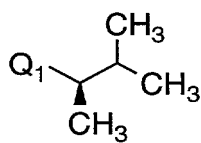
106



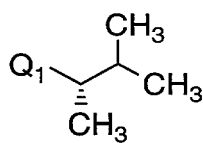
107



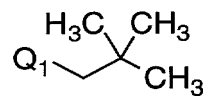
108



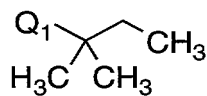
109



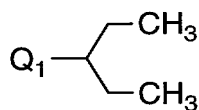
110



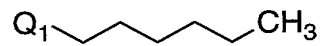
111



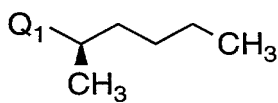
112



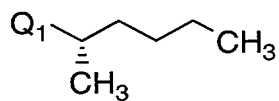
113



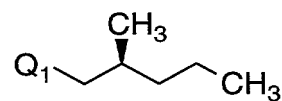
114



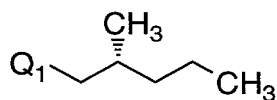
115



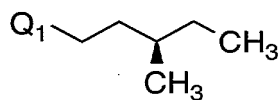
116



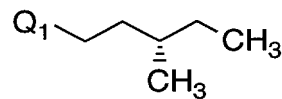
117



118

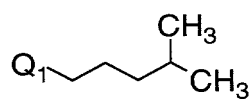


119

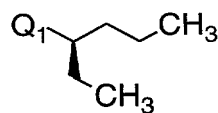


120

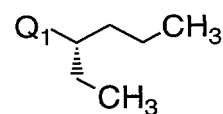
Table 2g



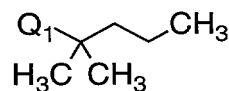
121



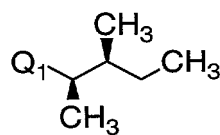
122



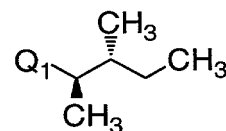
123



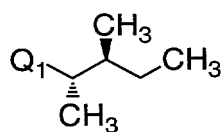
124



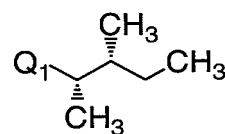
125



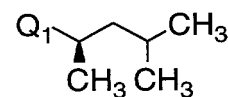
126



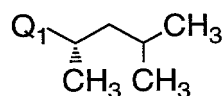
127



128



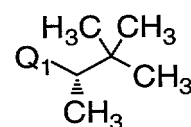
129



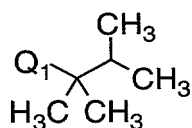
130



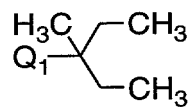
131



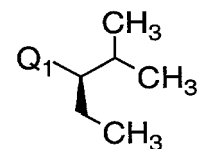
132



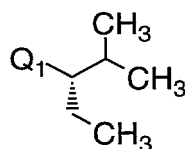
133



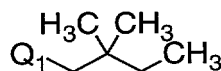
134



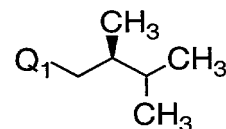
135



136

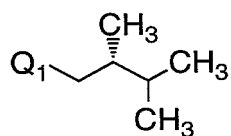


137

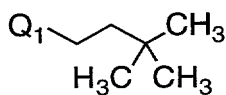


138

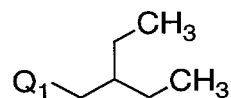
Table 2h



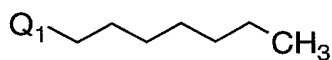
139



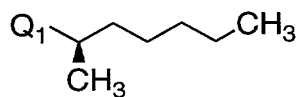
140



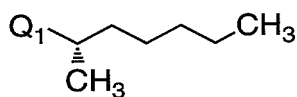
141



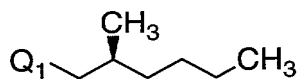
142



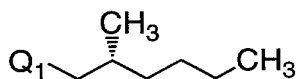
143



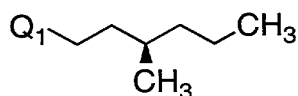
144



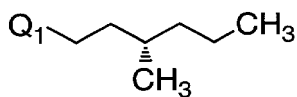
145



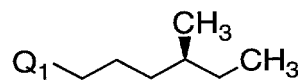
146



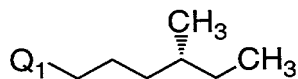
147



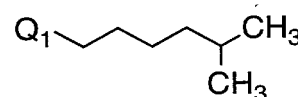
148



149



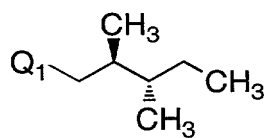
150



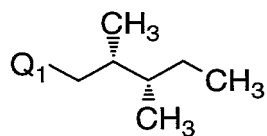
151



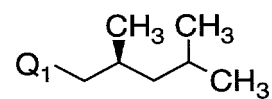
Table 2j



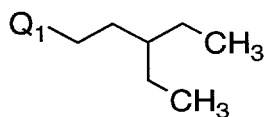
170



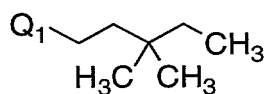
171



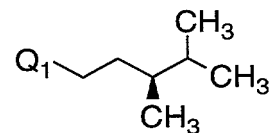
172



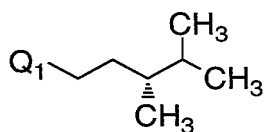
173



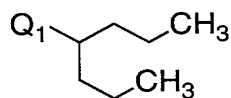
174



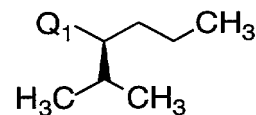
175



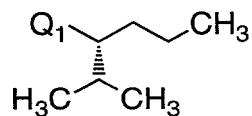
176



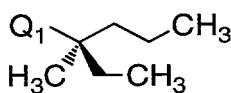
177



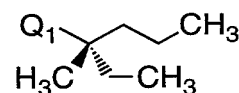
178



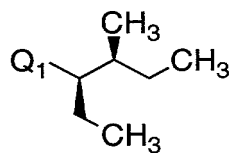
179



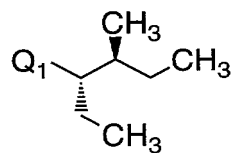
180



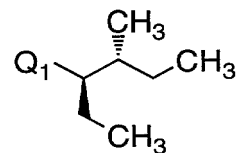
181



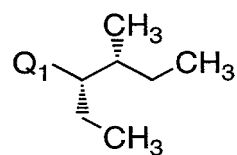
182



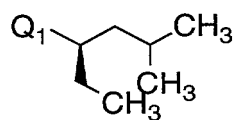
183



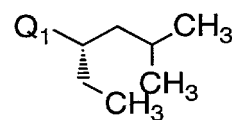
184



185

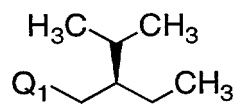


186

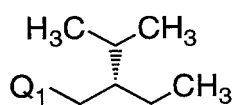


187

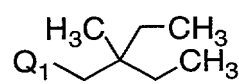
Table 2k



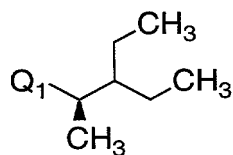
188



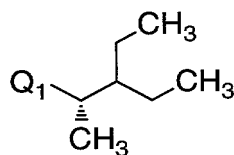
189



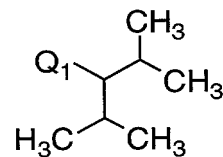
190



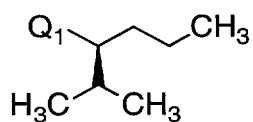
191



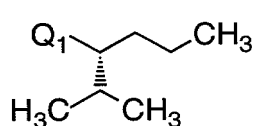
192



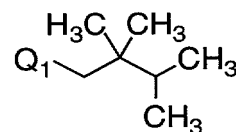
193



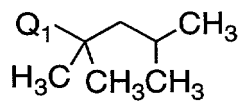
194



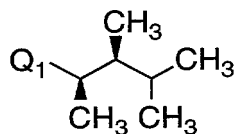
195



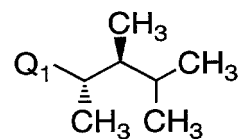
196



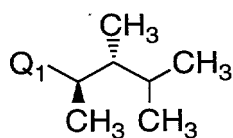
197



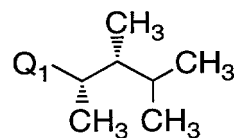
198



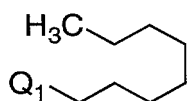
199



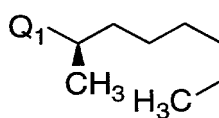
200



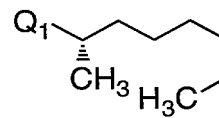
201



202



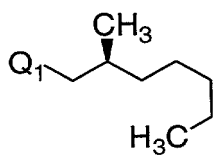
203



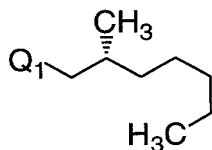
204



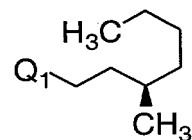
Table 21



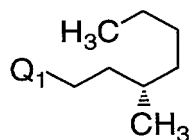
205



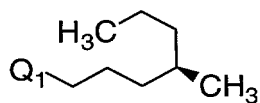
206



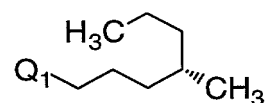
207



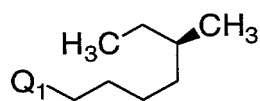
208



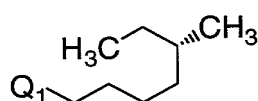
209



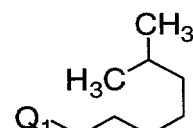
210



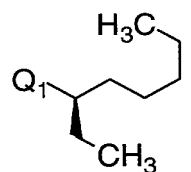
211



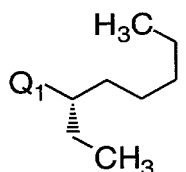
212



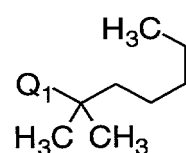
213



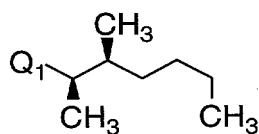
214



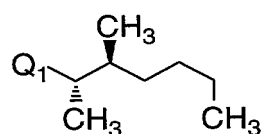
215



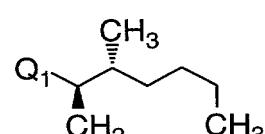
216



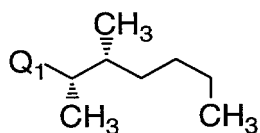
217



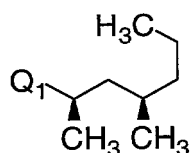
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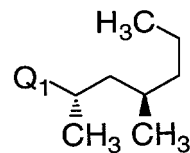
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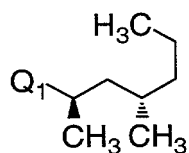


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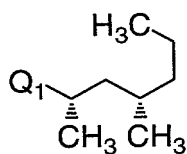


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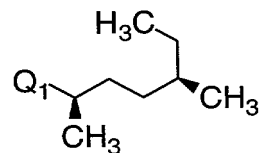
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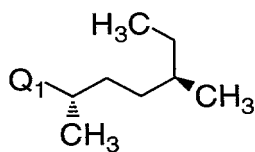
223



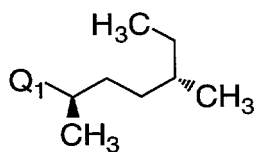
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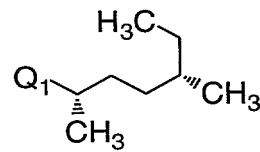
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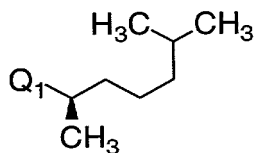
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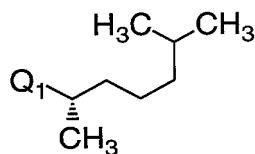
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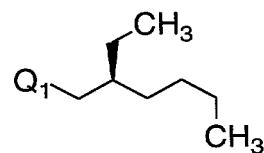
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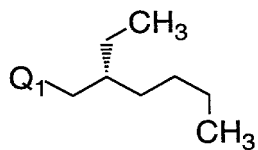
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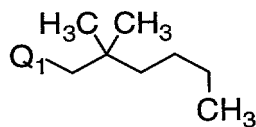
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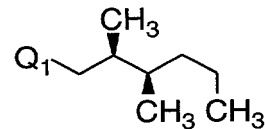
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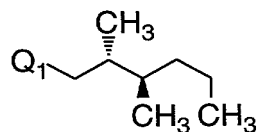
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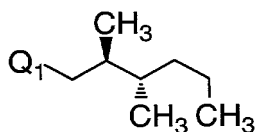
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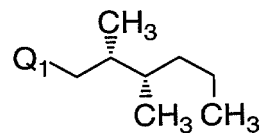
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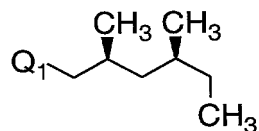
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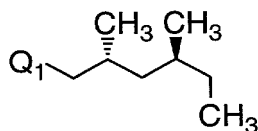
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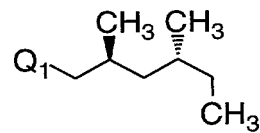
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238

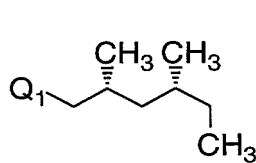


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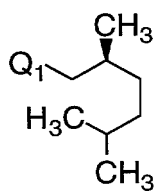


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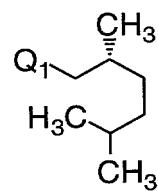
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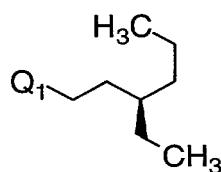
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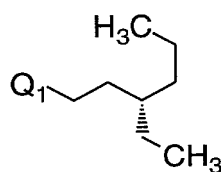
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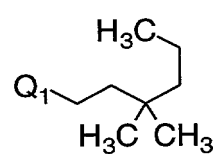
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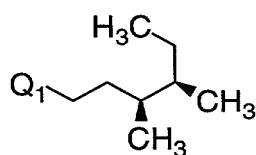
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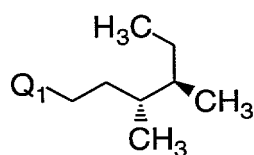
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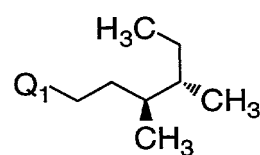
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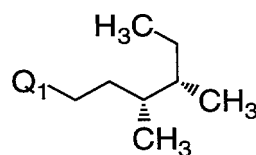
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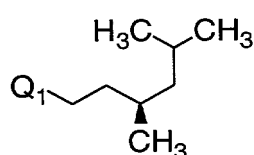
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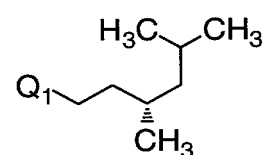
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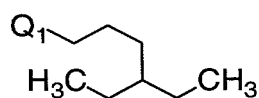
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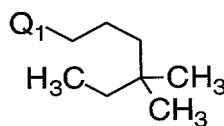
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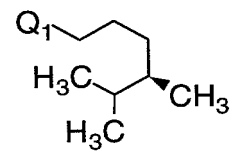
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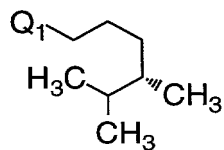
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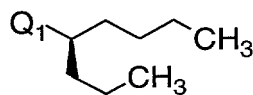
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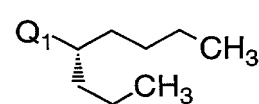
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256

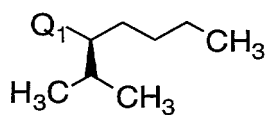


257

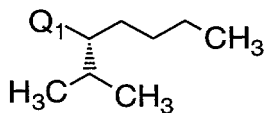


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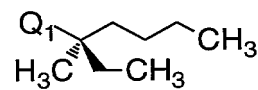
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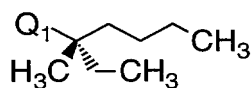
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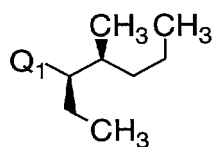
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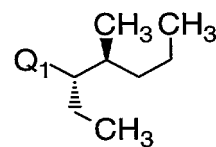
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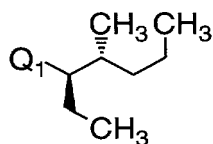
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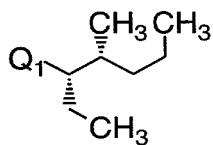
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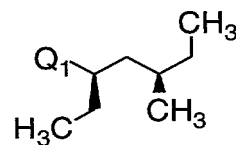
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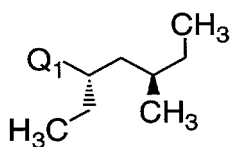
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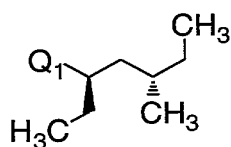
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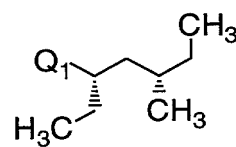
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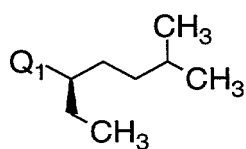
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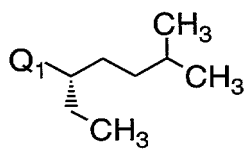
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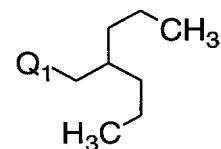
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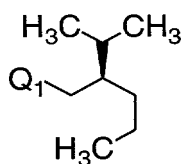
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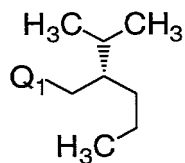
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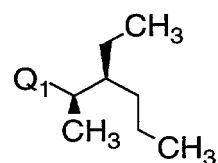
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274



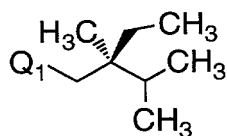
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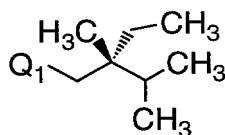
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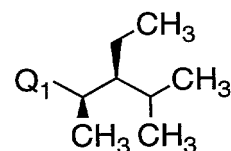
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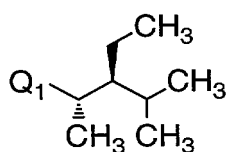
295



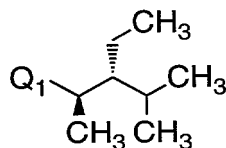
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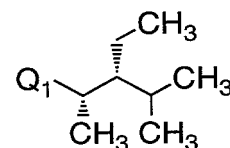
297



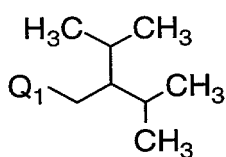
298



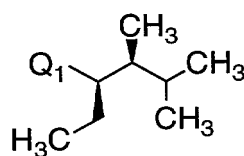
299



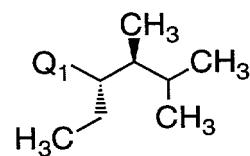
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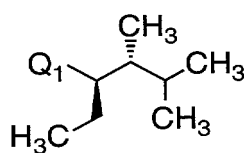
301



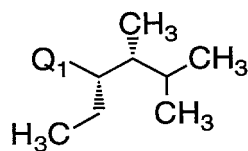
302



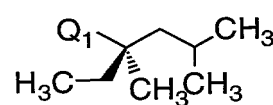
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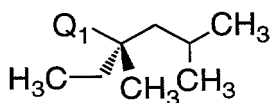
304



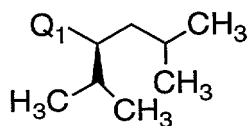
305



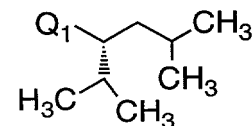
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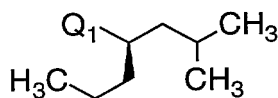
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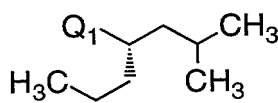
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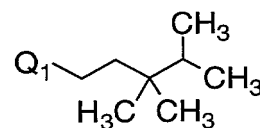
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310

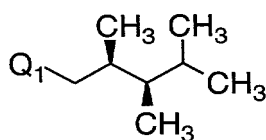


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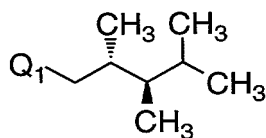


312

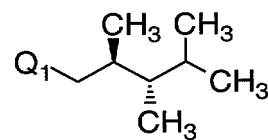
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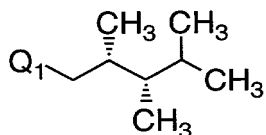
313



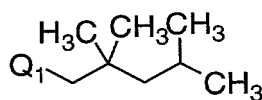
314



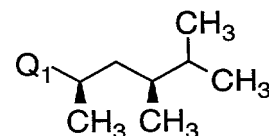
315



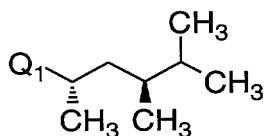
316



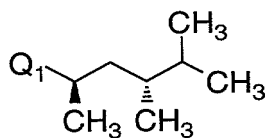
317



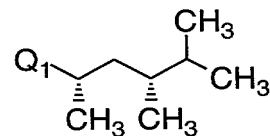
318



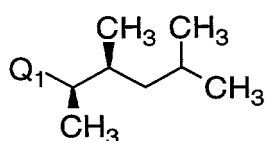
319



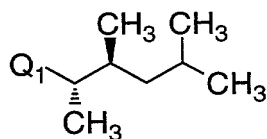
320



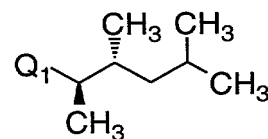
321



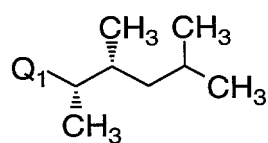
322



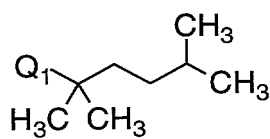
323



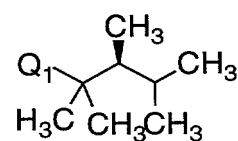
324



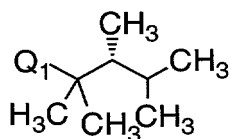
325



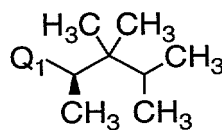
326



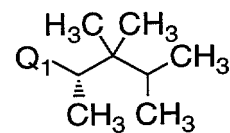
327



328

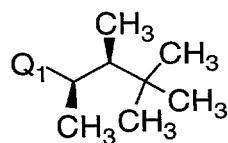


329

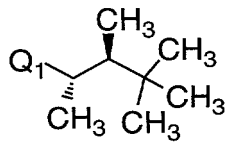


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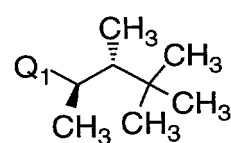
Table 2s



331



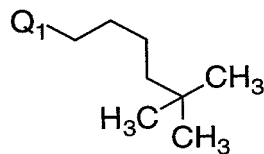
332



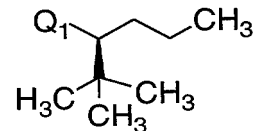
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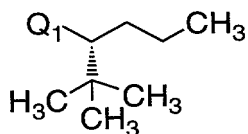
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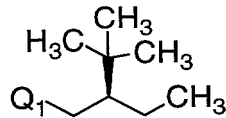
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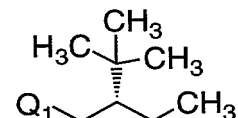
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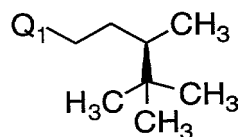
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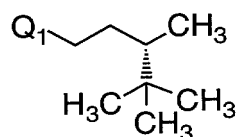
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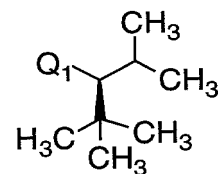
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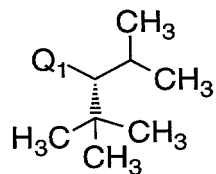
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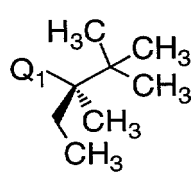
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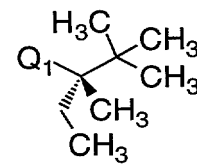
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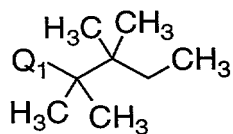
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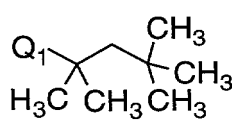
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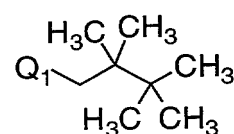
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346



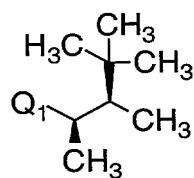
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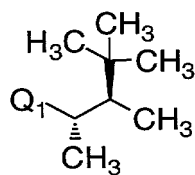
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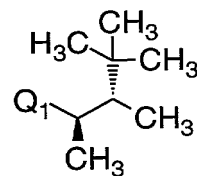
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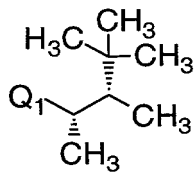
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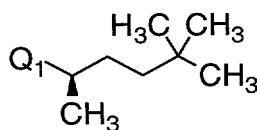
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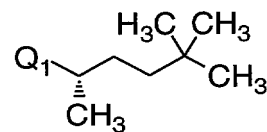
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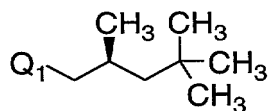
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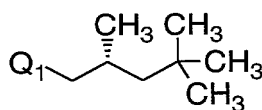
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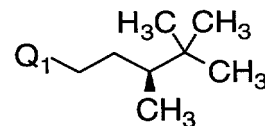
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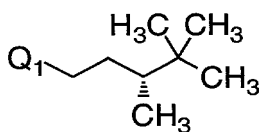
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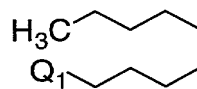
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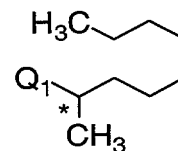
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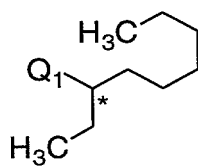
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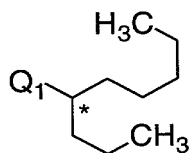
359



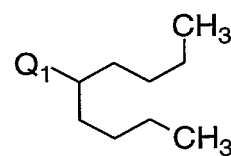
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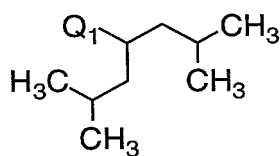
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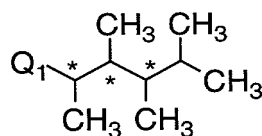
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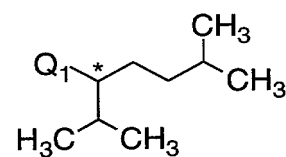
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364

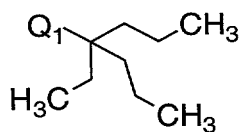


365

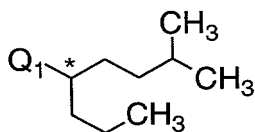


366

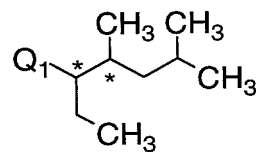
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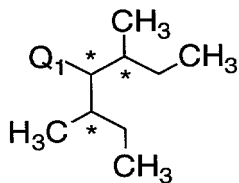
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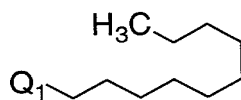
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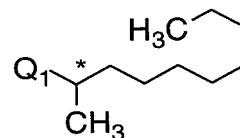
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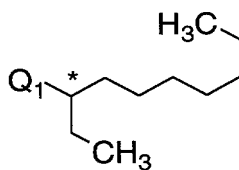
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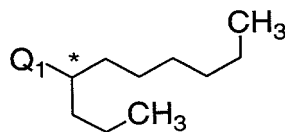
371



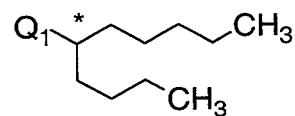
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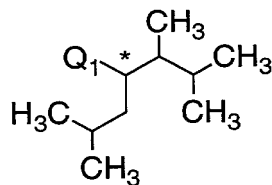
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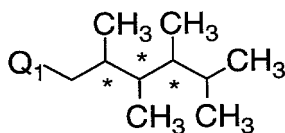
374



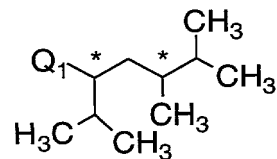
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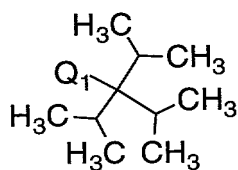
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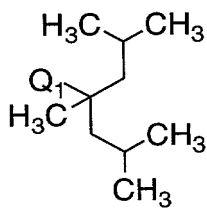
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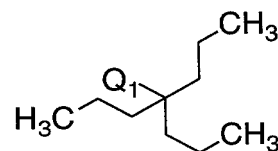
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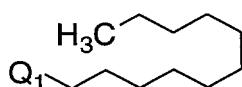
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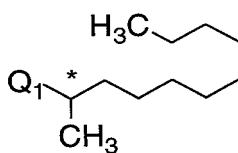
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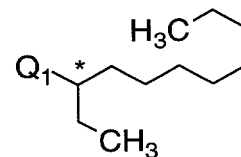
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382

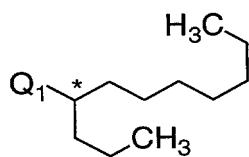


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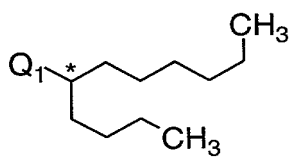


384

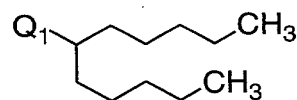
Table 2v



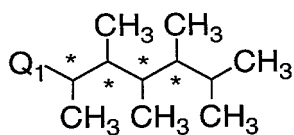
385



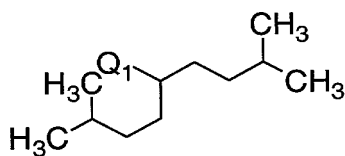
386



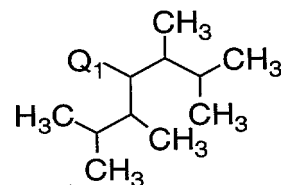
387



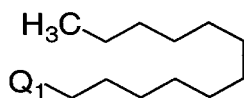
388



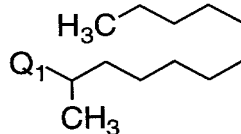
389



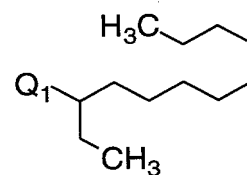
390



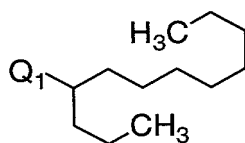
391



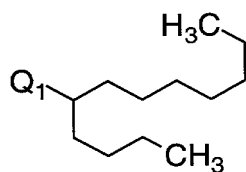
392



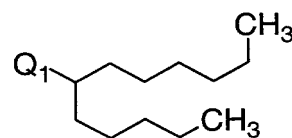
393



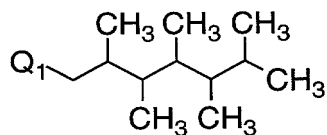
394



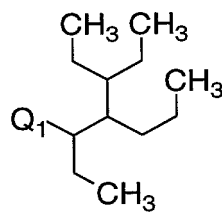
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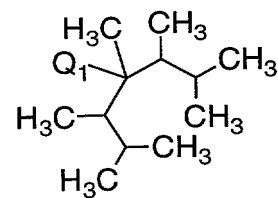
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397

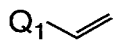


398

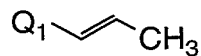


399

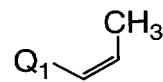
Table 2w



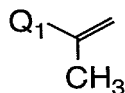
400



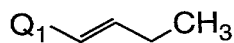
401



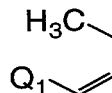
402



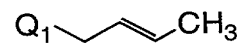
403



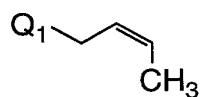
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405



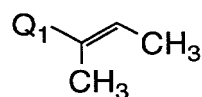
406



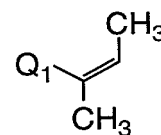
407



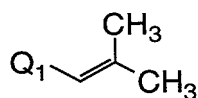
408



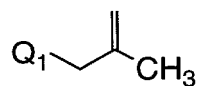
409



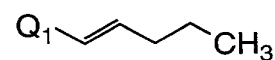
410



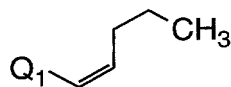
411



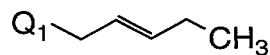
412



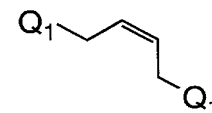
413



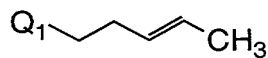
414



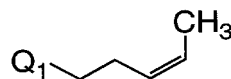
415



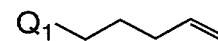
416



417

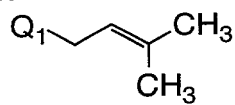


418

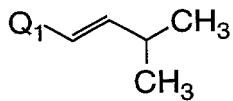


419

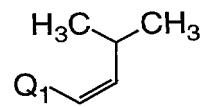
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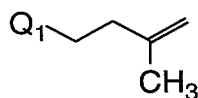
420



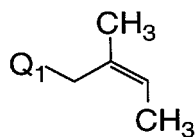
421



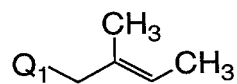
422



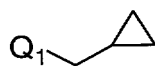
423



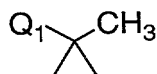
424



425



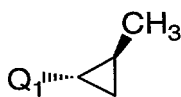
426



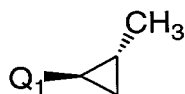
427



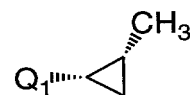
428



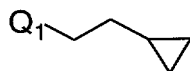
429



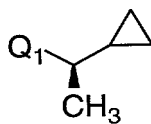
430



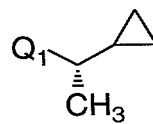
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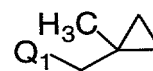
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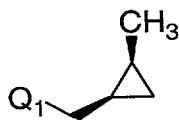
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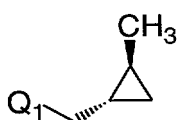
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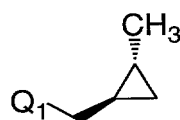
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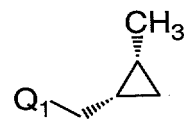
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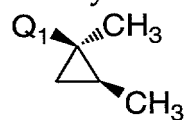


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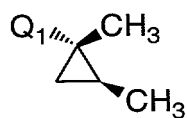


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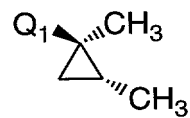
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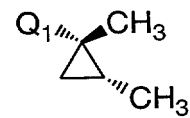
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441



442



443



444



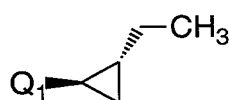
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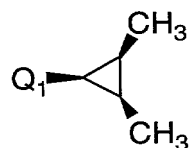
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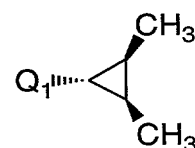
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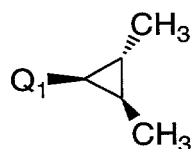
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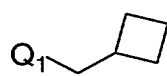
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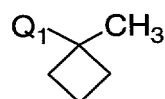
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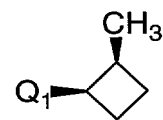
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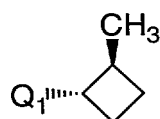
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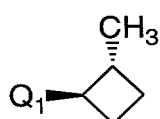
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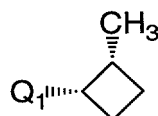
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456



457



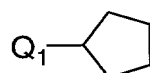
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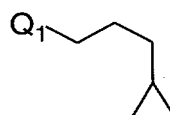
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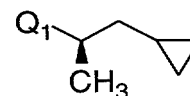
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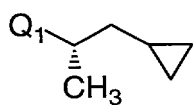


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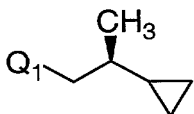


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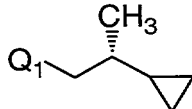
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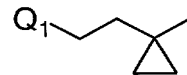
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464



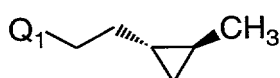
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466



467



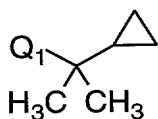
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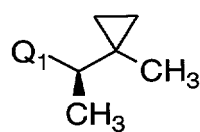
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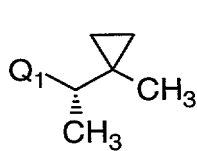
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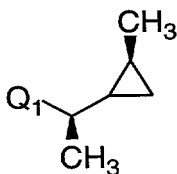
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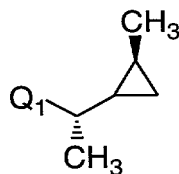
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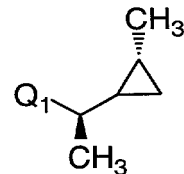
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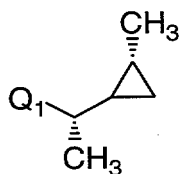
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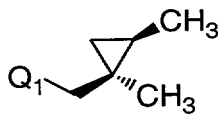
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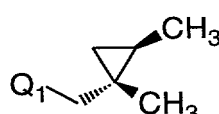
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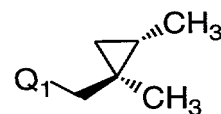
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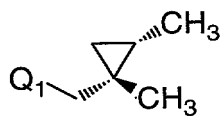
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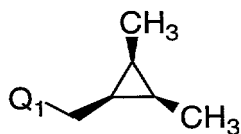
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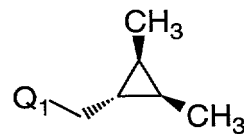
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481



482



483

Table 2aa

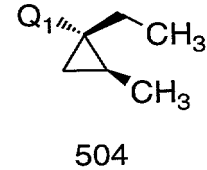
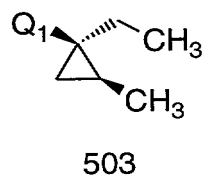
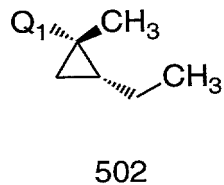
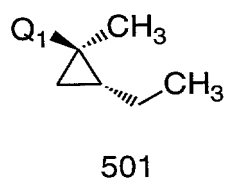
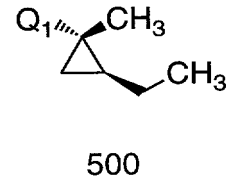
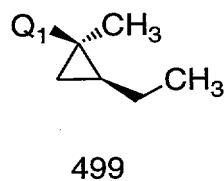
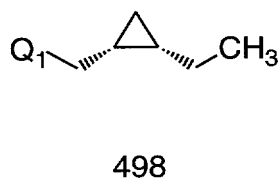
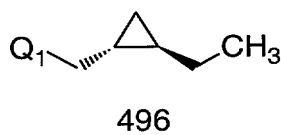
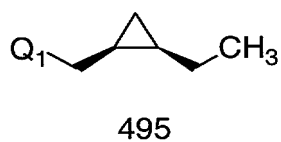
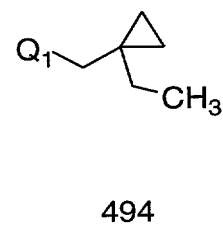
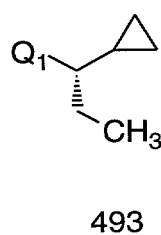
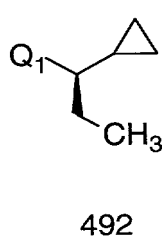
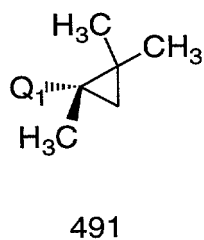
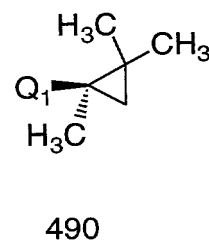
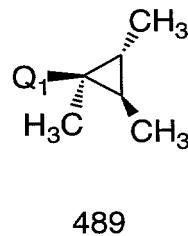
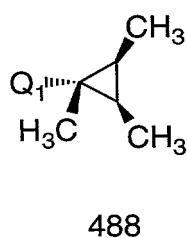
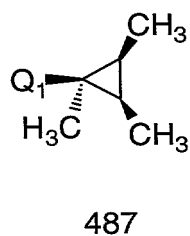
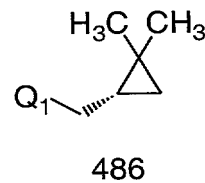
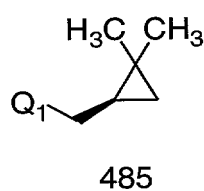
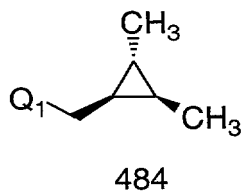




Table 2ab

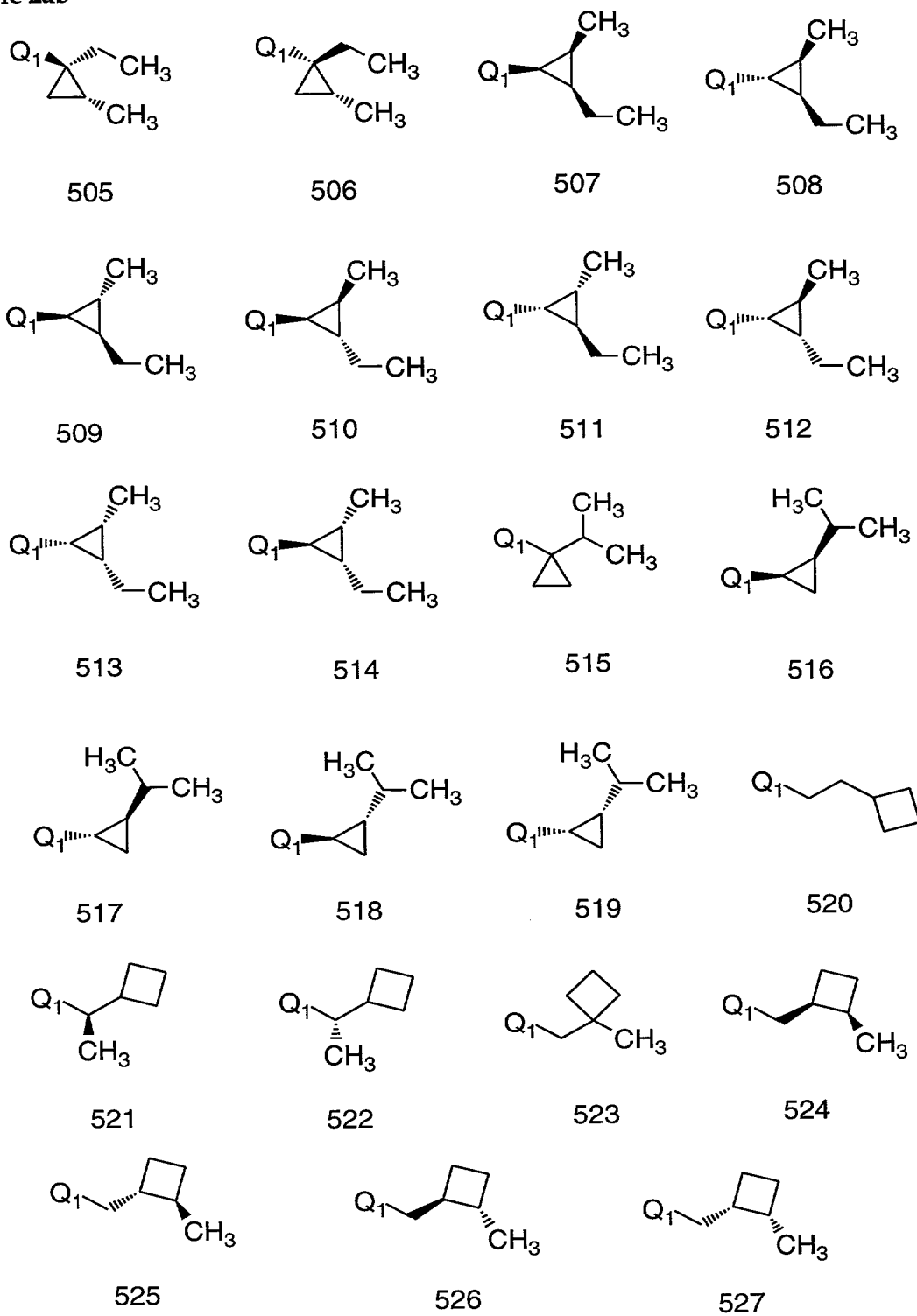


Table 2ac

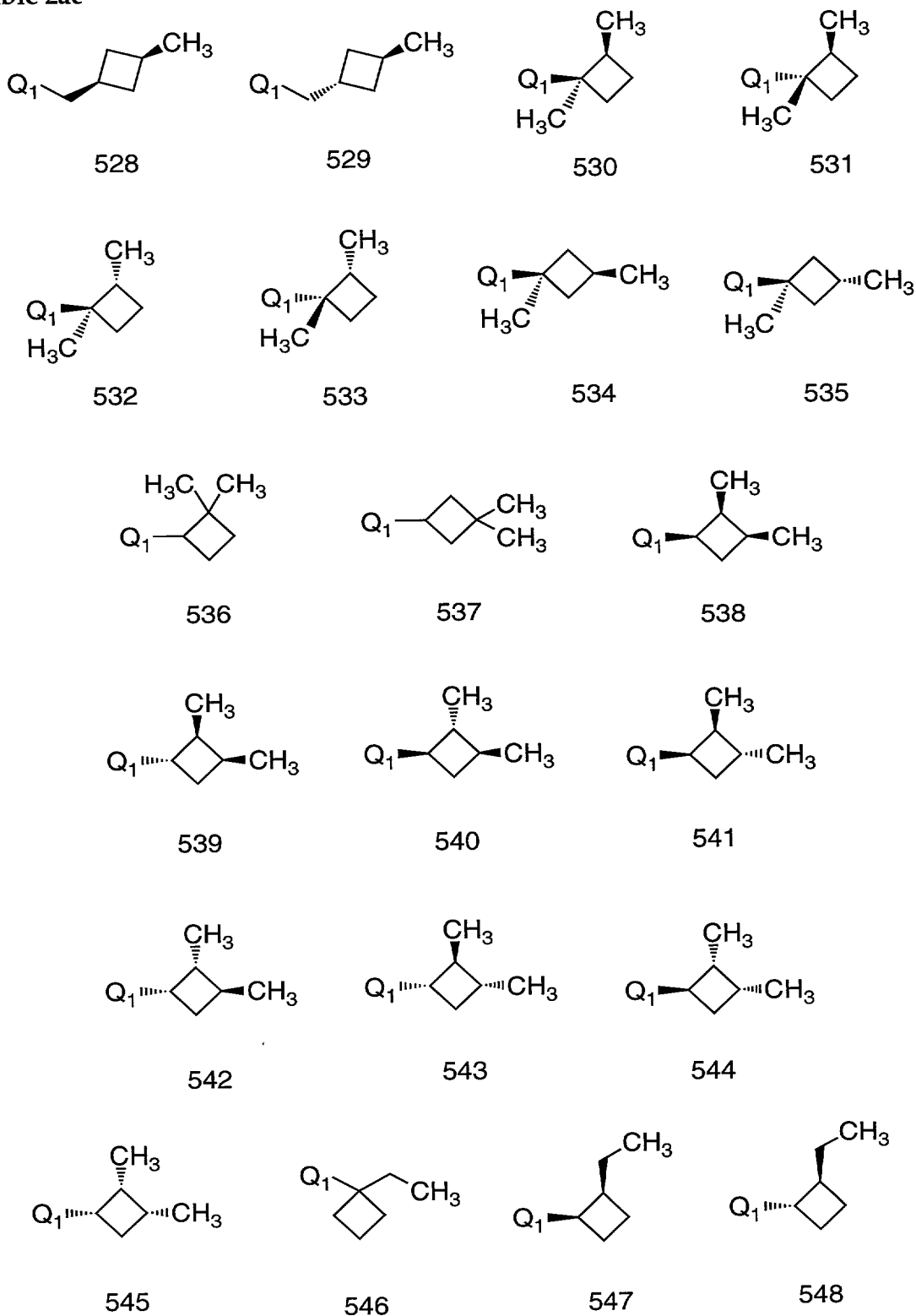
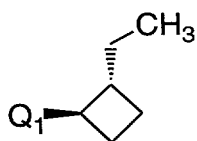
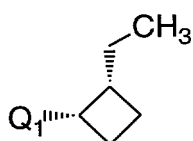


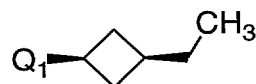
Table 2ad



549



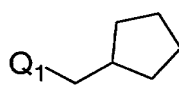
550



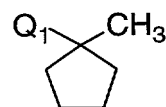
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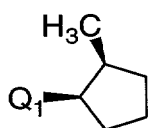
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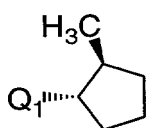
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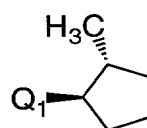
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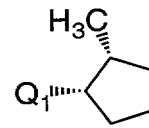
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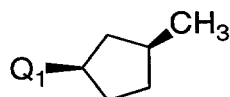
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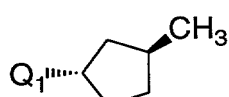
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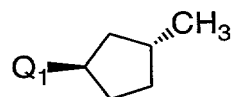
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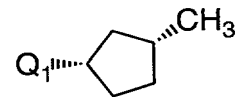
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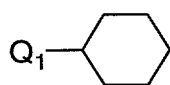
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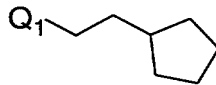
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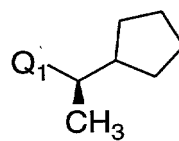
562



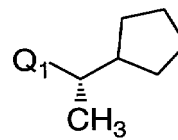
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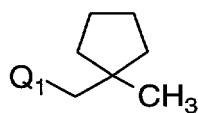
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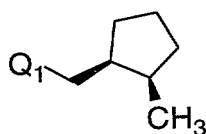
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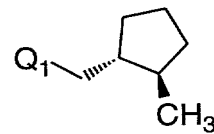
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567



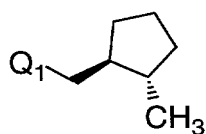
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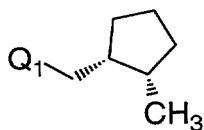
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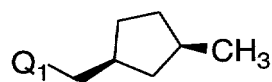
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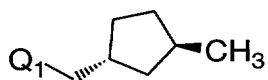
570



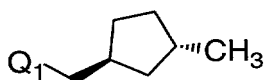
571



572



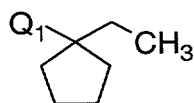
573



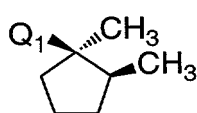
574



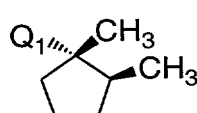
575



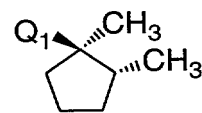
576



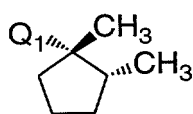
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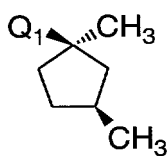
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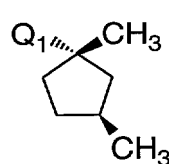
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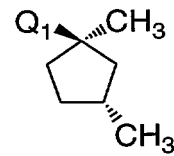
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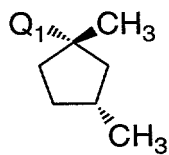
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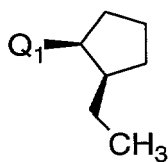
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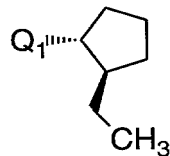
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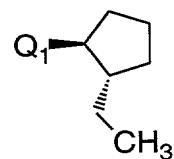
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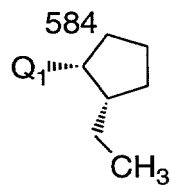
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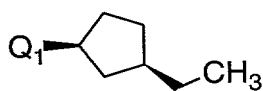
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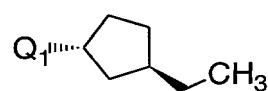
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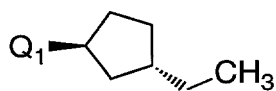


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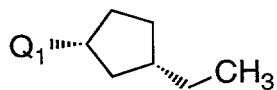


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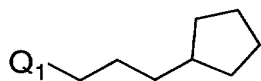
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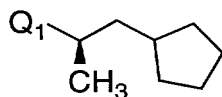
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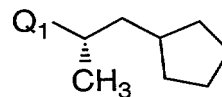
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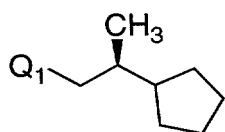
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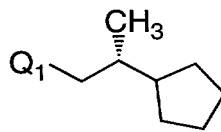
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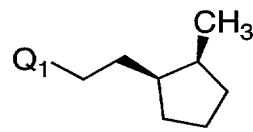
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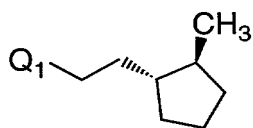
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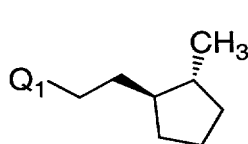
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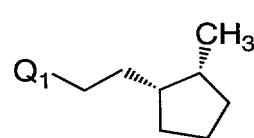
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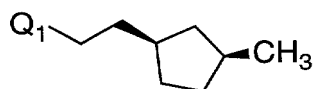
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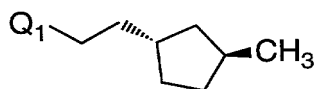
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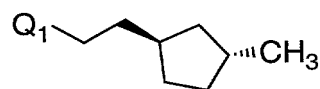
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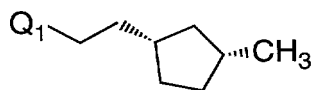
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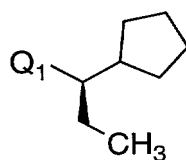
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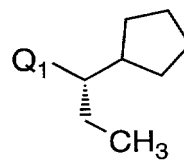
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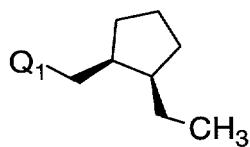


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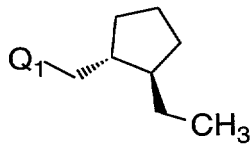


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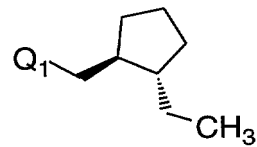
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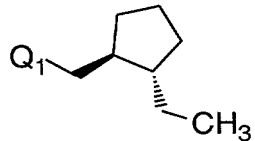
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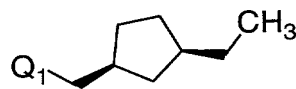
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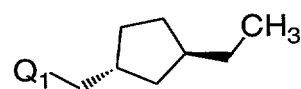
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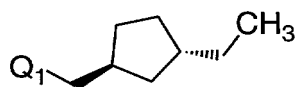
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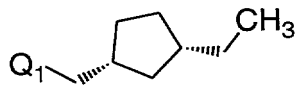
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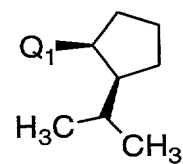
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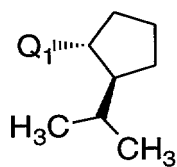
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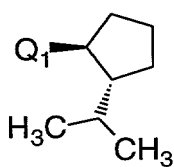
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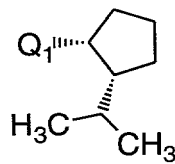
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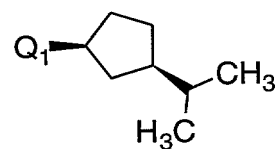
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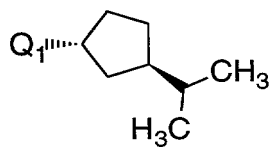
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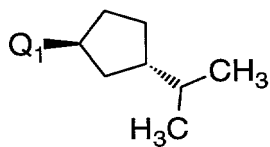
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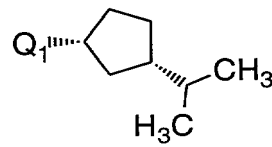
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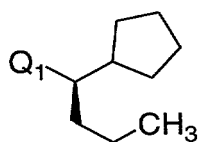
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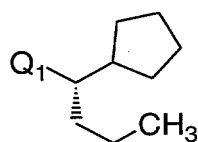
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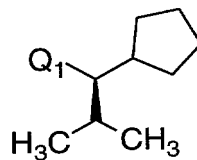
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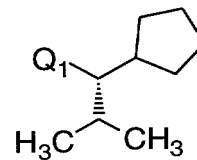
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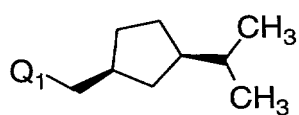


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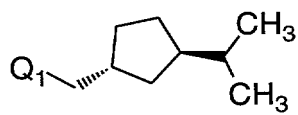


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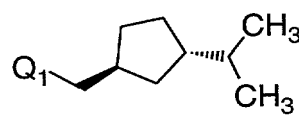
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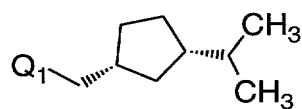
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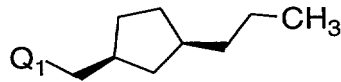
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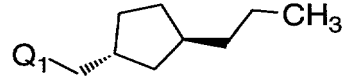
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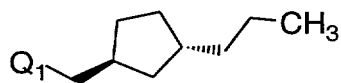
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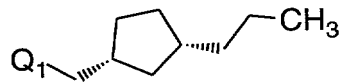
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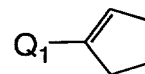
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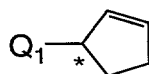
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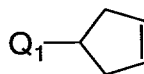
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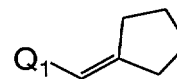
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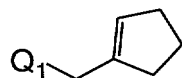
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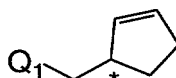
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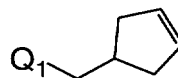
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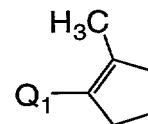
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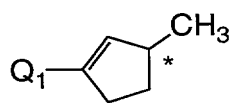
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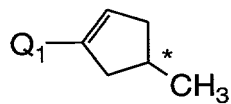
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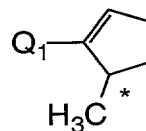
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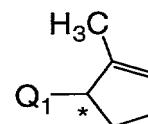
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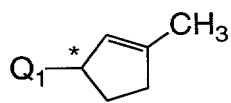


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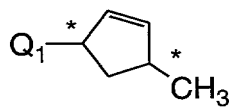


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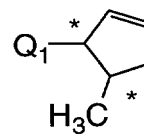
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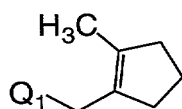
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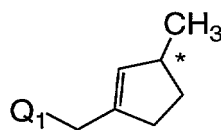
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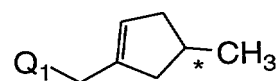
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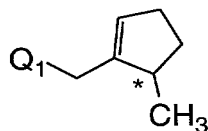
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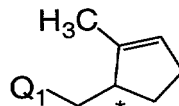
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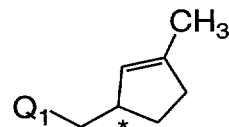
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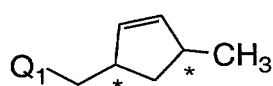
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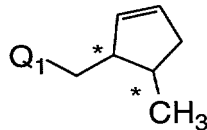
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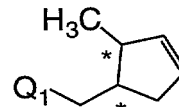
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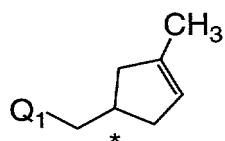
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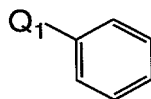
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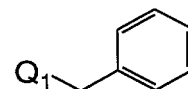
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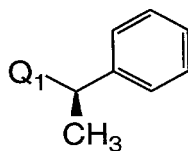
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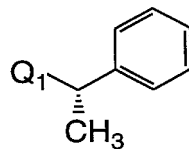
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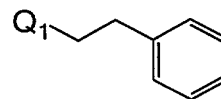
662



663



664



665



Table 2aj

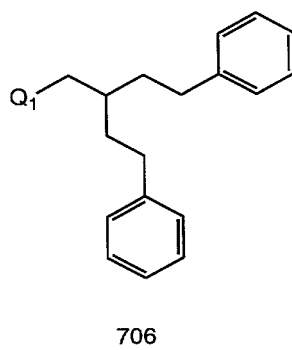
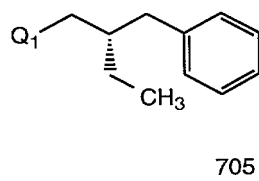
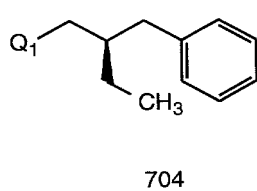
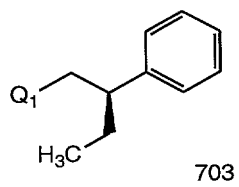
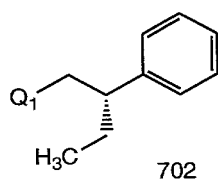
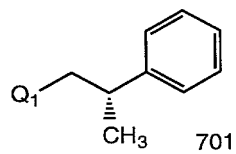
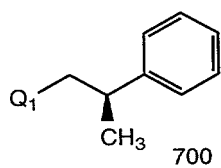


Table 2ak

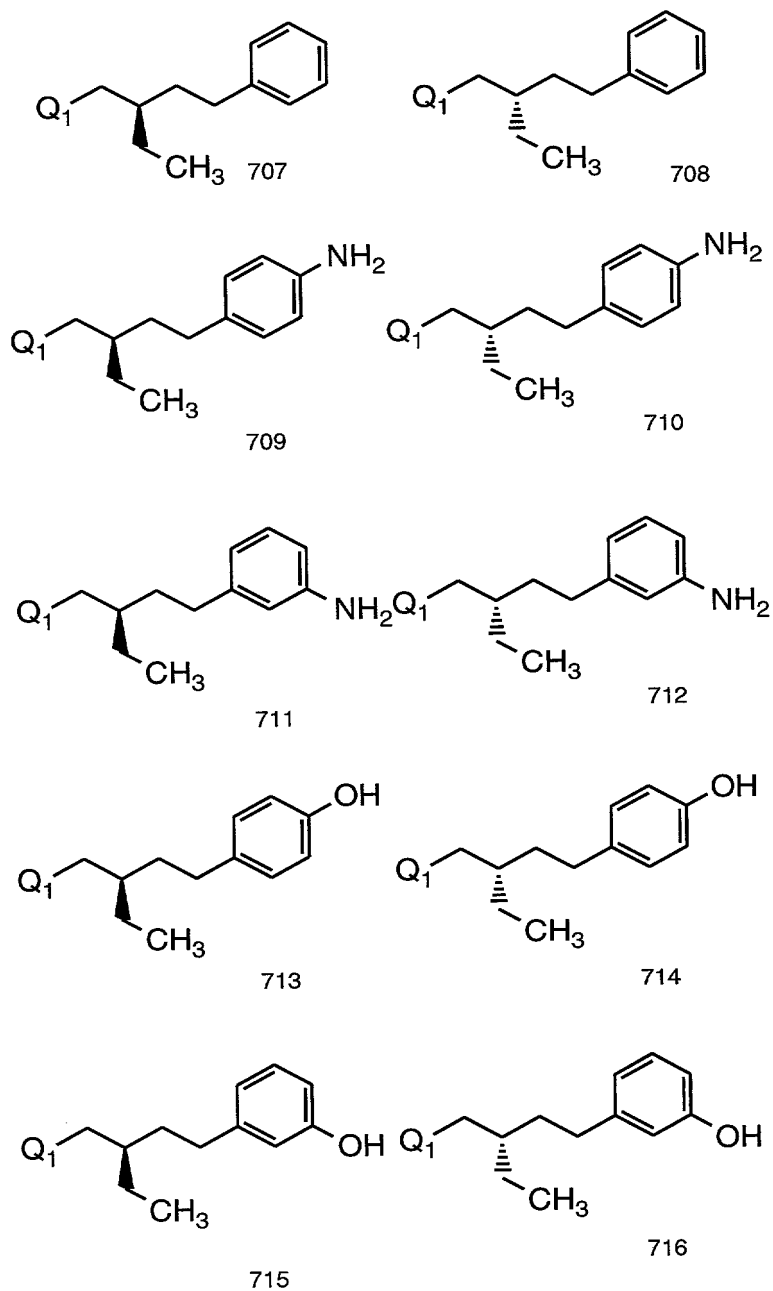


Table 2a1

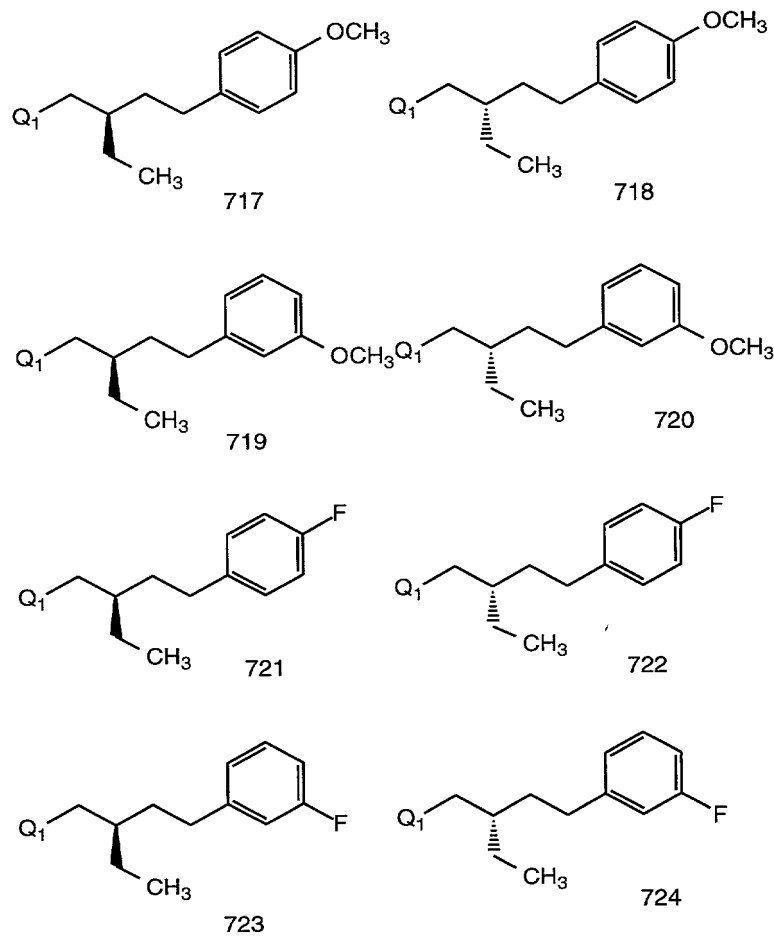
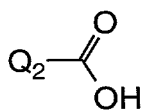
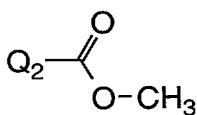


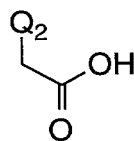
Table 3a



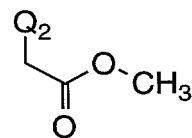
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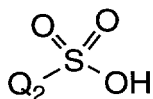
b



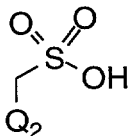
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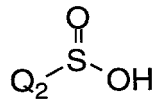
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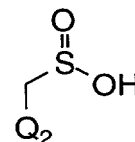
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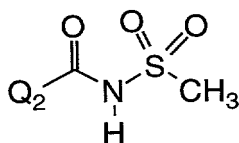
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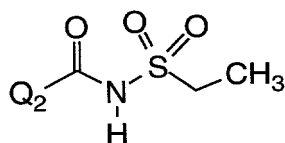
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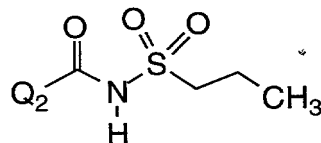
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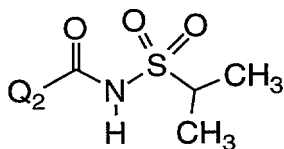
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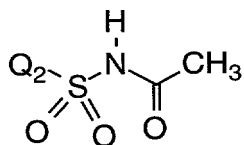
j



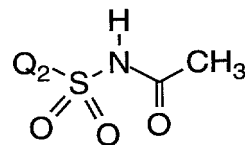
k



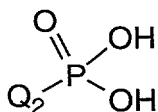
l



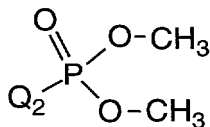
m



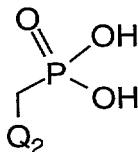
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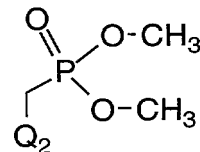
o



p



q



r

Table 3b

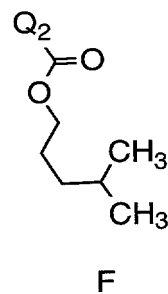
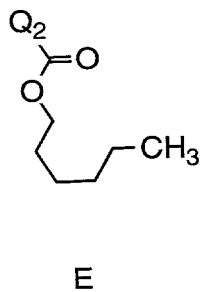
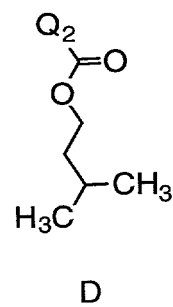
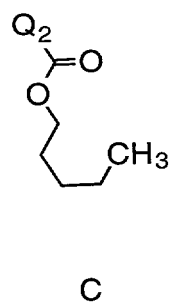
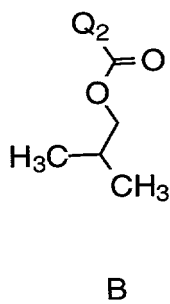
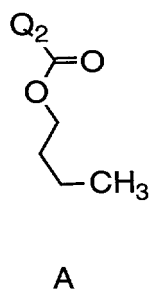
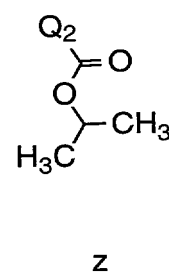
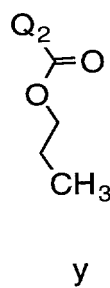
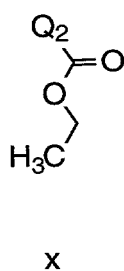
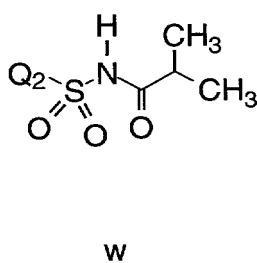
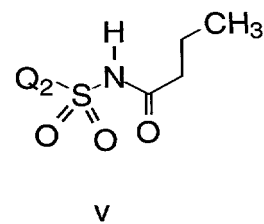
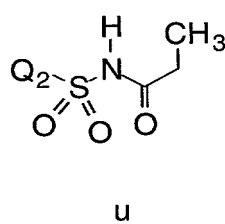
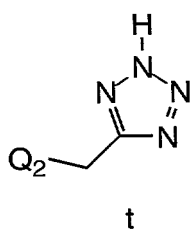
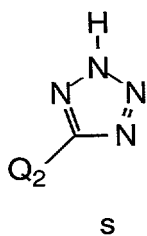


Table 4a


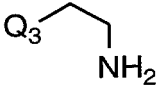
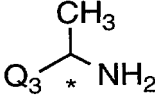
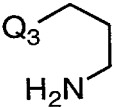
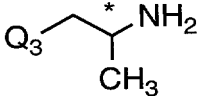
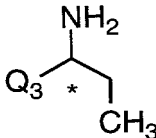
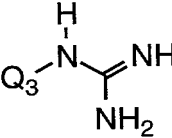
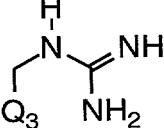
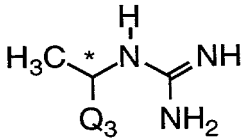
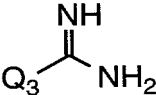
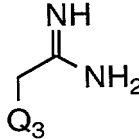
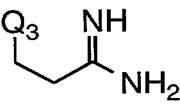
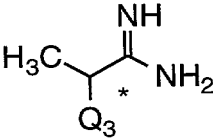
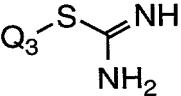
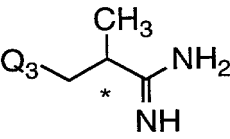
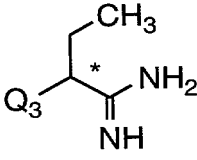
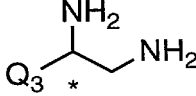
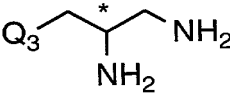
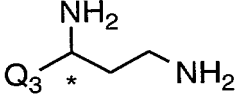
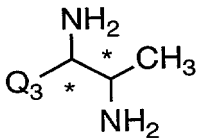
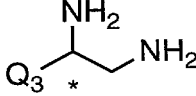
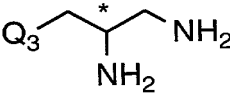
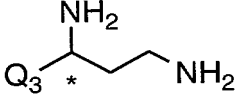
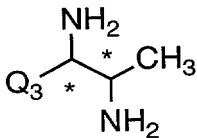
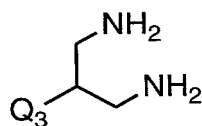
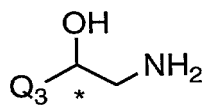
$Q_3-OH$	$Q_3-N_3$	$Q_3-NO_2$	$Q_3-NH_2$
1	2	3	4
			
5	6	7	8
			
9	10	11	12
			
13	14	15	16
			
17	18	19	20
			
21	22	23	24
			

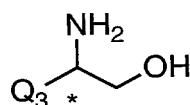
Table 4b



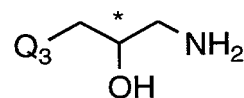
25



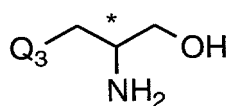
26



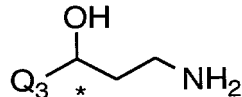
27



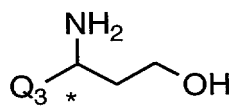
28



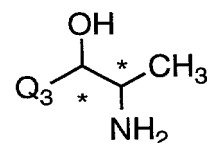
29



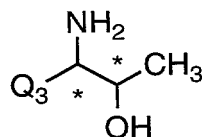
30



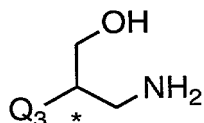
31



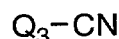
32



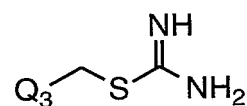
33



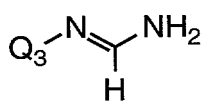
34



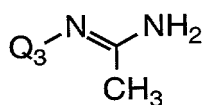
35



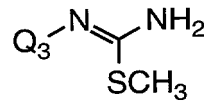
36



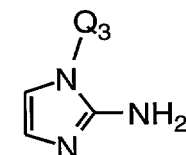
37



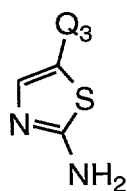
38



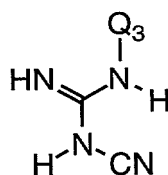
39



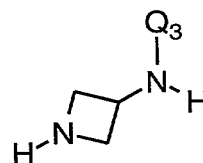
40



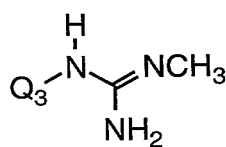
41



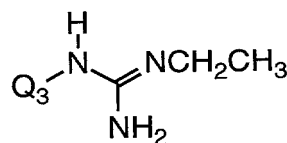
42



43

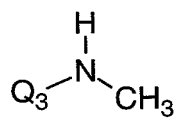


44

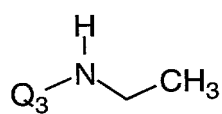


45

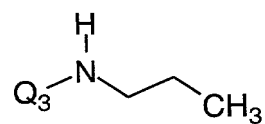
Table 4c



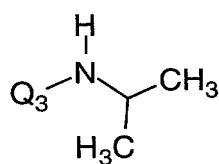
46



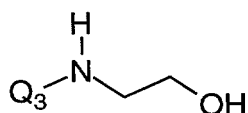
47



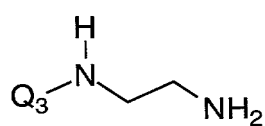
48



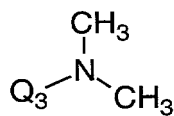
49



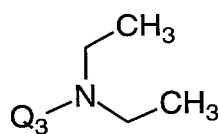
50



51



52



53



54



Table 5a

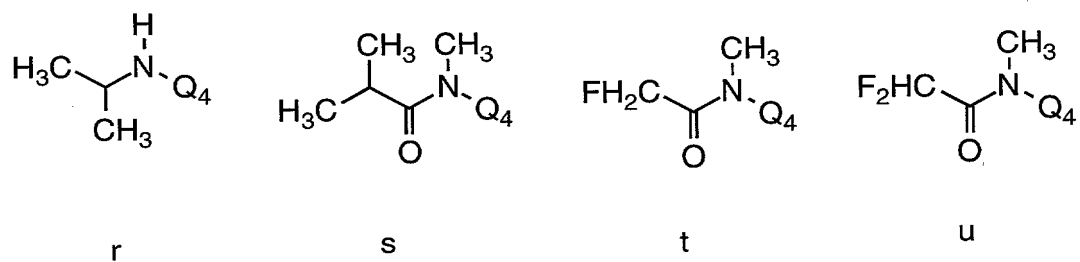
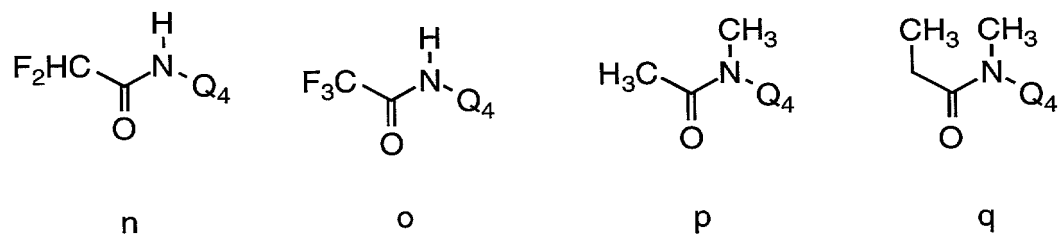
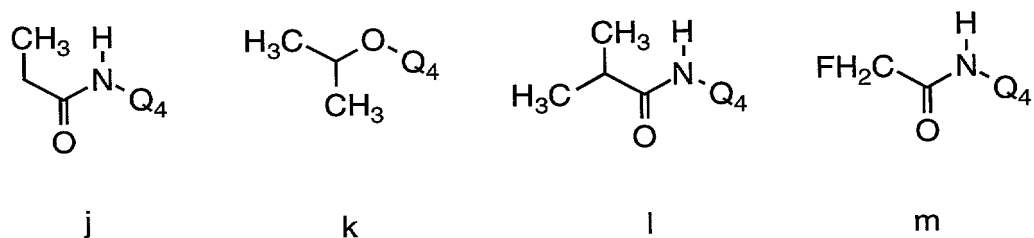
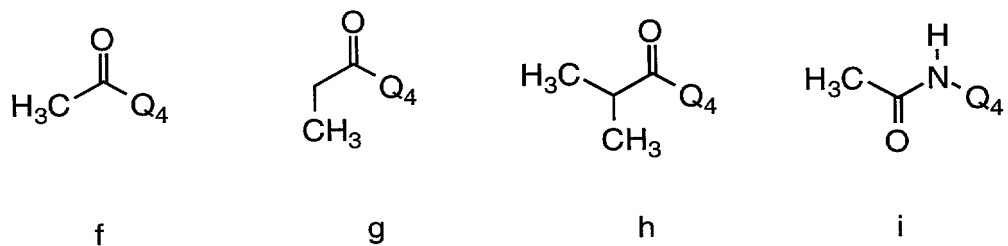
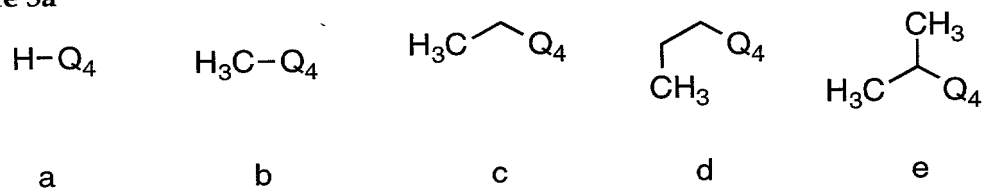
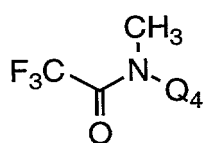
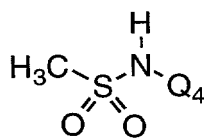


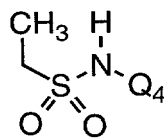
Table 5b



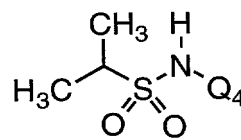
v



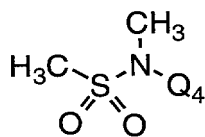
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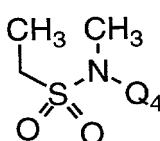
x



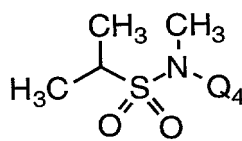
y



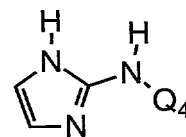
z



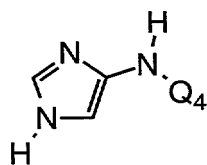
aa



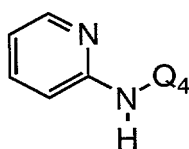
ab



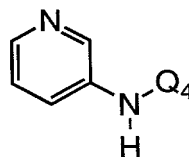
ac



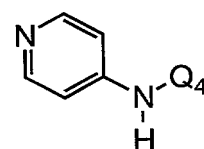
ad



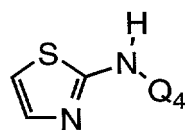
ae



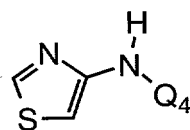
af



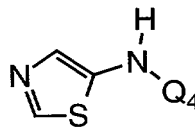
ag



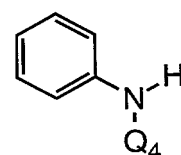
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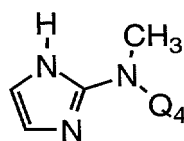
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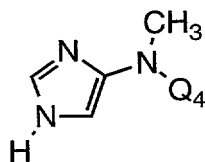
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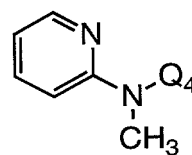
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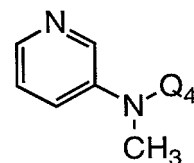
al



am

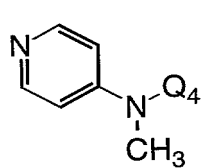


an

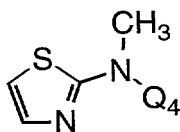


ao

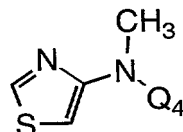
Table 5c



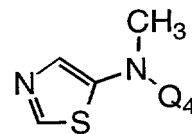
ap



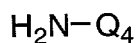
aq



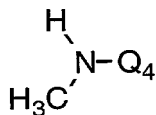
ar



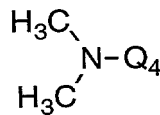
as



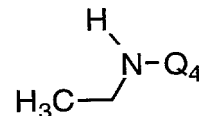
at



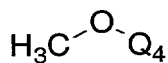
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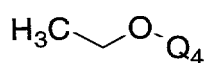
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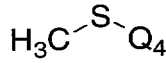
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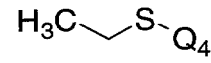
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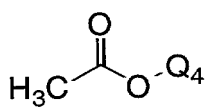
ay



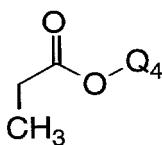
az



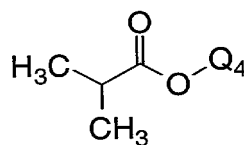
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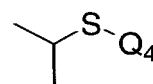
bb



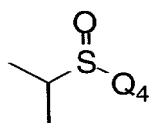
bc



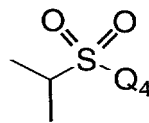
bd



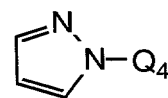
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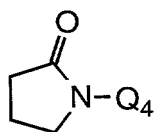
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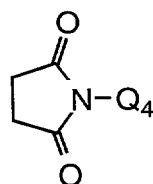
bg



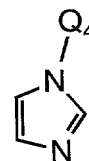
bh



bi



bj



bk

**Table 6 - Exemplary Enumerated Compounds**

	A.3.a.4.i; A.4.a.4.i; A.7.a.4.i; A.9.a.4.i; A.103.a.4.i; A.106.a.4.i; A.107.a.4.i;
	A.108.a.4.i; A.111.a.4.i; A.114.a.4.i; A.117.a.4.i; A.118.a.4.i; A.119.a.4.i; A.120.a.4.i;
	A.121.a.4.i; A.137.a.4.i; A.138.a.4.i; A.139.a.4.i; A.140.a.4.i; A.141.a.4.i; A.142.a.4.i;
5	A.145.a.4.i; A.146.a.4.i; A.147.a.4.i; A.148.a.4.i; A.149.a.4.i; A.150.a.4.i; A.151.a.4.i;
	A.165.a.4.i; A.166.a.4.i; A.167.a.4.i; A.168.a.4.i; A.169.a.4.i; A.170.a.4.i; A.171.a.4.i;
	A.172.a.4.i; A.173.a.4.i; A.174.a.4.i; A.175.a.4.i; A.176.a.4.i; A.188.a.4.i; A.189.a.4.i;
	A.190.a.4.i; A.196.a.4.i; A.202.a.4.i; A.205.a.4.i; A.206.a.4.i; A.207.a.4.i; A.208.a.4.i;
	A.209.a.4.i; A.210.a.4.i; A.211.a.4.i; A.212.a.4.i; A.213.a.4.i; A.700.a.4.i; A.701.a.4.i;
10	A.702.a.4.i; A.703.a.4.i; A.704.a.4.i; A.705.a.4.i; A.706.a.4.i; A.707.a.4.i; A.708.a.4.i;
	A.709.a.4.i; A.710.a.4.i; A.711.a.4.i; A.712.a.4.i; A.713.a.4.i; A.714.a.4.i; A.715.a.4.i;
	A.716.a.4.i; A.717.a.4.i; A.718.a.4.i; A.719.a.4.i; A.720.a.4.i; A.721.a.4.i; A.722.a.4.i;
	A.723.a.4.i; A.724.a.4.i; A.3.a.4.o; A.4.a.4.o; A.7.a.4.o; A.9.a.4.o; A.103.a.4.o;
	A.106.a.4.o; A.107.a.4.o; A.108.a.4.o; A.111.a.4.o; A.114.a.4.o; A.117.a.4.o;
15	A.118.a.4.o; A.119.a.4.o; A.120.a.4.o; A.121.a.4.o; A.137.a.4.o; A.138.a.4.o;
	A.139.a.4.o; A.140.a.4.o; A.141.a.4.o; A.142.a.4.o; A.145.a.4.o; A.146.a.4.o;
	A.147.a.4.o; A.148.a.4.o; A.149.a.4.o; A.150.a.4.o; A.151.a.4.o; A.165.a.4.o;
	A.166.a.4.o; A.167.a.4.o; A.168.a.4.o; A.169.a.4.o; A.170.a.4.o; A.171.a.4.o;
	A.172.a.4.o; A.173.a.4.o; A.174.a.4.o; A.175.a.4.o; A.176.a.4.o; A.188.a.4.o;
20	A.189.a.4.o; A.190.a.4.o; A.196.a.4.o; A.202.a.4.o; A.205.a.4.o; A.206.a.4.o;
	A.207.a.4.o; A.208.a.4.o; A.209.a.4.o; A.210.a.4.o; A.211.a.4.o; A.212.a.4.o;
	A.213.a.4.o; A.700.a.4.o; A.701.a.4.o; A.702.a.4.o; A.703.a.4.o; A.704.a.4.o;
	A.705.a.4.o; A.706.a.4.o; A.707.a.4.o; A.708.a.4.o; A.709.a.4.o; A.710.a.4.o;
	A.711.a.4.o; A.712.a.4.o; A.713.a.4.o; A.714.a.4.o; A.715.a.4.o; A.716.a.4.o;
25	A.717.a.4.o; A.718.a.4.o; A.719.a.4.o; A.720.a.4.o; A.721.a.4.o; A.722.a.4.o;
	A.723.a.4.o; A.724.a.4.o; A.172.b.4.i; A.173.b.4.i; A.174.b.4.i; A.175.b.4.i;
	A.176.b.4.i; A.188.b.4.i; A.189.b.4.i; A.190.b.4.i; A.196.b.4.i; A.202.b.4.i; A.205.b.4.i;
	A.206.b.4.i; A.207.b.4.i; A.208.b.4.i; A.209.b.4.i; A.210.b.4.i; A.211.b.4.i; A.212.b.4.i;
	A.213.b.4.i; A.700.b.4.i; A.701.b.4.i; A.702.b.4.i; A.703.b.4.i; A.704.b.4.i; A.705.b.4.i;
30	A.706.b.4.i; A.707.b.4.i; A.708.b.4.i; A.709.b.4.i; A.710.b.4.i; A.711.b.4.i; A.712.b.4.i;
	A.713.b.4.i; A.714.b.4.i; A.715.b.4.i; A.716.b.4.i; A.717.b.4.i; A.718.b.4.i; A.719.b.4.i;
	A.720.b.4.i; A.721.b.4.i; A.722.b.4.i; A.723.b.4.i; A.724.b.4.i; A.3.b.4.o; A.4.b.4.o;
	A.7.b.4.o; A.9.b.4.o; A.103.b.4.o; A.106.b.4.o; A.107.b.4.o; A.108.b.4.o; A.111.b.4.o;
	A.114.b.4.o; A.117.b.4.o; A.118.b.4.o; A.119.b.4.o; A.120.b.4.o; A.121.b.4.o;
35	A.137.b.4.o; A.138.b.4.o; A.139.b.4.o; A.140.b.4.o; A.141.b.4.o; A.142.b.4.o;
	A.145.b.4.o; A.146.b.4.o; A.147.b.4.o; A.148.b.4.o; A.149.b.4.o; A.150.b.4.o;
	A.151.b.4.o; A.165.b.4.o; A.166.b.4.o; A.167.b.4.o; A.168.b.4.o; A.169.b.4.o;
	A.170.b.4.o; A.171.b.4.o; A.172.b.4.o; A.173.b.4.o; A.174.b.4.o; A.175.b.4.o;
	A.176.b.4.o; A.188.b.4.o; A.189.b.4.o; A.190.b.4.o; A.196.b.4.o; A.202.b.4.o;
40	A.205.b.4.o; A.206.b.4.o; A.207.b.4.o; A.208.b.4.o; A.209.b.4.o; A.210.b.4.o;
	A.211.b.4.o; A.212.b.4.o; A.213.b.4.o; A.700.b.4.o; A.701.b.4.o; A.702.b.4.o;
	A.703.b.4.o; A.704.b.4.o; A.705.b.4.o; A.706.b.4.o; A.707.b.4.o; A.708.b.4.o;
	A.709.b.4.o; A.710.b.4.o; A.711.b.4.o; A.712.b.4.o; A.713.b.4.o; A.714.b.4.o;
	A.715.b.4.o; A.716.b.4.o; A.717.b.4.o; A.718.b.4.o; A.719.b.4.o; A.720.b.4.o;
45	A.721.b.4.o; A.722.b.4.o; A.723.b.4.o; A.724.b.4.o; A.172.x.4.i; A.173.x.4.i;
	A.174.x.4.i; A.175.x.4.i; A.176.x.4.i; A.188.x.4.i; A.189.x.4.i; A.190.x.4.i; A.196.x.4.i;

A.202.x.4.i; A.205.x.4.i; A.206.x.4.i; A.207.x.4.i; A.208.x.4.i; A.209.x.4.i; A.210.x.4.i;  
A.211.x.4.i; A.212.x.4.i; A.213.x.4.i; A.700.x.4.i; A.701.x.4.i; A.702.x.4.i; A.703.x.4.i;  
A.704.x.4.i; A.705.x.4.i; A.706.x.4.i; A.707.x.4.i; A.708.x.4.i; A.709.x.4.i; A.710.x.4.i;  
A.711.x.4.i; A.712.x.4.i; A.713.x.4.i; A.714.x.4.i; A.715.x.4.i; A.716.x.4.i; A.717.x.4.i;  
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30 A.166.y.4.o; A.167.y.4.o; A.168.y.4.o; A.169.y.4.o; A.170.y.4.o; A.171.y.4.o;  
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B.196.x.54.o; B.202.x.54.o; B.205.x.54.o; B.206.x.54.o; B.207.x.54.o; B.208.x.54.o;  
B.209.x.54.o; B.210.x.54.o; B.211.x.54.o; B.212.x.54.o; B.213.x.54.o; B.700.x.54.o;  
B.701.x.54.o; B.702.x.54.o; B.703.x.54.o; B.704.x.54.o; B.705.x.54.o; B.706.x.54.o;  
B.707.x.54.o; B.708.x.54.o; B.709.x.54.o; B.710.x.54.o; B.711.x.54.o; B.712.x.54.o;  
25 B.713.x.54.o; B.714.x.54.o; B.715.x.54.o; B.716.x.54.o; B.717.x.54.o; B.718.x.54.o;  
B.719.x.54.o; B.720.x.54.o; B.721.x.54.o; B.722.x.54.o; B.723.x.54.o; B.724.x.54.o;  
B.172.y.54.i; B.173.y.54.i; B.174.y.54.i; B.175.y.54.i; B.176.y.54.i; B.188.y.54.i;  
B.189.y.54.i; B.190.y.54.i; B.196.y.54.i; B.202.y.54.i; B.205.y.54.i; B.206.y.54.i;  
B.207.y.54.i; B.208.y.54.i; B.209.y.54.i; B.210.y.54.i; B.211.y.54.i; B.212.y.54.i;  
30 B.213.y.54.i; B.700.y.54.i; B.701.y.54.i; B.702.y.54.i; B.703.y.54.i; B.704.y.54.i;  
B.705.y.54.i; B.706.y.54.i; B.707.y.54.i; B.708.y.54.i; B.709.y.54.i; B.710.y.54.i;  
B.711.y.54.i; B.712.y.54.i; B.713.y.54.i; B.714.y.54.i; B.715.y.54.i; B.716.y.54.i;  
B.717.y.54.i; B.718.y.54.i; B.719.y.54.i; B.720.y.54.i; B.721.y.54.i; B.722.y.54.i;  
B.723.y.54.i; B.724.y.54.i; B.3.y.54.o; B.4.y.54.o; B.7.y.54.o; B.9.y.54.o; B.103.y.54.o;  
35 B.106.y.54.o; B.107.y.54.o; B.108.y.54.o; B.111.y.54.o; B.114.y.54.o; B.117.y.54.o;  
B.118.y.54.o; B.119.y.54.o; B.120.y.54.o; B.121.y.54.o; B.137.y.54.o; B.138.y.54.o;  
B.139.y.54.o; B.140.y.54.o; B.141.y.54.o; B.142.y.54.o; B.145.y.54.o; B.146.y.54.o;  
B.147.y.54.o; B.148.y.54.o; B.149.y.54.o; B.150.y.54.o; B.151.y.54.o; B.165.y.54.o;  
B.166.y.54.o; B.167.y.54.o; B.168.y.54.o; B.169.y.54.o; B.170.y.54.o; B.171.y.54.o;  
40 B.172.y.54.o; B.173.y.54.o; B.174.y.54.o; B.175.y.54.o; B.176.y.54.o; B.188.y.54.o;  
B.189.y.54.o; B.190.y.54.o; B.196.y.54.o; B.202.y.54.o; B.205.y.54.o; B.206.y.54.o;  
B.207.y.54.o; B.208.y.54.o; B.209.y.54.o; B.210.y.54.o; B.211.y.54.o; B.212.y.54.o;  
B.213.y.54.o; B.700.y.54.o; B.701.y.54.o; B.702.y.54.o; B.703.y.54.o; B.704.y.54.o;  
B.705.y.54.o; B.706.y.54.o; B.707.y.54.o; B.708.y.54.o; B.709.y.54.o; B.710.y.54.o;  
45 B.711.y.54.o; B.712.y.54.o; B.713.y.54.o; B.714.y.54.o; B.715.y.54.o; B.716.y.54.o;  
B.717.y.54.o; B.718.y.54.o; B.719.y.54.o; B.720.y.54.o; B.721.y.54.o; B.722.y.54.o;



- B.723.y.54.o; B.724.y.54.o; B.172.z.54.i; B.173.z.54.i; B.174.z.54.i; B.175.z.54.i;  
B.176.z.54.i; B.188.z.54.i; B.189.z.54.i; B.190.z.54.i; B.196.z.54.i; B.202.z.54.i;  
B.205.z.54.i; B.206.z.54.i; B.207.z.54.i; B.208.z.54.i; B.209.z.54.i; B.210.z.54.i;  
B.211.z.54.i; B.212.z.54.i; B.213.z.54.i; B.700.z.54.i; B.701.z.54.i; B.702.z.54.i;  
5 B.703.z.54.i; B.704.z.54.i; B.705.z.54.i; B.706.z.54.i; B.707.z.54.i; B.708.z.54.i;  
B.709.z.54.i; B.710.z.54.i; B.711.z.54.i; B.712.z.54.i; B.713.z.54.i; B.714.z.54.i;  
B.715.z.54.i; B.716.z.54.i; B.717.z.54.i; B.718.z.54.i; B.719.z.54.i; B.720.z.54.i;  
B.721.z.54.i; B.722.z.54.i; B.723.z.54.i; B.724.z.54.i; B.3.z.54.o; B.4.z.54.o; B.7.z.54.o;  
B.9.z.54.o; B.103.z.54.o; B.106.z.54.o; B.107.z.54.o; B.108.z.54.o; B.111.z.54.o;  
10 B.114.z.54.o; B.117.z.54.o; B.118.z.54.o; B.119.z.54.o; B.120.z.54.o; B.121.z.54.o;  
B.137.z.54.o; B.138.z.54.o; B.139.z.54.o; B.140.z.54.o; B.141.z.54.o; B.142.z.54.o;  
B.145.z.54.o; B.146.z.54.o; B.147.z.54.o; B.148.z.54.o; B.149.z.54.o; B.150.z.54.o;  
B.151.z.54.o; B.165.z.54.o; B.166.z.54.o; B.167.z.54.o; B.168.z.54.o; B.169.z.54.o;  
B.170.z.54.o; B.171.z.54.o; B.172.z.54.o; B.173.z.54.o; B.174.z.54.o; B.175.z.54.o;  
15 B.176.z.54.o; B.188.z.54.o; B.189.z.54.o; B.190.z.54.o; B.196.z.54.o; B.202.z.54.o;  
B.205.z.54.o; B.206.z.54.o; B.207.z.54.o; B.208.z.54.o; B.209.z.54.o; B.210.z.54.o;  
B.211.z.54.o; B.212.z.54.o; B.213.z.54.o; B.700.z.54.o; B.701.z.54.o; B.702.z.54.o;  
B.703.z.54.o; B.704.z.54.o; B.705.z.54.o; B.706.z.54.o; B.707.z.54.o; B.708.z.54.o;  
B.709.z.54.o; B.710.z.54.o; B.711.z.54.o; B.712.z.54.o; B.713.z.54.o; B.714.z.54.o;  
20 B.715.z.54.o; B.716.z.54.o; B.717.z.54.o; B.718.z.54.o; B.719.z.54.o; B.720.z.54.o;  
B.721.z.54.o; B.722.z.54.o; B.723.z.54.o; B.724.z.54.o; B.172.A.54.i; B.173.A.54.i;  
B.174.A.54.i; B.175.A.54.i; B.176.A.54.i; B.188.A.54.i; B.189.A.54.i; B.190.A.54.i;  
B.196.A.54.i; B.202.A.54.i; B.205.A.54.i; B.206.A.54.i; B.207.A.54.i; B.208.A.54.i;  
B.209.A.54.i; B.210.A.54.i; B.211.A.54.i; B.212.A.54.i; B.213.A.54.i; B.700.A.54.i;  
25 B.701.A.54.i; B.702.A.54.i; B.703.A.54.i; B.704.A.54.i; B.705.A.54.i; B.706.A.54.i;  
B.707.A.54.i; B.708.A.54.i; B.709.A.54.i; B.710.A.54.i; B.711.A.54.i; B.712.A.54.i;  
B.713.A.54.i; B.714.A.54.i; B.715.A.54.i; B.716.A.54.i; B.717.A.54.i; B.718.A.54.i;  
B.719.A.54.i; B.720.A.54.i; B.721.A.54.i; B.722.A.54.i; B.723.A.54.i; B.724.A.54.i;  
B.3.A.54.o; B.4.A.54.o; B.7.A.54.o; B.9.A.54.o; B.103.A.54.o; B.106.A.54.o;  
30 B.107.A.54.o; B.108.A.54.o; B.111.A.54.o; B.114.A.54.o; B.117.A.54.o;  
B.118.A.54.o; B.119.A.54.o; B.120.A.54.o; B.121.A.54.o; B.137.A.54.o;  
B.138.A.54.o; B.139.A.54.o; B.140.A.54.o; B.141.A.54.o; B.142.A.54.o;  
B.145.A.54.o; B.146.A.54.o; B.147.A.54.o; B.148.A.54.o; B.149.A.54.o;  
B.150.A.54.o; B.151.A.54.o; B.165.A.54.o; B.166.A.54.o; B.167.A.54.o;  
35 B.168.A.54.o; B.169.A.54.o; B.170.A.54.o; B.171.A.54.o; B.172.A.54.o;  
B.173.A.54.o; B.174.A.54.o; B.175.A.54.o; B.176.A.54.o; B.188.A.54.o;  
B.189.A.54.o; B.190.A.54.o; B.196.A.54.o; B.202.A.54.o; B.205.A.54.o;  
B.206.A.54.o; B.207.A.54.o; B.208.A.54.o; B.209.A.54.o; B.210.A.54.o;  
B.211.A.54.o; B.212.A.54.o; B.213.A.54.o; B.700.A.54.o; B.701.A.54.o;  
40 B.702.A.54.o; B.703.A.54.o; B.704.A.54.o; B.705.A.54.o; B.706.A.54.o;  
B.707.A.54.o; B.708.A.54.o; B.709.A.54.o; B.710.A.54.o; B.711.A.54.o;  
B.712.A.54.o; B.713.A.54.o; B.714.A.54.o; B.715.A.54.o; B.716.A.54.o;  
B.717.A.54.o; B.718.A.54.o; B.719.A.54.o; B.720.A.54.o; B.721.A.54.o;  
B.722.A.54.o; B.723.A.54.o; B.724.A.54.o; B.172.B.54.i; B.173.B.54.i; B.174.B.54.i;  
45 B.175.B.54.i; B.176.B.54.i; B.188.B.54.i; B.189.B.54.i; B.190.B.54.i; B.196.B.54.i;  
B.202.B.54.i; B.205.B.54.i; B.206.B.54.i; B.207.B.54.i; B.208.B.54.i; B.209.B.54.i;

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5 B.210.B.54.i; B.211.B.54.i; B.212.B.54.i; B.213.B.54.i; B.700.B.54.i; B.701.B.54.i;  
B.702.B.54.i; B.703.B.54.i; B.704.B.54.i; B.705.B.54.i; B.706.B.54.i; B.707.B.54.i;  
B.708.B.54.i; B.709.B.54.i; B.710.B.54.i; B.711.B.54.i; B.712.B.54.i; B.713.B.54.i;  
B.714.B.54.i; B.715.B.54.i; B.716.B.54.i; B.717.B.54.i; B.718.B.54.i; B.719.B.54.i;  
10 B.720.B.54.i; B.721.B.54.i; B.722.B.54.i; B.723.B.54.i; B.724.B.54.i; B.3.B.54.o;  
B.4.B.54.o; B.7.B.54.o; B.9.B.54.o; B.103.B.54.o; B.106.B.54.o; B.107.B.54.o;  
B.108.B.54.o; B.111.B.54.o; B.114.B.54.o; B.117.B.54.o; B.118.B.54.o; B.119.B.54.o;  
B.120.B.54.o; B.121.B.54.o; B.137.B.54.o; B.138.B.54.o; B.139.B.54.o; B.140.B.54.o;  
B.141.B.54.o; B.142.B.54.o; B.145.B.54.o; B.146.B.54.o; B.147.B.54.o; B.148.B.54.o;  
15 B.149.B.54.o; B.150.B.54.o; B.151.B.54.o; B.165.B.54.o; B.166.B.54.o; B.167.B.54.o;  
B.168.B.54.o; B.169.B.54.o; B.170.B.54.o; B.171.B.54.o; B.172.B.54.o; B.173.B.54.o;  
B.174.B.54.o; B.175.B.54.o; B.176.B.54.o; B.188.B.54.o; B.189.B.54.o; B.190.B.54.o;  
B.196.B.54.o; B.202.B.54.o; B.205.B.54.o; B.206.B.54.o; B.207.B.54.o; B.208.B.54.o;  
B.209.B.54.o; B.210.B.54.o; B.211.B.54.o; B.212.B.54.o; B.213.B.54.o; B.700.B.54.o;  
20 B.701.B.54.o; B.702.B.54.o; B.703.B.54.o; B.704.B.54.o; B.705.B.54.o; B.706.B.54.o;  
B.707.B.54.o; B.708.B.54.o; B.709.B.54.o; B.710.B.54.o; B.711.B.54.o; B.712.B.54.o;  
B.713.B.54.o; B.714.B.54.o; B.715.B.54.o; B.716.B.54.o; B.717.B.54.o; B.718.B.54.o;  
B.719.B.54.o; B.720.B.54.o; B.721.B.54.o; B.722.B.54.o; B.723.B.54.o; B.724.B.54.o.

20 Salts and Hydrates

The compositions of this invention optionally comprise salts of the compounds herein, especially pharmaceutically acceptable non-toxic salts containing, for example,  $\text{Na}^+$ ,  $\text{Li}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{++}$  and  $\text{Mg}^{++}$ . Such salts may include those derived by combination of appropriate cations such as alkali and alkaline earth metal ions or ammonium and quaternary amino ions with an acid anion moiety, typically the W1 group carboxylic acid. Monovalent salts are preferred if a water soluble salt is desired.

25 Metal salts typically are prepared by reacting the metal hydroxide with a compound of this invention. Examples of metal salts which are prepared in this way are salts containing  $\text{Li}^+$ ,  $\text{Na}^+$ , and  $\text{K}^+$ . A less soluble metal salt can be precipitated from the solution of a more soluble salt by addition of the suitable metal compound.

30 In addition, salts may be formed from acid addition of certain organic and inorganic acids, e.g.,  $\text{HCl}$ ,  $\text{HBr}$ ,  $\text{H}_2\text{SO}_4$ ,  $\text{H}_3\text{PO}_4$ , or organic sulfonic acids, to basic centers, typically amines of group G1, or to acidic groups such as E1. Finally, it is to be understood that the compositions herein comprise compounds of the invention in their un-ionized, as well as zwitterionic form, and combinations with stoichiometric amounts of water as in hydrates.

Also included within the scope of this invention are the salts of the

parental compounds with one or more amino acids. Any of the amino acids described above are suitable, especially the naturally-occurring amino acids found as protein components, although the amino acid typically is one bearing a side chain with a basic or acidic group, e.g., lysine, arginine or  
5 glutamic acid, or a neutral group such as glycine, serine, threonine, alanine, isoleucine, or leucine.

Methods of Inhibition of Neuraminidase.

Another aspect of the invention relates to methods of inhibiting the  
10 activity of neuraminidase comprising the step of treating a sample suspected of containing neuraminidase with a compound of the invention.

Compositions of the invention act as inhibitors of neuraminidase, as intermediates for such inhibitors or have other utilities as described below. The inhibitors will bind to locations on the surface or in a cavity of  
15 neuraminidase having a geometry unique to neuraminidase. Compositions binding neuraminidase may bind with varying degrees of reversibility. Those compounds binding substantially irreversibly are ideal candidates for use in this method of the invention. In a typical embodiment the compositions bind neuraminidase with a binding coefficient of less than  $10^{-4}\text{M}$ , more  
20 typically less than  $10^{-6}\text{M}$ , still more typically  $10^{-8}\text{M}$ . Once labeled, the substantially irreversibly binding compositions are useful as probes for the detection of neuraminidase. Accordingly, the invention relates to methods of detecting neuraminidase in a sample suspected of containing neuraminidase comprising the steps of: treating a sample suspected of containing  
25 neuraminidase with a composition comprising a compound of the invention bound to a label; and observing the effect of the sample on the activity of the label. Suitable labels are well known in the diagnostics field and include stable free radicals, fluorophores, radioisotopes, enzymes, chemiluminescent groups and chromogens. The compounds herein are labeled in conventional  
30 fashion using functional groups such as hydroxyl or amino.

Within the context of the invention samples suspected of containing neuraminidase include natural or man-made materials such as living organisms; tissue or cell cultures; biological samples such as biological material samples (blood, serum, urine, cerebrospinal fluid, tears, sputum,  
35 saliva, tissue samples, and the like); laboratory samples; food, water, or air samples; bioproduct samples such as extracts of cells, particularly recombinant

cells synthesizing a desired glycoprotein; and the like. Typically the sample will be suspected of containing an organism which produces neuraminidase, frequently a pathogenic organism such as a virus. Samples can be contained in any medium including water and organic solvent/water mixtures.

- 5 Samples include living organisms such as humans, and man made materials such as cell cultures.

The treating step of the invention comprises adding the composition of the invention to the sample or it comprises adding a precursor of the composition to the sample. The addition step comprises any method of administration as described above.

10 If desired, the activity of neuraminidase after application of the composition can be observed by any method including direct and indirect methods of detecting neuraminidase activity. Quantitative, qualitative, and semiquantitative methods of determining neuraminidase activity are all contemplated. Typically one of the screening methods described above are applied, however, any other method such as observation of the physiological properties of a living organism are also applicable.

15 Organisms that contain neuraminidase include bacteria (*Vibrio cholerae*, *Clostridium perfringens*, *Streptococcus pneumoniae*, and *Arthrobacter sialophilus*) and viruses (especially orthomyxoviruses or paramyxoviruses such as influenza virus A and B, parainfluenza virus, mumps virus, Newcastle disease virus, fowl plague virus, and sendai virus). Inhibition of neuraminidase activity obtained from or found within any of these organisms is within the objects of this invention. The virology of influenza viruses is described in "Fundamental Virology" (Raven Press, New York, 1986), Chapter 24. The compounds of this invention are useful in the treatment or prophylaxis of such infections in animals, e.g. duck, rodents, or swine, or in man.

25 However, in screening compounds capable of inhibiting influenza viruses it should be kept in mind that the results of enzyme assays may not correlate with cell culture assays, as shown Table 1 of Chandler et al., *supra*. Thus, a plaque reduction assay should be the primary screening tool.

#### Screens for Neuraminidase Inhibitors.

35 Some of the compounds of the invention will be selective for particular organisms such as bacterial versus viral neuraminidases or

neuraminidase from influenza verses parainfluenza. These compositions are identified by routine screening.

Compositions of the invention are screened for inhibitory activity against neuraminidase by any of the conventional techniques for evaluating enzyme activity. Within the context of the invention, typically compositions are first screened for inhibition of neuraminidase *in vitro* and compositions showing inhibitory activity are then screened for activity *in vivo*.

Compositions having *in vitro* Ki (inhibitory constants) of less than about  $5 \times 10^{-6}$  M, typically less than about  $1 \times 10^{-7}$  M and preferably less than about  $5 \times 10^{-8}$  M are preferred for *in vivo* use.

Useful *in vitro* screens have been described in detail and will not be elaborated here. However, Itzstein, M. von et al.; "Nature", 363(6428):418-423 (1993), in particular page 420, column 2, full paragraph 3, to page 421, column 2, first partial paragraph, describes a suitable *in vitro* assay of Potier, M.; et al.; "Analyt. Biochem.", 94:287-296 (1979), as modified by Chong, A.K.J.; et al.; "Biochem. Biophys. Acta", 1077:65-71 (1991); and Colman, P. M.; et al.; International Publication No. WO 92/06691 (Int. App. No. PCT/AU90/00501, publication date April 30, 1992) page 34, line 13, to page 35, line 16, describes another useful *in vitro* screen.

*In vivo* screens have also been described in detail, see Itzstein, M. von et al.; *op. cit.*, in particular page 421, column 2, first full paragraph, to page 423, column 2, first partial paragraph, and Colman, P. M.; et al.; *op. cit.* page 36, lines 1-38, describe suitable *in vivo* screens.

In screening assays used herein, compositions having IC<sub>50</sub> values greater than 1μM (micromolar) are considered as being inactive against influenza neuraminidase.

#### Pharmaceutical Formulations and Routes of Administration.

The compounds of this invention are formulated with conventional carriers and excipients, which will be selected in accord with ordinary practice. Tablets will contain excipients, glidants, fillers, binders and the like. Aqueous formulations are prepared in sterile form, and when intended for delivery by other than oral administration generally will be isotonic. All formulations will optionally contain excipients such as those set forth in the "Handbook of Pharmaceutical Excipients" (1986). Excipients include ascorbic acid and other antioxidants, chelating agents such as EDTA, carbohydrates such as dextrin,

hydroxyalkylcellulose, hydroxyalkylmethylcellulose, stearic acid and the like. The pH of the formulations ranges from about 3 to about 11, but is ordinarily about 7 to 10.

One or more compounds of the invention (herein referred to as the  
5 active ingredients) are administered by any route appropriate to the condition to be treated. Suitable routes include oral, rectal, nasal, topical (including buccal and sublingual), vaginal and parenteral (including subcutaneous, intramuscular, intravenous, intradermal, intrathecal and epidural), and the like. It will be appreciated that the preferred route may vary with for example  
10 the condition of the recipient. An advantage of the compounds of this invention is that they are orally bioavailable and can be dosed orally; it is not necessary to administer them by intrapulmonary or intranasal routes.

While it is possible for the active ingredients to be administered alone it may be preferable to present them as pharmaceutical formulations. The  
15 formulations, both for veterinary and for human use, of the invention comprise at least one active ingredient, as above defined, together with one or more acceptable carriers therefor and optionally other therapeutic ingredients. The carrier(s) must be "acceptable" in the sense of being compatible with the other ingredients of the formulation and physiologically innocuous to the  
20 recipient thereof.

The formulations include those suitable for the foregoing administration routes. The formulations may conveniently be presented in unit dosage form and may be prepared by any of the methods well known in the art of pharmacy. Techniques and formulations generally are found in  
25 Remington's Pharmaceutical Sciences (Mack Publishing Co., Easton, PA). Such methods include the step of bringing into association the active ingredient with the carrier which constitutes one or more accessory ingredients. In general the formulations are prepared by uniformly and intimately bringing into association the active ingredient with liquid carriers  
30 or finely divided solid carriers or both, and then, if necessary, shaping the product.

Formulations of the invention suitable for oral administration are prepared as discrete units such as capsules, cachets or tablets each containing a predetermined amount of the active ingredient; as a powder or granules; as  
35 solution or a suspension in an aqueous liquid or a non-aqueous liquid; or as an oil-in-water liquid emulsion or a water-in-oil liquid emulsion. The active

ingredient may also be presented as a bolus, electuary or paste.

A tablet is made by compression or molding, optionally with one or more accessory ingredients. Compressed tablets may be prepared by compressing in a suitable machine the active ingredient in a free-flowing form such as a powder or granules, optionally mixed with a binder, lubricant, inert diluent, preservative, surface active or dispersing agent. Molded tablets may be made by molding in a suitable machine a mixture of the powdered active ingredient moistened with an inert liquid diluent. The tablets may optionally be coated or scored and optionally are formulated so as to provide slow or controlled release of the active ingredient therefrom. In one embodiment acid hydrolysis of the medicament is obviated by use of an enteric coating.

For infections of the eye or other external tissues e.g. mouth and skin, the formulations are preferably applied as a topical ointment or cream containing the active ingredient(s) in an amount of, for example, 0.075 to 20% w/w (including active ingredient(s) in a range between 0.1% and 20% in increments of 0.1% w/w such as 0.6% w/w, 0.7% w/w, etc.), preferably 0.2 to 15% w/w and most preferably 0.5 to 10% w/w. When formulated in an ointment, the active ingredients may be employed with either a paraffinic or a water-miscible ointment base. Alternatively, the active ingredients may be formulated in a cream with an oil-in-water cream base.

If desired, the aqueous phase of the cream base may include, for example, at least 30% w/w of a polyhydric alcohol, i.e. an alcohol having two or more hydroxyl groups such as propylene glycol, butane 1,3-diol, mannitol, sorbitol, glycerol and polyethylene glycol (including PEG 400) and mixtures thereof. The topical formulations may desirably include a compound which enhances absorption or penetration of the active ingredient through the skin or other affected areas. Examples of such dermal penetration enhancers include dimethyl sulphoxide and related analogs.

The oily phase of the emulsions of this invention may be constituted from known ingredients in a known manner. While the phase may comprise merely an emulsifier (otherwise known as an emulgent), it desirably comprises a mixture of at least one emulsifier with a fat or an oil or with both a fat and an oil. Preferably, a hydrophilic emulsifier is included together with a lipophilic emulsifier which acts as a stabilizer. It is also preferred to include both an oil and a fat. Together, the emulsifier(s) with or

without stabilizer(s) make up the so-called emulsifying wax, and the wax together with the oil and fat make up the so-called emulsifying ointment base which forms the oily dispersed phase of the cream formulations.

Emulgents and emulsion stabilizers suitable for use in the formulation of the invention include Tween<sup>®</sup> 60, Span<sup>®</sup> 80, cetostearyl alcohol, benzyl alcohol, myristyl alcohol, glyceryl mono-stearate and sodium lauryl sulfate.

The choice of suitable oils or fats for the formulation is based on achieving the desired cosmetic properties. The cream should preferably be a non-greasy, non-staining and washable product with suitable consistency to avoid leakage from tubes or other containers. Straight or branched chain, mono- or dibasic alkyl esters such as di-isoadipate, isocetyl stearate, propylene glycol diester of coconut fatty acids, isopropyl myristate, decyl oleate, isopropyl palmitate, butyl stearate, 2-ethylhexyl palmitate or a blend of branched chain esters known as Crodamol CAP may be used, the last three being preferred esters. These may be used alone or in combination depending on the properties required. Alternatively, high melting point lipids such as white soft paraffin and/or liquid paraffin or other mineral oils are used.

Formulations suitable for topical administration to the eye also include eye drops wherein the active ingredient is dissolved or suspended in a suitable carrier, especially an aqueous solvent for the active ingredient. The active ingredient is preferably present in such formulations in a concentration of 0.5 to 20%, advantageously 0.5 to 10% particularly about 1.5% w/w.

Formulations suitable for topical administration in the mouth include lozenges comprising the active ingredient in a flavored basis, usually sucrose and acacia or tragacanth; pastilles comprising the active ingredient in an inert basis such as gelatin and glycerin, or sucrose and acacia; and mouthwashes comprising the active ingredient in a suitable liquid carrier.

Formulations for rectal administration may be presented as a suppository with a suitable base comprising for example cocoa butter or a salicylate.

Formulations suitable for intrapulmonary or nasal administration have a particle size for example in the range of 0.1 to 500 microns (including particle sizes in a range between 0.1 and 500 microns in increments microns such as 0.5, 1, 30 microns, 35 microns, etc.), which is administered by rapid inhalation through the nasal passage or by inhalation through the mouth so as to reach the alveolar sacs. Suitable formulations include aqueous or oily



solutions of the active ingredient. Formulations suitable for aerosol or dry powder administration may be prepared according to conventional methods and may be delivered with other therapeutic agents such as compounds heretofore used in the treatment or prophylaxis of influenza A or B infections as described below.

Formulations suitable for vaginal administration may be presented as pessaries, tampons, creams, gels, pastes, foams or spray formulations containing in addition to the active ingredient such carriers as are known in the art to be appropriate.

Formulations suitable for parenteral administration include aqueous and non-aqueous sterile injection solutions which may contain anti-oxidants, buffers, bacteriostats and solutes which render the formulation isotonic with the blood of the intended recipient; and aqueous and non-aqueous sterile suspensions which may include suspending agents and thickening agents.

The formulations are presented in unit-dose or multi-dose containers, for example sealed ampoules and vials, and may be stored in a freeze-dried (lyophilized) condition requiring only the addition of the sterile liquid carrier, for example water for injection, immediately prior to use. Extemporaneous injection solutions and suspensions are prepared from sterile powders, granules and tablets of the kind previously described. Preferred unit dosage formulations are those containing a daily dose or unit daily sub-dose, as herein above recited, or an appropriate fraction thereof, of the active ingredient.

It should be understood that in addition to the ingredients particularly mentioned above the formulations of this invention may include other agents conventional in the art having regard to the type of formulation in question, for example those suitable for oral administration may include flavoring agents.

The invention further provides veterinary compositions comprising at least one active ingredient as above defined together with a veterinary carrier therefor.

Veterinary carriers are materials useful for the purpose of administering the composition and may be solid, liquid or gaseous materials which are otherwise inert or acceptable in the veterinary art and are compatible with the active ingredient. These veterinary compositions may be administered orally, parenterally or by any other desired route.

Compounds of the invention are used to provide controlled release pharmaceutical formulations containing as active ingredient one or more compounds of the invention ("controlled release formulations") in which the release of the active ingredient are controlled and regulated to allow less frequency dosing or to improve the pharmacokinetic or toxicity profile of a given active ingredient.

Effective dose of active ingredient depends at least on the nature of the condition being treated, toxicity, whether the compound is being used prophylactically (lower doses) or against an active influenza infection, the method of delivery, and the pharmaceutical formulation, and will be determined by the clinician using conventional dose escalation studies. It can be expected to be from about 0.0001 to about 100 mg/kg body weight per day. Typically, from about 0.01 to about 10 mg/kg body weight per day. More typically, from about .01 to about 5 mg/kg body weight per day. More typically, from about .05 to about 0.5 mg/kg body weight per day. For example, for inhalation the daily candidate dose for an adult human of approximately 70 kg body weight will range from 1 mg to 1000 mg, preferably between 5 mg and 500 mg, and may take the form of single or multiple doses.

Active ingredients of the invention are also used in combination with other active ingredients. Such combinations are selected based on the condition to be treated, cross-reactivities of ingredients and pharmacoproperties of the combination. For example, when treating viral infections of the respiratory system, in particular influenza infection, the compositions of the invention are combined with antivirals (such as amantidine, rimantadine and ribavirin), mucolytics, expectorants, bronchialdilators, antibiotics, antipyretics, or analgesics. Ordinarily, antibiotics, antipyretics, and analgesics are administered together with the compounds of this invention.

#### Metabolites of the Compounds of the Invention

Also falling within the scope of this invention are the *in vivo* metabolic products of the compounds described herein, to the extent such products are novel and unobvious over the prior art. Such products may result for example from the oxidation, reduction, hydrolysis, amidation, esterification and the like of the administered compound, primarily due to enzymatic processes. Accordingly, the invention includes novel and unobvious compounds produced by a process comprising contacting a

compound of this invention with a mammal for a period of time sufficient to yield a metabolic product thereof. Such products typically are identified by preparing a radiolabelled (e.g. C<sup>14</sup> or H<sup>3</sup>) compound of the invention, administering it parenterally in a detectable dose (e.g. greater than about 0.5  
5 mg/kg) to an animal such as rat, mouse, guinea pig, monkey, or to man, allowing sufficient time for metabolism to occur (typically about 30 seconds to 30 hours) and isolating its conversion products from the urine, blood or other biological samples. These products are easily isolated since they are labeled (others are isolated by the use of antibodies capable of binding epitopes  
10 surviving in the metabolite). The metabolite structures are determined in conventional fashion, e.g. by MS or NMR analysis. In general, analysis of metabolites is done in the same way as conventional drug metabolism studies well-known to those skilled in the art. The conversion products, so long as they are not otherwise found *in vivo*, are useful in diagnostic assays for  
15 therapeutic dosing of the compounds of the invention even if they possess no neuraminidase inhibitory activity of their own.

Additional Uses for the Compounds of This Invention.

The compounds of this invention, or the biologically active substances  
20 produced from these compounds by hydrolysis or metabolism *in vivo*, are used as immunogens or for conjugation to proteins, whereby they serve as components of immunogenic compositions to prepare antibodies capable of binding specifically to the protein, to the compounds or to their metabolic products which retain immunologically recognized epitopes (sites of antibody  
25 binding). The immunogenic compositions therefore are useful as intermediates in the preparation of antibodies for use in diagnostic, quality control, or the like, methods or in assays for the compounds or their novel metabolic products. The compounds are useful for raising antibodies against otherwise non-immunogenic polypeptides, in that the compounds serve as  
30 haptenic sites stimulating an immune response that cross-reacts with the unmodified conjugated protein.

The hydrolysis products of interest include products of the hydrolysis of the protected acidic and basic groups discussed above. As noted above, the acidic or basic amides comprising immunogenic polypeptides such as  
35 albumin or keyhole limpet hemocyanin generally are useful as immunogens. The metabolic products described above may retain a substantial degree of

immunological cross reactivity with the compounds of the invention. Thus, the antibodies of this invention will be capable of binding to the unprotected compounds of the invention without binding to the protected compounds; alternatively the metabolic products, will be capable of binding to the  
5 protected compounds and/or the metabolic products without binding to the protected compounds of the invention, or will be capable of binding specifically to any one or all three. The antibodies desirably will not substantially cross-react with naturally-occurring materials. Substantial cross-reactivity is reactivity under specific assay conditions for specific analytes  
10 sufficient to interfere with the assay results.

The immunogens of this invention contain the compound of this invention presenting the desired epitope in association with an immunogenic substance. Within the context of the invention such association means covalent bonding to form an immunogenic conjugate  
15 (when applicable) or a mixture of non-covalently bonded materials, or a combination of the above. Immunogenic substances include adjuvants such as Freund's adjuvant, immunogenic proteins such as viral, bacterial, yeast, plant and animal polypeptides, in particular keyhole limpet hemocyanin, serum albumin, bovine thyroglobulin or soybean trypsin inhibitor, and  
20 immunogenic polysaccharides. Typically, the compound having the structure of the desired epitope is covalently conjugated to an immunogenic polypeptide or polysaccharide by the use of a polyfunctional (ordinarily bifunctional) cross-linking agent. Methods for the manufacture of hapten immunogens are conventional per se, and any of the methods used  
25 heretofore for conjugating haptens to immunogenic polypeptides or the like are suitably employed here as well, taking into account the functional groups on the precursors or hydrolytic products which are available for cross-linking and the likelihood of producing antibodies specific to the epitope in question as opposed to the immunogenic substance.

30 Typically the polypeptide is conjugated to a site on the compound of the invention distant from the epitope to be recognized.

The conjugates are prepared in conventional fashion. For example, the cross-linking agents N-hydroxysuccinimide, succinic anhydride or  $\text{alkN}=\text{C}=\text{Nalk}$  are useful in preparing the conjugates of this invention. The  
35 conjugates comprise a compound of the invention attached by a bond or a linking group of 1-100, typically, 1-25, more typically 1-10 carbon atoms to the

immunogenic substance. The conjugates are separated from starting materials and by products using chromatography or the like, and then are sterile filtered and vialled for storage.

5 The compounds of this invention are cross-linked for example through any one or more of the following groups: a hydroxyl group of W<sub>6</sub>; a carboxyl group of E<sub>1</sub>; a carbon atom of W<sub>6</sub>, E<sub>1</sub>, G<sub>1</sub>, or T<sub>1</sub>, in substitution of H; and an amine group of G<sub>1</sub>. Included within such compounds are amides of polypeptides where the polypeptide serves as an above-described R<sub>6c</sub> or R<sub>6b</sub> groups.

10 Animals are typically immunized against the immunogenic conjugates or derivatives and antisera or monoclonal antibodies prepared in conventional fashion.

15 The compounds of the invention are useful for maintaining the structural integrity of glycoproteins in recombinant cell culture, i.e., they are added to fermentations in which glycoproteins are being produced for recovery so as to inhibit neuraminidase-catalyzed cleavage of the desired glycoproteins. This is of particular value in the recombinant synthesis of proteins in heterologous host cells that may disadvantageously degrade the carbohydrate portion of the protein being synthesized.

20 The compounds of the invention are polyfunctional. As such they represent a unique class of monomers for the synthesis of polymers. By way of example and not limitation, the polymers prepared from the compounds of this invention include polyamides and polyesters.

25 The present compounds are used as monomers to provide access to polymers having unique pendent functionalities. The compounds of this invention are useful in homopolymers, or as comonomers with monomers which do not fall within the scope of the invention. Homopolymers of the compounds of this invention will have utility as cation exchange agents (polyesters or polyamides) in the preparation of molecular sieves  
30 (polyamides), textiles, fibers, films, formed articles and the like where the acid functionality E<sub>1</sub> is esterified to a hydroxyl group in W<sub>6</sub>, for example, whereby the pendant basic group G<sub>1</sub> is capable of binding acidic functionalities such as are found in polypeptides whose purification is desired. Polyamides are prepared by cross-linking E<sub>1</sub> and G<sub>1</sub>, with W<sub>6</sub> and the adjacent portion of the  
35 ring remaining free to function as a hydrophilic or hydrophobic affinity group, depending up the selection of the W<sub>6</sub> group. The preparation of these

polymers from the compounds of the invention is conventional per se.

The compounds of the invention are also useful as a unique class of polyfunctional surfactants. Particularly when W<sub>6</sub> does not contain a hydrophilic substituent and is, for example, alkyl or alkoxy, the compounds  
5 have the properties of bi-functional surfactants. As such they have useful surfactant, surface coating, emulsion modifying, rheology modifying and surface wetting properties.

As polyfunctional compounds with defined geometry and carrying simultaneously polar and non-polar moieties, the compounds of the  
10 invention are useful as a unique class of phase transfer agents. By way of example and not limitation, the compounds of the invention are useful in phase transfer catalysis and liquid/liquid ion extraction (LIX).

The compounds of the invention optionally contain asymmetric carbon atoms in groups W<sub>6</sub>, E<sub>1</sub>, G<sub>1</sub>, and T<sub>1</sub>. As such, they are a unique class  
15 of chiral auxiliaries for use in the synthesis or resolution of other optically active materials. For example, a racemic mixture of carboxylic acids can be resolved into its component enantiomers by: 1) forming a mixture of diastereomeric esters or amides with a compound of the invention wherein W<sub>6</sub> is an asymmetric hydroxyalkane or amino alkane group; 2) separating the  
20 diastereomers; and 3) hydrolyzing the ester structure. Racemic alcohols are separated by ester formation with an acid group of E<sub>1</sub>. Further, such a method can be used to resolve the compounds of the invention themselves if optically active acids or alcohols are used instead of racemic starting materials.

The compounds of this invention are useful as linkers or spacers in  
25 preparing affinity absorption matrices, immobilized enzymes for process control, or immunoassay reagents. The compounds herein contain a multiplicity of functional groups that are suitable as sites for cross-linking desired substances. For example, it is conventional to link affinity reagents such as hormones, peptides, antibodies, drugs, and the like to insoluble  
30 substrates. These insolubilized reagents are employed in known fashion to absorb binding partners for the affinity reagents from manufactured preparations, diagnostic samples and other impure mixtures. Similarly, immobilized enzymes are used to perform catalytic conversions with facile recovery of enzyme. Bifunctional compounds are commonly used to link  
35 analytes to detectable groups in preparing diagnostic reagents.

Many functional groups in the compounds of this invention are

suitable for use in cross-linking. For example, the carboxylic or phosphonic acid of group E<sub>1</sub> is used to form esters with alcohols or amides with amines of the reagent to be cross-linked. The G<sub>1</sub> sites substituted with OH, NHR<sub>1</sub>, SH, azido (which is reduced to amino if desired before cross-linking), CN, NO<sub>2</sub>, amino, guanidino, halo and the like are suitable sites. Suitable protection of reactive groups will be used where necessary while assembling the cross-linked reagent to prevent polymerization of the bifunctional compound of this invention. In general, the compounds here are used by linking them through carboxylic or phosphonic acid to the hydroxyl or amino groups of the first linked partner, then covalently bonded to the other binding partner through a T<sub>1</sub> or G<sub>1</sub> group. For example a first binding partner such as a steroid hormone is esterified to the carboxylic acid of a compound of this invention and then this conjugate is cross-linked through a G<sub>1</sub> hydroxyl to cyanogen bromide activated Sepaharose, whereby immobilized steroid is obtained. Other chemistries for conjugation are well known. See for example Maggio, "Enzyme-Immunoassay" (CRC, 1988, pp 71-135) and references cited therein.

As noted above, the therapeutically useful compounds of this invention in which the W<sub>1</sub>, or G<sub>1</sub> carboxyl, hydroxyl or amino groups are protected are useful as oral or sustained release forms. In these uses the protecting group is removed *in vivo*, e.g., hydrolyzed or oxidized, so as to yield the free carboxyl, amino or hydroxyl. Suitable esters or amides for this utility are selected based on the substrate specificity of esterases and/or carboxypeptidases expected to be found within cells where precursor hydrolysis is desired. To the extent that the specificity of these enzymes is unknown, one will screen a plurality of the compounds of this invention until the desired substrate specificity is found. This will be apparent from the appearance of free compound or of antiviral activity. One generally selects amides or esters of the invention compound that are (i) not hydrolyzed or hydrolyzed comparatively slowly in the upper gut, (ii) gut and cell permeable and (iii) hydrolyzed in the cell cytoplasm and/or systemic circulation. Screening assays preferably use cells from particular tissues that are susceptible to influenza infection, e.g. the mucous membranes of the bronchopulmonary tract. Assays known in the art are suitable for determining *in vivo* bioavailability including intestinal lumen stability, cell permeation, liver homogenate stability and plasma stability assays. However,

even if the ester, amide or other protected derivatives are not converted *in vivo* to the free carboxyl, amino or hydroxyl groups, they remain useful as chemical intermediates.

5        Exemplary Methods of Making the Compounds of the Invention.

The invention also relates to methods of making the compositions of the invention. The compositions are prepared by any of the applicable techniques of organic synthesis. Many such techniques are well known in the art. However, many of the known techniques are elaborated in  
10 "Compendium of Organic Synthetic Methods" (John Wiley & Sons, New York), Vol. 1, Ian T. Harrison and Shuyen Harrison, 1971; Vol. 2, Ian T. Harrison and Shuyen Harrison, 1974; Vol. 3, Louis S. Hegedus and Leroy Wade, 1977; Vol. 4, Leroy G. Wade, jr., 1980; Vol. 5, Leroy G. Wade, Jr., 1984; and Vol. 6, Michael B. Smith; as well as March, J., "Advanced Organic  
15 Chemistry, Third Edition", (John Wiley & Sons, New York, 1985), "Comprehensive Organic Synthesis. Selectivity, Strategy & Efficiency in Modern Organic Chemistry. In 9 Volumes", Barry M. Trost, Editor-in-Chief (Pergamon Press, New York, 1993 printing).

A number of exemplary methods for the preparation of the  
20 compositions of the invention are provided below. These methods are intended to illustrate the nature of such preparations are not intended to limit the scope of applicable methods.

Generally, the reaction conditions such as temperature, reaction time, solvents, workup procedures, and the like, will be those common in the art  
25 for the particular reaction to be performed. The cited reference material, together with material cited therein, contains detailed descriptions of such conditions. Typically the temperatures will be -100°C to 200°C, solvents will be aprotic or protic, and reaction times will be 10 seconds to 10 days. Workup typically consists of quenching any unreacted reagents followed by partition  
30 between a water/organic layer system (extraction) and separating the layer containing the product.

Oxidation and reduction reactions are typically carried out at temperatures near room temperature (about 20°C), although for metal  
hydride reductions frequently the temperature is reduced to 0°C to -100°C,  
35 solvents are typically aprotic for reductions and may be either protic or aprotic for oxidations. Reaction times are adjusted to achieve desired conversions.



Condensation reactions are typically carried out at temperatures near room temperature, although for non-equilibrating, kinetically controlled condensations reduced temperatures (0°C to -100°C) are also common. Solvents can be either protic (common in equilibrating reactions) or aprotic (common in kinetically controlled reactions).

Standard synthetic techniques such as azeotropic removal of reaction by-products and use of anhydrous reaction conditions (e.g. inert gas environments) are common in the art and will be applied when applicable.

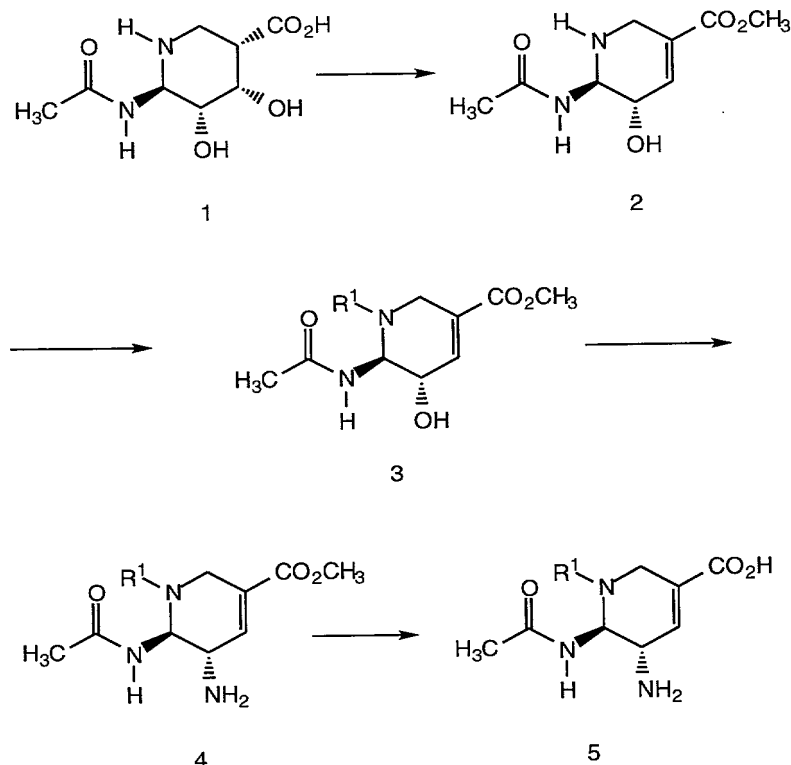
Exemplary methods of preparing the compounds of the invention are shown in the Schemes below.

General aspects of these exemplary methods are described below. Each of the products of the following processes is optionally separated, isolated, and/or purified prior to its use in subsequent processes.

The terms "treated", "treating", "treatment", and the like, mean contacting, mixing, reacting, allowing to react, bringing into contact, and other terms common in the art for indicating that one or more chemical entities is treated in such a manner as to convert it to one or more other chemical entities. This means that "treating compound one with compound two" is synonymous with "allowing compound one to react with compound two", "contacting compound one with compound two", "reacting compound one with compound two", and other expressions common in the art of organic synthesis for reasonably indicating that compound one was "treated", "reacted", "allowed to react", etc., with compound two.

"Treating" indicates the reasonable and usual manner in which organic chemicals are allowed to react. Normal concentrations (0.01M to 10M, typically 0.1M to 1M), temperatures (-100°C to 250°C, typically -78°C to 150°C, more typically -78°C to 100°C, still more typically 0°C to 100°C), reaction vessels (typically glass, plastic, metal), solvents, pressures, atmospheres (typically air for oxygen and water insensitive reactions or nitrogen or argon for oxygen or water sensitive), etc., are intended unless otherwise indicated. The knowledge of similar reactions known in the art of organic synthesis are used in selecting the conditions and apparatus for "treating" in a given process. In particular, one of ordinary skill in the art of organic synthesis selects conditions and apparatus reasonably expected to successfully carry out the chemical reactions of the described processes based on the knowledge in the art.

**Scheme 1**



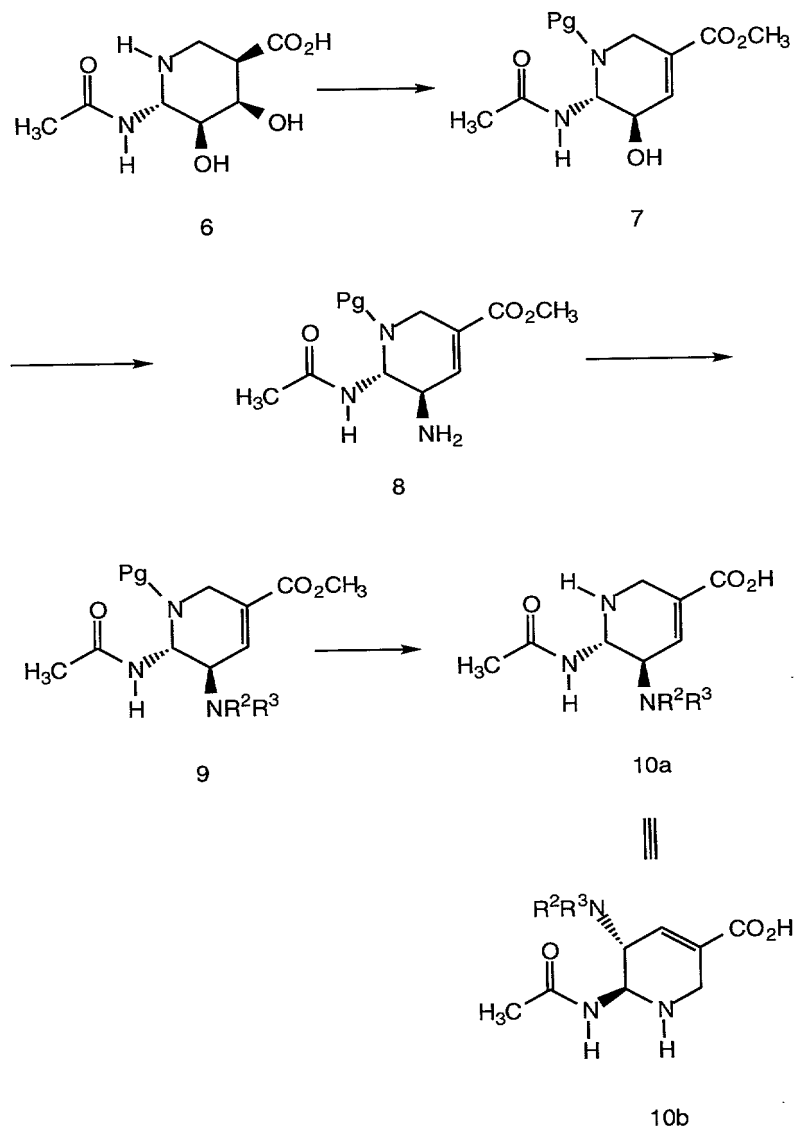
In one embodiment, compounds of the invention are prepared as depicted in **Scheme 1**. Siastatin B (1) from natural materials (Umezawa, H; *et al.*; *J. Antibiotics*, **1974**, 27, 963-969) or ribose (Nishimura, Y.; *et al.*; *J. Am. Chem. Soc.*, **1988**, 110, 7249-7250; and *Bull. Chem. Soc. Jpn.*, **1992**, 65, 978-986) is available in either enantiomer. Conversion to compound 2 is accomplished by known methods (Nishimura, Y.; *et al.*; *J. Antibiotics*, **1993**, 46(2), 300-309). Reductive alkylation to form 3 is accomplished by known methods (Nishimura, Y.; *et al.*; *J. Antibiotics*, **1992**, 45(10), 1662-1668). Conversion of the alcohol 3 to the amine 4 is accomplished by the methods of Zbiral, E.; *et al.*; *Liebigs Ann. Chem.*, **1991**, 129-134; and von Itzstein, M.; *et al.*; *Carbohydrate Res.*, **1993**, 244, 181-185. Deprotection provides compound 5.

By way of example and not limitation, compounds 5 wherein R<sup>1</sup> is ethyl (Et, -CH<sub>2</sub>CH<sub>3</sub>), 1-propyl (*n*-Pr, *n*-propyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1-butyl (*n*-Bu, *n*-butyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-methyl-1-propyl (*i*-Bu, *i*-butyl, -CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 1-pentyl (*n*-pentyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3-methyl-1-butyl (-CH<sub>2</sub>CH<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>), 2-methyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>), 1-hexyl (-CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-ethyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 2-ethyl-4-

phenyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>3</sub>)(CH<sub>2</sub>CH<sub>2</sub>Ph)), or 2-(2-phenylethyl)-4-phenyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>2</sub>Ph)<sub>2</sub>) are prepared by the method of **Scheme 1**.

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260720 9855280

Scheme 2

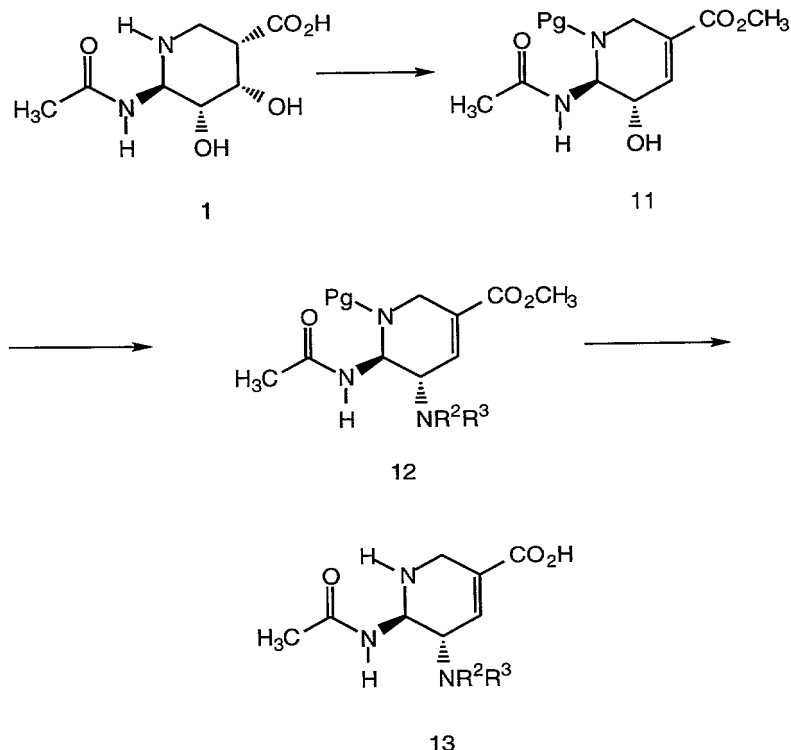


In another embodiment, compounds of the invention are prepared as depicted in **Scheme 2**. The enantiomer of Siastatin B (6) is prepared from ribose (Nishimura, Y.; *et al.*; *J. Am. Chem. Soc.*, **1988**, *110*, 7249-7250; and *Bull. Chem. Soc. Jpn.*, **1992**, *65*, 978-986). Conversion to protected compound 7 is accomplished by known methods (e.g. Pg is Boc, Nishimura, Y.; *et al.*; *J. Antibiotics*, **1993**, *46*(2), 300-309). Conversion of the alcohol 7 to the amine 8 is accomplished by the methods of Zbiral, E.; *et al.*; *Liebigs Ann. Chem.*, **1991**, 129-134; and von Itzstein, M.; *et al.*; *Carbohydrate Res.*, **1993**, *244*, 181-185.

Reductive alkylation to form 9 is accomplished by known methods (Nishimura, Y.; *et al.*; *J. Antibiotics*, **1992**, 45(10), 1662-1668). Deprotection provides compound 10a. Compound 10a is compound 10b

By way of example and not limitation, compounds 10b wherein R<sup>2</sup> is H and R<sup>3</sup> is ethyl (Et, -CH<sub>2</sub>CH<sub>3</sub>), 1-propyl (*n*-Pr, *n*-propyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1-butyl (*n*-Bu, *n*-butyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-methyl-1-propyl (*i*-Bu, *i*-butyl, -CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 1-pentyl (*n*-pentyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3-methyl-1-butyl (-CH<sub>2</sub>CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 2-methyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>), 1-hexyl (-CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-ethyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 2-ethyl-4-phenyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>3</sub>)(CH<sub>2</sub>CH<sub>2</sub>Ph)), or 2-(2-phenylethyl)-4-phenyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>2</sub>Ph)<sub>2</sub>) are prepared by the method of **Scheme 2**.

Scheme 3



In another embodiment, compounds of the invention are prepared as depicted in **Scheme 3**. Siastatin B (1) from natural materials (Umezawa, H.; *et al.*; *J. Antibiotics*, **1974**, 27, 963-969) or ribose (Nishimura, Y.; *et al.*; *J. Am. Chem. Soc.*, **1988**, 110, 7249-7250; and *Bull. Chem. Soc. Jpn.*, **1992**, 65, 978-986) is available in either enantiomer. Conversion to protected compound 11 is accomplished by known methods (e.g. Pg is Boc, Nishimura, Y.; *et al.*; *J. Antibiotics*, **1993**, 46(2), 300-309). Conversion of the alcohol 11 to the amine is accomplished by the methods of Zbiral, E.; *et al.*; *Liebigs Ann. Chem.*, **1991**, 129-134; and von Itzstein, M.; *et al.*; *Carbohydrate Res.*, **1993**, 244, 181-185 and reductive alkylation to form 12 is accomplished by known methods (Nishimura, Y.; *et al.*; *J. Antibiotics*, **1992**, 45(10), 1662-1668). Deprotection provides compound 13.

By way of example and not limitation, compounds 13 wherein R<sup>2</sup> is H and R<sup>3</sup> is ethyl (Et, -CH<sub>2</sub>CH<sub>3</sub>), 1-propyl (*n*-Pr, *n*-propyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1-butyl (*n*-Bu, *n*-butyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-methyl-1-propyl (*i*-Bu, *i*-butyl, -CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 1-pentyl (*n*-pentyl, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3-methyl-1-butyl (-CH<sub>2</sub>CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>), 2-methyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>3</sub>), 1-hexyl

(-CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 2-ethyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 2-ethyl-4-phenyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>3</sub>)(CH<sub>2</sub>CH<sub>2</sub>Ph)), or 2-(2-phenylethyl)-4-phenyl-1-butyl (-CH<sub>2</sub>CH(CH<sub>2</sub>CH<sub>2</sub>Ph)<sub>2</sub>) are prepared by the method of **Scheme 3**.

Modification of the exemplary starting materials to form different E<sub>1</sub> groups has been described in detail and will not be elaborated here. See Fleet, G.W.J. et al.; "J. Chem. Soc. Perkin Trans. I", 905-908 (1984), Fleet, G.W.J. et al.; "J. Chem. Soc., Chem. Commun.", 849-850 (1983), Yee, Ying K. et al.; "J. Med. Chem.", 33:2437-2451 (1990); Olson, R.E. et al.; "Bioorganic & Medicinal Chemistry Letters", 4(18):2229-2234 (1994); Santella, J.B. III et al.; "Bioorganic & Medicinal Chemistry Letters", 4(18):2235-2240 (1994); Judd, D.B. et al.; "J. Med. Chem.", 37:3108-3120 (1994) and Lombaert, S. De et al.; "Bioorganic & Medicinal Chemistry Letters", 5(2):151-154 (1994).

The E<sub>1</sub> sulfur analogs of the carboxylic acid compounds of the invention are prepared by any of the standard techniques. By way of example and not limitation, the carboxylic acids are reduced to the alcohols by standard methods. The alcohols are converted to halides or sulfonic acid esters by standard methods and the resulting compounds are reacted with NaSH to produce the sulfide product. Such reactions are described in Patai, "The Chemistry of the Thiol Group" (John Wiley, New York, 1974), pt. 2, and in particular pages 721-735.

Modifications of each of the above schemes leads to various analogs of the specific exemplary materials produced above. The above cited citations describing suitable methods of organic synthesis are applicable to such modifications.

In each of the above exemplary schemes it may be advantageous to separate reaction products from one another and/or from starting materials. The desired products of each step or series of steps is separated and/or purified (hereinafter separated) to the desired degree of homogeneity by the techniques common in the art. Typically such separations involve multiphase extraction, crystallization from a solvent or solvent mixture, distillation, sublimation, or chromatography. Chromatography can involve any number of methods including, for example, size exclusion or ion exchange chromatography, high, medium, or low pressure liquid chromatography, small scale and preparative thin or thick layer chromatography, as well as techniques of small scale thin layer and flash chromatography.

Another class of separation methods involves treatment of a mixture with a reagent selected to bind to or render otherwise separable a desired product, unreacted starting material, reaction by product, or the like. Such



reagents include adsorbents or absorbents such as activated carbon, molecular sieves, ion exchange media, or the like. Alternatively, the reagents can be acids in the case of a basic material, bases in the case of an acidic material, binding reagents such as antibodies, binding proteins, selective chelators such as crown ethers, liquid/liquid ion extraction reagents (LIX), or the like.

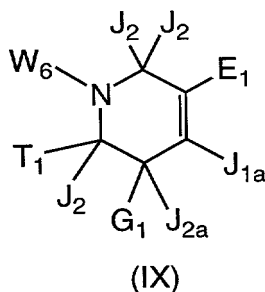
Selection of appropriate methods of separation depends on the nature of the materials involved. For example, boiling point, and molecular weight in distillation and sublimation, presence or absence of polar functional groups in chromatography, stability of materials in acidic and basic media in multiphase extraction, and the like. One skilled in the art will apply techniques most likely to achieve the desired separation.

All literature and patent citations above are hereby expressly incorporated by reference at the locations of their citation. Specifically cited sections or pages of the above cited works are incorporated by reference with specificity. The invention has been described in detail sufficient to allow one of ordinary skill in the art to make and use the subject matter of the following claims. It is apparent that certain modifications of the methods and compositions of the following claims can be made within the scope and spirit of the invention.

All literature and patent citations above are hereby expressly incorporated by reference in their entirety at the locations of their citation. Specifically cited sections or pages of the above cited works are incorporated by reference with specificity. The invention has been described in detail sufficient to allow one of ordinary skill in the art to make and use the subject matter of the following claims. It is apparent that certain modifications of the methods and compositions of the following claims can be made within the scope and spirit of the invention.

What is Claimed Is:

1. A composition comprising a compound of formula (IX):



5 wherein

E1 is  $-(CR_1R_1)_{m1}W_1$ ;

G1 is N3, -CN, -OH, -OR<sub>6a</sub>, -NO<sub>2</sub>, or  $-(CR_1R_1)_{m1}W_2$ ;

T1 is -NR<sub>1</sub>W<sub>3</sub>, or a heterocycle;

10 J1a are independently R<sub>1</sub>, Br, Cl, F, I, CN, NO<sub>2</sub> or N<sub>3</sub>;

J2 and J2a are independently H or R<sub>1</sub>;

R<sub>1</sub> is independently H or alkyl of 1 to 12 carbon atoms;

R2 is independently R<sub>3</sub> or R<sub>4</sub> wherein each R<sub>4</sub> is independently substituted with 0 to 3 R<sub>3</sub> groups;

15 R<sub>3</sub> is independently F, Cl, Br, I, -CN, N<sub>3</sub>, -NO<sub>2</sub>, -OR<sub>6a</sub>, -OR<sub>1</sub>, -N(R<sub>1</sub>)<sub>2</sub>, -N(R<sub>1</sub>)(R<sub>6b</sub>), -N(R<sub>6b</sub>)<sub>2</sub>, -SR<sub>1</sub>, -SR<sub>6a</sub>, -S(O)R<sub>1</sub>, -S(O)<sub>2</sub>R<sub>1</sub>, -S(O)OR<sub>1</sub>, -S(O)OR<sub>6a</sub>, -S(O)<sub>2</sub>OR<sub>1</sub>, -S(O)<sub>2</sub>OR<sub>6a</sub>, -C(O)OR<sub>1</sub>, -C(O)R<sub>6c</sub>, -C(O)OR<sub>6a</sub>, -OC(O)R<sub>1</sub>, -N(R<sub>1</sub>)(C(O)R<sub>1</sub>), -N(R<sub>6b</sub>)(C(O)R<sub>1</sub>), -N(R<sub>1</sub>)(C(O)OR<sub>1</sub>), -N(R<sub>6b</sub>)(C(O)OR<sub>1</sub>), -C(O)N(R<sub>1</sub>)<sub>2</sub>, -C(O)N(R<sub>6b</sub>)(R<sub>1</sub>), -C(O)N(R<sub>6b</sub>)<sub>2</sub>, -C(NR<sub>1</sub>)(N(R<sub>1</sub>)<sub>2</sub>), -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), =O, =S, =N(R<sub>1</sub>) or =N(R<sub>6b</sub>);

R<sub>4</sub> is independently alkyl of 1 to 12 carbon atoms, alkenyl of 2 to 12 carbon atoms, or alkynyl of 2 to 12 carbon atoms;

30 R<sub>5</sub> is independently R<sub>4</sub> wherein each R<sub>4</sub> is substituted with 0 to 3 R<sub>3</sub>

groups;

R<sub>5a</sub> is independently alkylene of 1 to 12 carbon atoms, alkenylene of 2 to 12 carbon atoms, or alkynylene of 2-12 carbon atoms any one of which alkylene, alkenylene or alkynylene is substituted with 0-3 R<sub>3</sub> groups;

5 R<sub>6a</sub> is independently H or an ether- or ester-forming group;

R<sub>6b</sub> is independently H, a protecting group for amino or the residue of a carboxyl-containing compound;

R<sub>6c</sub> is independently H or the residue of an amino-containing compound;

10 W<sub>1</sub> is a group comprising an acidic hydrogen, a protected acidic group, or an R<sub>6c</sub> amide of the group comprising an acidic hydrogen;

W<sub>2</sub> is a group comprising a basic heteroatom or a protected basic heteroatom, or an R<sub>6b</sub> amide of the basic heteroatom;

W<sub>3</sub> is W<sub>4</sub> or W<sub>5</sub>;

15 W<sub>4</sub> is R<sub>5</sub> or -C(O)R<sub>5</sub>, -C(O)W<sub>5</sub>, -SO<sub>2</sub>R<sub>5</sub>, or -SO<sub>2</sub>W<sub>5</sub>;

W<sub>5</sub> is carbocycle or heterocycle wherein W<sub>5</sub> is independently substituted with 0 to 3 R<sub>2</sub> groups;

20 W<sub>6</sub> is -R<sub>5</sub>, -W<sub>5</sub>, -R<sub>5a</sub>W<sub>5</sub>, -C(O)OR<sub>6a</sub>, -C(O)R<sub>6c</sub>, -C(O)N(R<sub>6b</sub>)<sub>2</sub>, -C(NR<sub>6b</sub>)(N(R<sub>6b</sub>)<sub>2</sub>), -C(NR<sub>6b</sub>)(N(H)(R<sub>6b</sub>)), -C(N(H)(N(R<sub>6b</sub>)<sub>2</sub>), -C(S)N(R<sub>6b</sub>)<sub>2</sub>, or -C(O)R<sub>2</sub>; and

each m<sub>1</sub> is independently an integer from 0 to 2;

*provided*, however, that compounds are excluded wherein J<sub>1a</sub> is H, each J<sub>2</sub> is H, J<sub>2a</sub> is H and T<sub>1</sub> is -N(H)(Ac) and:

25 E<sub>1</sub> is -CO<sub>2</sub>H or -CO<sub>2</sub>CH<sub>3</sub>,

G<sub>1</sub> is -OBoc, and

W<sub>6</sub> is Boc;

E<sub>1</sub> is -CO<sub>2</sub>H or -CO<sub>2</sub>CH<sub>3</sub>,

30 G<sub>1</sub> is -OH, and

W<sub>6</sub> is H;

E<sub>1</sub> is -CO<sub>2</sub>H, -CO<sub>2</sub>CH<sub>3</sub> or -CO<sub>2</sub>Bn

G<sub>1</sub> is -OH, and

35 W<sub>6</sub> is Boc;

E<sub>1</sub> is -CONH<sub>2</sub>,  
G<sub>1</sub> is -OH, and  
W<sub>6</sub> is Boc or H;

5

E<sub>1</sub> is -CO<sub>2</sub>H or -CO<sub>2</sub>CH<sub>3</sub>,  
G<sub>1</sub> is OH, and  
W<sub>6</sub> is Bn; or

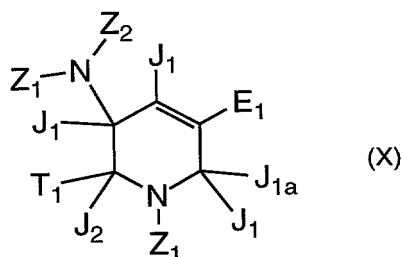
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E<sub>1</sub> is -CO<sub>2</sub>H or -CO<sub>2</sub>CH<sub>3</sub>,  
G<sub>1</sub> is -OH, and  
W<sub>6</sub> is -CH<sub>2</sub>CH(OH)CH<sub>2</sub>(OH);

15

wherein Bn is benzyl and Boc is -CO<sub>2</sub>C(CH<sub>3</sub>)<sub>3</sub>;  
and the salts, solvates, resolved enantiomers and purified diastereomers  
thereof.

2. A composition comprising a compound of formula (X):



wherein

- 5 one Z<sub>1</sub> is W<sub>6</sub> and the other Z<sub>1</sub> is G<sub>1</sub>;  
Z<sub>2</sub> is H or W<sub>6</sub>;  
E<sub>1</sub> is -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>1</sub>;  
G<sub>1</sub> is -OH, -OR<sub>6a</sub> or -(CR<sub>1</sub>R<sub>1</sub>)<sub>m1</sub>W<sub>2</sub>;  
T<sub>1</sub> is -NR<sub>1</sub>W<sub>3</sub> or a heterocycle;  
10 J<sub>1</sub> and J<sub>1a</sub> are independently R<sub>1</sub>, Br, Cl, F, I, CN, NO<sub>2</sub> or N<sub>3</sub>;  
J<sub>2</sub> is H or R<sub>1</sub>;  
R<sub>1</sub> is independently H or alkyl of 1 to 12 carbon atoms;  
R<sub>2</sub> is independently R<sub>3</sub> or R<sub>4</sub> wherein each R<sub>4</sub> is independently  
substituted with 0 to 3 R<sub>3</sub> groups;  
15 R<sub>3</sub> is independently F, Cl, Br, I, -CN, N<sub>3</sub>, -NO<sub>2</sub>, -OR<sub>6a</sub>, -OR<sub>1</sub>, -N(R<sub>1</sub>)<sub>2</sub>,  
-N(R<sub>1</sub>)(R<sub>6b</sub>), -N(R<sub>6b</sub>)<sub>2</sub>, -SR<sub>1</sub>, -SR<sub>6a</sub>, -S(O)R<sub>1</sub>, -S(O)<sub>2</sub>R<sub>1</sub>, -S(O)OR<sub>1</sub>, -S(O)OR<sub>6a</sub>,  
-S(O)<sub>2</sub>OR<sub>1</sub>, -S(O)<sub>2</sub>OR<sub>6a</sub>, -C(O)OR<sub>1</sub>, -C(O)R<sub>6c</sub>, -C(O)OR<sub>6a</sub>, -OC(O)R<sub>1</sub>,  
-N(R<sub>1</sub>)(C(O)R<sub>1</sub>), -N(R<sub>6b</sub>)(C(O)R<sub>1</sub>), -N(R<sub>1</sub>)(C(O)OR<sub>1</sub>), -N(R<sub>6b</sub>)(C(O)OR<sub>1</sub>),  
-C(O)N(R<sub>1</sub>)<sub>2</sub>, -C(O)N(R<sub>6b</sub>)(R<sub>1</sub>), -C(O)N(R<sub>6b</sub>)<sub>2</sub>, -C(NR<sub>1</sub>)(N(R<sub>1</sub>)<sub>2</sub>),  
20 -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
-C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
-N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
-N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)<sub>2</sub>),  
-N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
25 -N(R<sub>1</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>1</sub>)(R<sub>6b</sub>)),  
-N(R<sub>6b</sub>)C(N(R<sub>1</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), -N(R<sub>1</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>),  
-N(R<sub>6b</sub>)C(N(R<sub>6b</sub>))(N(R<sub>6b</sub>)<sub>2</sub>), =O, =S, =N(R<sub>1</sub>) or =N(R<sub>6b</sub>);  
R<sub>4</sub> is independently alkyl of 1 to 12 carbon atoms, alkenyl of 2 to 12  
carbon atoms, or alkynyl of 2 to 12 carbon atoms;  
30 R<sub>5</sub> is independently R<sub>4</sub> wherein each R<sub>4</sub> is substituted with 0 to 3 R<sub>3</sub>  
groups;

R<sub>5a</sub> is independently alkylene of 1 to 12 carbon atoms, alkenylene of 2 to 12 carbon atoms, or alkynylene of 2-12 carbon atoms any one of which alkylene, alkenylene or alkynylene is substituted with 0-3 R<sub>3</sub> groups;

R<sub>6a</sub> is independently H or an ether- or ester-forming group;

5 R<sub>6b</sub> is independently H, a protecting group for amino or the residue of a carboxyl-containing compound;

R<sub>6c</sub> is independently H or the residue of an amino-containing compound;

10 W<sub>1</sub> is a group comprising an acidic hydrogen, a protected acidic group, or an R<sub>6c</sub> amide of the group comprising an acidic hydrogen;

W<sub>2</sub> is H or a group comprising a basic heteroatom or a protected basic heteroatom, or an R<sub>6b</sub> amide of the basic heteroatom;

W<sub>3</sub> is W<sub>4</sub> or W<sub>5</sub>;

W<sub>4</sub> is R<sub>5</sub> or -C(O)R<sub>5</sub>, -C(O)W<sub>5</sub>, -SO<sub>2</sub>R<sub>5</sub>, or -SO<sub>2</sub>W<sub>5</sub>;

15 W<sub>5</sub> is carbocycle or heterocycle wherein W<sub>5</sub> is independently substituted with 0 to 3 R<sub>2</sub> groups;

W<sub>6</sub> is -R<sub>5</sub>, -W<sub>5</sub>, -R<sub>5a</sub>W<sub>5</sub>, -C(O)OR<sub>6a</sub>, -C(O)R<sub>6c</sub>, -C(O)N(R<sub>6b</sub>)<sub>2</sub>, -C(NR<sub>6b</sub>)(N(R<sub>6b</sub>)<sub>2</sub>), -C(NR<sub>6b</sub>)(N(H)(R<sub>6b</sub>)), -C(N(H)(N(R<sub>6b</sub>)<sub>2</sub>), -C(S)N(R<sub>6b</sub>)<sub>2</sub>, or -C(O)R<sub>2</sub>;

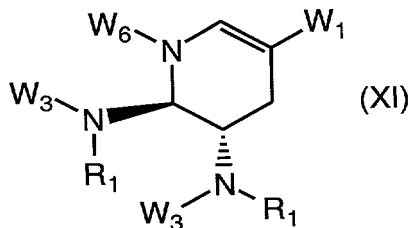
20 each m<sub>1</sub> is independently an integer from 0 to 2;  
and the salts, solvates, resolved enantiomers and purified diastereomers thereof.

3. The composition of Claim 1 wherein further excluded are compounds wherein G<sub>1</sub> is -OH, -OR<sub>6a</sub>.

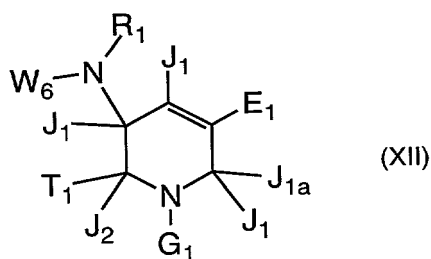
4. The composition of Claim 1 wherein G<sub>1</sub> is -NR<sub>1</sub>W<sub>3</sub>.

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5. The composition of Claim 1 wherein the compound is of the formula:



10 6. The composition of Claim 2 wherein the compound is of the formula:

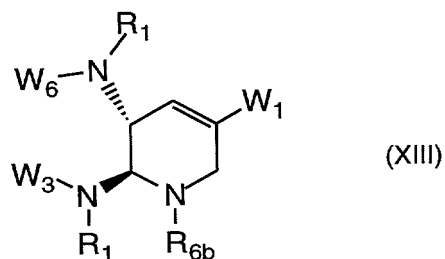


7. The composition of Claim 6 wherein G<sub>1</sub> is R<sub>6b</sub>.

15

8. The composition of Claim 6 wherein R<sub>1</sub> is H.

9. The composition of Claim 2 wherein the compound is of the formula:



20

10. The composition of Claim 1 or 2 wherein R<sub>6a</sub> is H or a protecting group for hydroxyl or thio.
11. The composition of Claim 1 or 2 wherein W<sub>6</sub> is C<sub>1</sub>-C<sub>3</sub> alkyl substituted with 1 to 3 OR<sub>6a</sub> or SR<sub>6a</sub>, which OR<sub>6a</sub> or SR<sub>6a</sub> groups are stable to hydrolysis in gastrointestinal fluid.
12. The composition of Claim 1 or 2 wherein W<sub>6</sub> is  
-(CH<sub>2</sub>)<sub>m1</sub>CH((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)<sub>2</sub>, -(CH<sub>2</sub>)<sub>m1</sub>C((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)<sub>3</sub>;  
-(CH<sub>2</sub>)<sub>m1</sub>CH((CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>)<sub>2</sub>; -(CH<sub>2</sub>)<sub>m1</sub>CH((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)((CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>);  
-(CH<sub>2</sub>)<sub>m1</sub>C((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>, (CH<sub>2</sub>)<sub>m1</sub>C((CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>)<sub>3</sub> or  
-(CH<sub>2</sub>)<sub>m1</sub>C((CH<sub>2</sub>)<sub>m3</sub>R<sub>3</sub>)((CH<sub>2</sub>)<sub>m3</sub>R<sub>5a</sub>W<sub>5</sub>)<sub>2</sub> and m<sub>3</sub> is an integer from 1 to 3. .
13. The composition of Claim 1 or 2 wherein W<sub>6</sub> is -R<sub>5</sub>, -W<sub>5</sub> or -R<sub>5a</sub>W<sub>5</sub>.
14. The composition of Claim 1 or 2 wherein W<sub>6</sub> is R<sub>5</sub>.
15. The composition of Claim 14 wherein said R<sub>5</sub> is R<sub>4</sub> substituted with 0 to 3 -OR<sub>1</sub>.
16. The composition of Claim 14 wherein said R<sub>5</sub> is R<sub>4</sub> substituted with 0 to 3 -NO<sub>2</sub> or N<sub>3</sub> groups.
17. The composition of Claim 15 wherein said -OR<sub>1</sub> is present and at least one of said R<sub>1</sub> is C<sub>4</sub>-C<sub>12</sub>.
18. The composition of Claim 1 or 2 wherein W<sub>6</sub> is a branched chain R<sub>5</sub> group.
19. The composition of Claim 18 wherein said R<sub>5</sub> is a branched R<sub>4</sub> group.
20. The composition of Claim 1 or 2 wherein W<sub>6</sub> is R<sub>5e</sub> wherein R<sub>5e</sub> is normal or secondary alkyl of 1 to 12 carbon atoms substituted with 1-3 OR<sub>1a</sub> or SR<sub>1a</sub> wherein R<sub>1a</sub> is C<sub>1</sub>-C<sub>4</sub> alkyl.
21. The composition of Claim 20 provided that when W<sub>6</sub> is R<sub>5</sub> substituted



with 1 to 3 R<sub>3</sub> groups and at least one R<sub>3</sub> group is OH, COOH, NH<sub>2</sub>, C(O)H, C(O)NH<sub>2</sub>, S(O)<sub>2</sub>OH, S(O)OH, N(H)(C(O)OH), C(N(H))NH<sub>2</sub>, N(H)(C(NH<sub>2</sub>)N(H)), =O, or =N(H), then said R<sub>5</sub> is substituted with a single OH, COOH, NH<sub>2</sub>, C(O)H, C(O)NH<sub>2</sub>, S(O)<sub>2</sub>OH, S(O)OH, N(H)(C(O)OH),  
5 C(N(H))NH<sub>2</sub>, N(H)(C(NH<sub>2</sub>)N(H)), =O, or =NH group.

22. The composition of Claim 21 wherein said R<sub>5</sub> is alkyl of 4 to 8 carbon atoms substituted with 0 to 3 R<sub>3</sub> groups.

10 23. The composition of Claim 21 wherein said R<sub>5</sub> is substituted with 0 to 2 R<sub>3</sub> groups.

24. The composition of Claim 23 wherein said R<sub>5</sub> is substituted with 1 to 2 R<sub>3</sub> groups and at least one said R<sub>3</sub> group is -OH, -COOH, -NH<sub>2</sub>, -C(O)H,  
15 -C(O)NH<sub>2</sub>, -S(O)<sub>2</sub>OH, -S(O)OH, -N(H)(C(O)OH), -C(N(H))NH<sub>2</sub>, -N(H)C((NH<sub>2</sub>)N(H)), =O, or =NH.

25. The composition of Claim 1 or 2 wherein W<sub>6</sub> is R<sub>4</sub> having 1 to 7 carbon atoms.

20 26. The composition of Claim 1 or 2 wherein said W<sub>6</sub> is not C<sub>1</sub>-C<sub>3</sub> alkyl substituted with OH or OH protected with an aralkyl, acyl, a silicon protecting group or a tetrahydropyran.

25 27. The composition of Claim 26 wherein the aralkyl protecting group is benzyl, triphenylmethyl or diphenylmethyl; the acyl group is acetyl; and the silicon protecting group is trimethylsilyl.

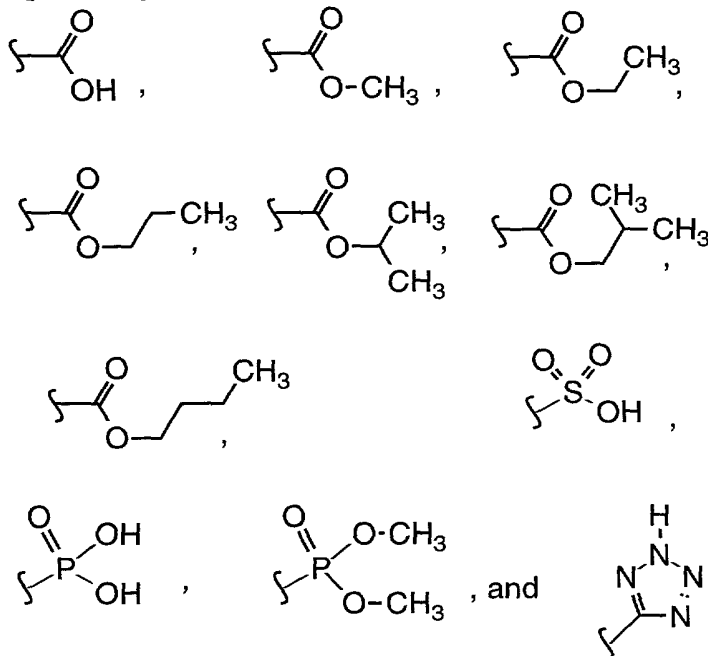
28. The composition of Claim 1 wherein  
30 G<sub>1</sub> is -NHR<sub>1</sub>, -N(R<sub>6b</sub>)(R<sub>1</sub>), -N(R<sub>6b</sub>)<sub>2</sub>, -N(H)(R<sub>5</sub>), -N(R<sub>6b</sub>)(R<sub>5</sub>), -N(R<sub>5</sub>)<sub>2</sub>-C(NH)(NH<sub>2</sub>), -N(R<sub>1</sub>)C(NR<sub>1</sub>)(NR<sub>1</sub>R<sub>3</sub>), -NHC(NH)(NHR<sub>3</sub>), -NHC(NH)(NHR<sub>1</sub>), -NHC(NH)NH<sub>2</sub>, -CH(CH<sub>2</sub>NHR<sub>1</sub>)(CH<sub>2</sub>OH), -CH(CH<sub>2</sub>NHR<sub>1</sub>)(CH<sub>2</sub>NHR<sub>1</sub>), -CH(NHR<sub>1</sub>)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(NHR<sub>1</sub>)R<sub>1</sub>, -CH(OH)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(NHR<sub>1</sub>)R<sub>1</sub>, or -CH(NHR<sub>1</sub>)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(OH)R<sub>1</sub>,  
35 -(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-S-C(NH)NH<sub>2</sub>, -N=C(NHR<sub>1</sub>)(R<sub>3</sub>) or -N=C(NHR<sub>1</sub>)(R<sub>1</sub>); and m<sub>2</sub> is

independently an integer from 0 to 1.

29. The composition of Claim 2 wherein  
G<sub>1</sub> is H, -NHR<sub>1</sub>, -N(R<sub>6b</sub>)(R<sub>1</sub>), -N(R<sub>6b</sub>)<sub>2</sub>, -N(H)(R<sub>5</sub>), -N(R<sub>6b</sub>)(R<sub>5</sub>), -N(R<sub>5</sub>)<sub>2</sub>,  
5 -C(NH)(NH<sub>2</sub>), -CH(CH<sub>2</sub>NHR<sub>1</sub>)(CH<sub>2</sub>OH), -CH(CH<sub>2</sub>NHR<sub>1</sub>)(CH<sub>2</sub>NHR<sub>1</sub>),  
-CH(NHR<sub>1</sub>)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(NHR<sub>1</sub>)R<sub>1</sub>, -CH(OH)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(NHR<sub>1</sub>)R<sub>1</sub>,  
or -CH(NHR<sub>1</sub>)-(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-CH(OH)R<sub>1</sub>, or -(CR<sub>1</sub>R<sub>1</sub>)<sub>m2</sub>-S-C(NH)NH<sub>2</sub>; and m<sub>2</sub>  
is independently an integer from 0 to 1.

- 10 30. The composition of Claim 1 or 2 wherein W<sub>1</sub> is -CO<sub>2</sub>R<sub>1</sub>.

31. The composition of Claim 1 or 2 wherein E<sub>1</sub> is selected from the group  
consisting of: phenethyl ester of carboxyl,

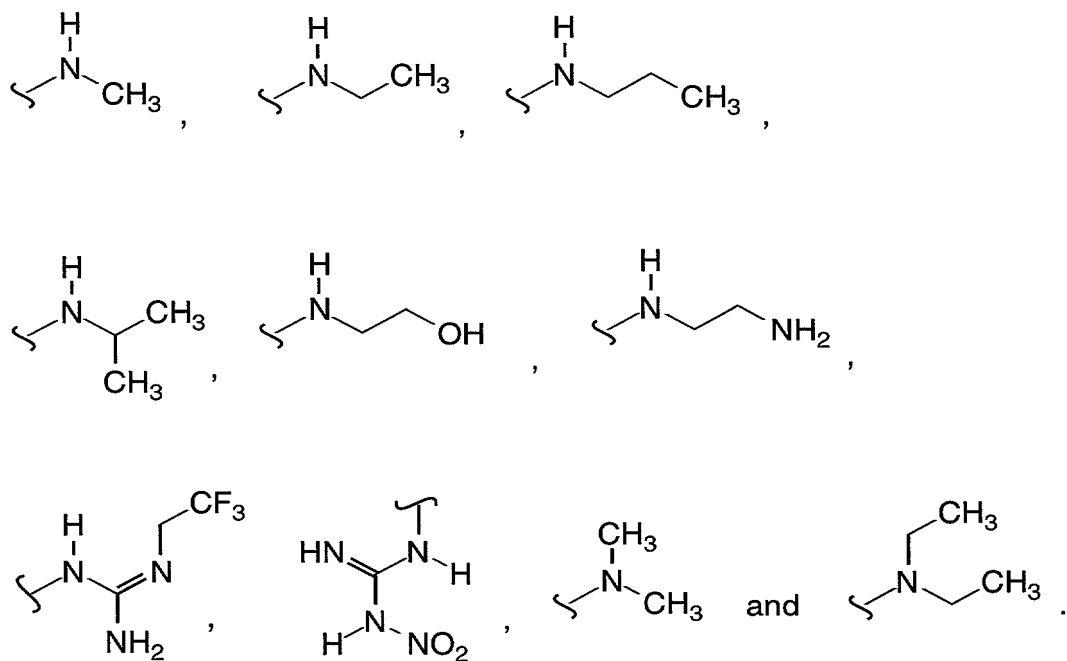


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32. The composition of Claim 1 wherein G<sub>1</sub> is amino, amidino or  
guanidino, or amino, amidino or guanidino substituted with C<sub>1</sub> - C<sub>6</sub> alkyl.

- 20 33. The composition of Claim 1 wherein G<sub>1</sub> is selected from the group  
consisting of: C<sub>1</sub>-C<sub>6</sub> monoalkylamine,

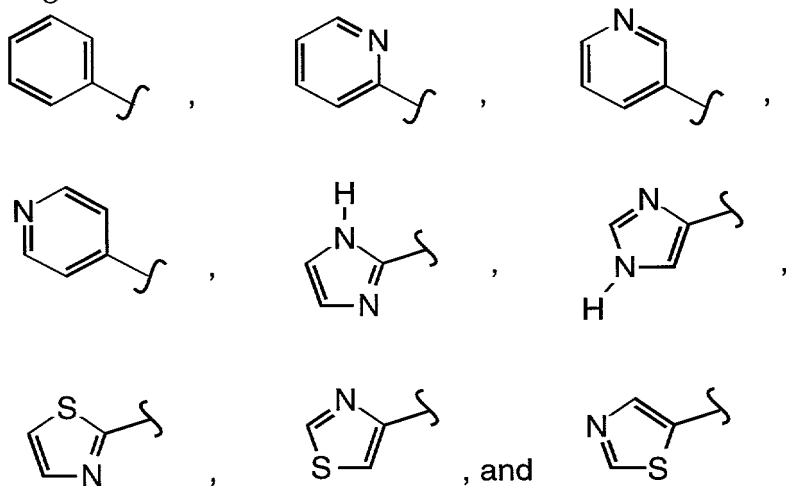




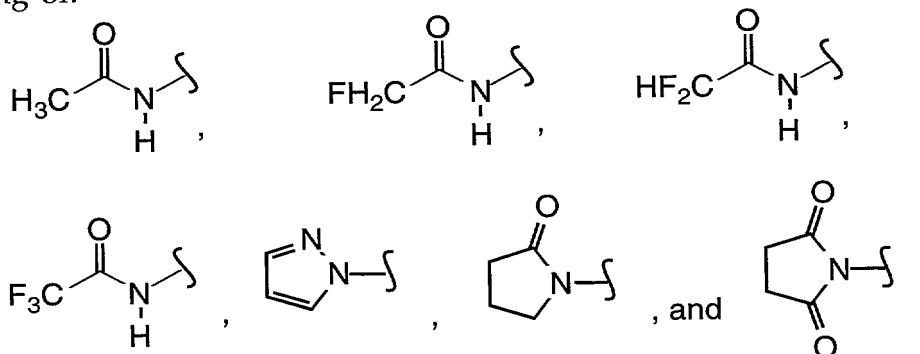
34. The composition of Claim 1 or 2 wherein  $W_3$  is  $-\text{C(O)}-\text{R}_5$ .

5 35. The composition of Claim 1 or 2 wherein  $W_6$  is an alkyl of 1 to 6 carbon atoms substituted with 0 to 3 F, Br, Cl,  $\text{N}_3$ ,  $\text{NO}_2$  or CN.

36. The composition of Claim 1 or 2 wherein  $W_5$  is selected from the group consisting of:



37. The composition of Claim 1 or 2 wherein T<sub>1</sub> is selected from the group consisting of:



38. The composition of Claim 2 wherein J<sub>1</sub> is H, C<sub>1</sub>-C<sub>2</sub> alkyl or F.

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39. The composition of Claim 1 or 2 wherein J<sub>1a</sub> is H.

40. The composition of Claim 1 wherein J<sub>2a</sub> is H or C<sub>1</sub>-C<sub>2</sub> alkyl.

10 41. The composition of Claim 1 wherein J<sub>2a</sub> is H.

42. The composition of Claim 1 or 2 wherein W<sub>6</sub> is secondary or tertiary alkyl containing 4 to 12 carbon atoms which W<sub>6</sub> is unsubstituted or substituted with NO<sub>2</sub>, N<sub>3</sub>, F, Br, Cl, OR<sub>1</sub> or SR<sub>1</sub>.

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43. The composition of Claim 42 which is substituted with nitro, azido or F.

20 44. The composition of Claim 1 or 2 wherein W<sub>6</sub> is -(CH<sub>2</sub>)<sub>m1</sub>CH(R<sub>1</sub>)<sub>a</sub>W<sub>7</sub> wherein W<sub>7</sub> is an alkyl of 1 to 4 carbon atoms substituted with 0 to 3 R<sub>3</sub>, a is 0 or 1, and when a is 0 then W<sub>7</sub> is joined to CH by a double bond.

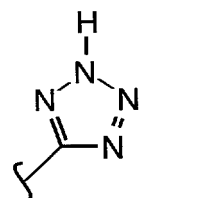
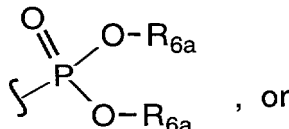
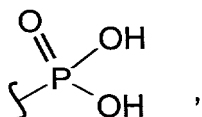
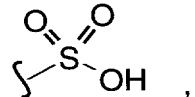
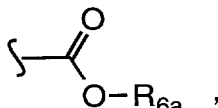
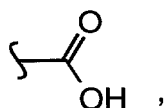
45. The composition of Claim 44 wherein W<sub>6</sub> is -CH<sub>2</sub>CH(R<sub>1</sub>)W<sub>7</sub>.

25 46. The composition of Claim 45 wherein W<sub>7</sub> is -CH<sub>2</sub>OR<sub>1</sub> and R<sub>1</sub> is C<sub>4</sub>-C<sub>12</sub> alkyl.

30 47. The composition of Claim 1 or 2 wherein W<sub>6</sub> is (CH<sub>3</sub>CH<sub>2</sub>)<sub>2</sub>CH-, (CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>)(H)C-, (CH<sub>3</sub>)<sub>2</sub>(H)C-, (CH<sub>3</sub>)<sub>2</sub>CHCH<sub>2</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>4</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>3</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>2</sub>-, (CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>)<sub>2</sub>C-, (CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-,

(CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-, (CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(H)C-,  
(PhCH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-, (PhCH<sub>2</sub>CH<sub>2</sub>)(PhCH<sub>2</sub>CH<sub>2</sub>)(H)C-,  
(PhCH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-, (PhCH<sub>2</sub>)(PhCH<sub>2</sub>)(H)C-, cyclohexyl- or cyclopentyl-.

- 5 48. The composition of Claim 1 wherein:  
E<sub>1</sub> is -COOR<sub>5</sub>,



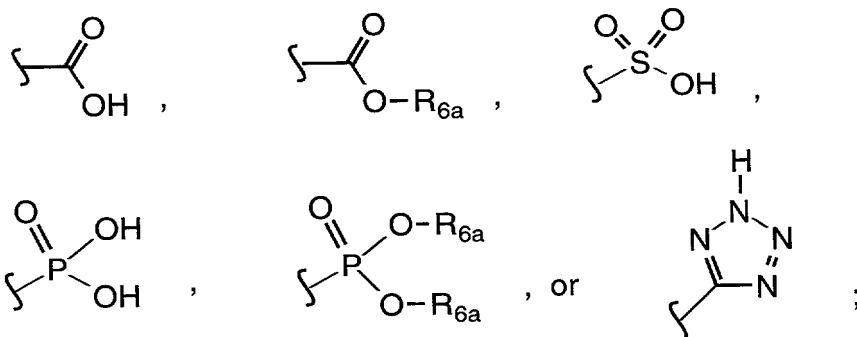
- 10 G<sub>1</sub> is -N(R<sub>5</sub>)<sub>2</sub>, -NH(R<sub>5</sub>)<sub>2</sub>,



and W<sub>6</sub> is an alkyl of 1 to 12 carbon atoms, alkenyl of 2 to 12 carbon atoms, or  
alkynyl of 2 to 12 carbon atoms and W<sub>6</sub> is substituted with 0 to 3 groups  
selected from the group consisting of F, Cl, Br, I, -CN, NO<sub>2</sub>, N<sub>3</sub>, -OR<sub>6a</sub>,  
5 -NR<sub>6b</sub>R<sub>6b</sub>, -SR<sub>6a</sub>, -O-C(O)R<sub>6a</sub>, or -NR<sub>6b</sub>-C(O)R<sub>6a</sub>.

49. The composition of Claim 48 wherein W<sub>6</sub> is selected from the group  
consisting of (CH<sub>3</sub>CH<sub>2</sub>)<sub>2</sub>CH-, (CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>)(H)C-, (CH<sub>3</sub>)<sub>2</sub>(H)C-,  
(CH<sub>3</sub>)<sub>2</sub>CHCH<sub>2</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>4</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>3</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>2</sub>-, (CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>)<sub>2</sub>C-,  
10 (CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-, (CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-,  
(CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(H)C-, (PhCH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-,  
(PhCH<sub>2</sub>CH<sub>2</sub>)(PhCH<sub>2</sub>CH<sub>2</sub>)(H)C-, (PhCH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-,  
(PhCH<sub>2</sub>)(PhCH<sub>2</sub>)(H)C-, cyclohexyl- or cyclopentyl-.

15 50. The composition of Claim 2 wherein:  
E<sub>1</sub> is -COOR<sub>5</sub>,



20 G<sub>1</sub> is H; and

W<sub>6</sub> is an alkyl of 1 to 12 carbon atoms, alkenyl of 2 to 12 carbon atoms,  
or alkynyl of 2 to 12 carbon atoms and W<sub>6</sub> is substituted with 0 to 3 groups  
selected from the group consisting of F, Cl, Br, I, -CN, NO<sub>2</sub>, N<sub>3</sub>, -OR<sub>6a</sub>,  
-NR<sub>6b</sub>R<sub>6b</sub>, -SR<sub>6a</sub>, -O-C(O)R<sub>6a</sub>, or -NR<sub>6b</sub>-C(O)R<sub>6a</sub>.

25 51. The composition of Claim 50 wherein W<sub>6</sub> is selected from the group  
consisting of (CH<sub>3</sub>CH<sub>2</sub>)<sub>2</sub>CH-, (CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>)(H)C-, (CH<sub>3</sub>)<sub>2</sub>(H)C-,  
(CH<sub>3</sub>)<sub>2</sub>CHCH<sub>2</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>4</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>3</sub>-, CH<sub>3</sub>(CH<sub>2</sub>)<sub>2</sub>-, (CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>)<sub>2</sub>C-,  
(CH<sub>3</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-, (CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-,



(CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>)(H)C-, (PhCH<sub>2</sub>CH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-,  
(PhCH<sub>2</sub>CH<sub>2</sub>)(PhCH<sub>2</sub>CH<sub>2</sub>)(H)C-, (PhCH<sub>2</sub>)(CH<sub>3</sub>CH<sub>2</sub>)(H)C-,  
(PhCH<sub>2</sub>)(PhCH<sub>2</sub>)(H)C-, cyclohexyl- or cyclopentyl-.

5 52. The composition of Claim 1 or 2 wherein E<sub>1</sub> is -COOH, or a carboxyl  
ester or carboxylamide that is hydrolyzable *in vivo* to -COOH.

53. The composition of Claim 1 or 2 further comprising a  
pharmaceutically-acceptable carrier.

10

54. A compound named in Table 6.

55. A method of inhibiting the activity of neuraminidase comprising the  
step of contacting a sample suspected of containing neuraminidase with the  
15 composition of Claim 1 or 2.

Abstract of the Disclosure

Novel compounds are described. The compounds generally comprise  
5 an acidic group, a basic group, a substituted amino or N-acyl and a group  
having an optionally hydroxylated alkane moiety. Pharmaceutical  
compositions comprising the inhibitors of the invention are also described.  
Methods of inhibiting neuraminidase in samples suspected of containing  
neuraminidase are also described. Antigenic materials, polymers, antibodies,  
10 conjugates of the compounds of the invention with labels, and assay methods  
for detecting neuraminidase activity are also described.

Attorney Docket No. 205.7

## COMBINED DECLARATION AND POWER OF ATTORNEY FOR CONTINUATION-IN-PART APPLICATION

AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## PIPERIDINE COMPOUNDS

the specification of which

(check one)        is attached hereto

X was filed on October 21, 1996

as application serial no. 08/735,385 and  
was amended on \_\_\_\_\_ (if applicable).

I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge and understand that I am an individual who has a duty to disclose information which is material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, §§ 1.56(a) and (b) which state:

"(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated

through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability."

I do not know and do not believe this invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to said application. This invention was not in public use or on sale in the United States of America more than one year prior to this application. This invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on any application filed by me or my legal representatives or assigns more than twelve months prior to this application.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) and (b) set forth above which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.: 08/395,245  
Filing Date: February 27, 1995  
Status (patented, pending, abandoned): pending

Application Serial No.: 08/476,946  
Filing Date: June 6, 1995  
Status (patented, pending, abandoned): pending

Application Serial No.: 08/580,567  
Filing Date: December 29, 1995  
Status (patented, pending, abandoned): pending

Application Serial No.: 60/009,306  
Filing Date: December 29, 1995  
Status (patented, pending, abandoned): pending

Application Serial No.: 08/606,624  
Filing Date: February 26, 1996  
Status (patented, pending, abandoned): pending

Application Serial No.: 60/012,299  
Filing Date: February 26, 1996  
Status (patented, pending, abandoned): pending

Application Serial No.: PCT US96/02882  
Filing Date: February 26, 1996  
Status (patented, pending, abandoned): pending

Application Serial No.: 08/653,034  
Filing Date: May 24, 1996  
Status (patented, pending, abandoned): pending

Application Serial No.: 08/702,308  
Filing Date: August 23, 1996  
Status (patented, pending, abandoned): pending

As to the subject matter of this application which is common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to said earlier application, or in public use or on sale in the United States of America more than one year prior to said earlier application; that said common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to said earlier application; and that the earliest application(s) for patent or inventor's certificate on said invention filed by me or my legal representatives or assigns in any country foreign to the United States of America is identified below, as well as all other such applications (if any) filed more than twelve months prior to the filing date of this application:

None

The priority of the earliest application(s) (if any) filed within a year prior to said pending prior application is hereby claimed under 35 U.S.C. § 119.

As to the subject matter of this application which is not common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to the date of this application, or in public use or on sale in the United States of America more than one year prior to the date of this application, and that said subject matter has not been patented or made the subject of an inventor's certificate issued in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to the date of this application, and that the earliest application(s) for patent or inventor's certificate on said subject matter filed by me or my legal representatives or assigns in any country foreign to the United States of America is identified below, as well as all other such application(s) (if any) filed more than twelve months prior to the filing date of this application:

None

The priority of the earliest application(s) (if any) filed within a year to this application is hereby claimed under 35 U.S.C. § 119.

I hereby appoint the following attorneys and agents to prosecute that application and to transact all business in the Patent and Trademark Office connected therewith and to file, to prosecute and to transact all business in connection with all patent applications directed to the invention:

Max D. Hensley - Reg. No. 27,043  
Mark L. Bosse - Reg. 35,071

Daryl Muenchau - Reg. No. 36,616

and: \_\_\_\_\_

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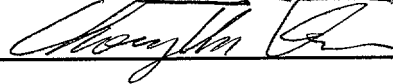
Address all telephone calls to: Mark L. Bosse at 415-572-6569.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the

like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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